10-20-1998



Docket No.: 759-7

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

100856221

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	Tab settings → → → ▼	▼	
	To the Honorable Commissioner	ofPat	epots and T
1/3/98	1. Name of conveying party(ies):  Alain R. Thierry	OCT 1	3 1998 C42
10	Additional names(s) of conveying party(ie		☐ Yes
	3. Nature of conveyance:		
IRI	☑ Assignment		Merger
1	☐ Security Agreement		Change o
	Other		
	Execution Date: September 23, 19	98	-
	ation n	umbers(s):	
	If this document is being filed too	gether	with a new
	Patent Application No.	Filing	date
	09/126,402 J	uly 30,	, 1998
			Additio
	5. Name and address of party to w concerning document should be		
	Name: Irving N Fait		

To the Honorable Commissioner of Patents and Trademark	s: Please record the attached original documents or copy thereof.
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
Alain R. Thierry	Name: Biovector Therapeutics, S.A.
The same of the sa	Address: Chemin du Chene Vert
RADEMA	D D 160

Additional names(s) of conveying party(ies)		☐ Yes 🔼 No	B.P. 109		
3. Nature of conveyance:					
☑ Assignment		Merger			
☐ Security Agreement		Change of Name	City Labege Cedex	State/	

, 0	· ·		
☐ Other		Country: France	ZIP: 31676
Execution Date: September 23, 1998		Additional name(s) & address(es)	☐ Yes ☒ No

the series of th	
If this document is being filed together with a new application, the execution date of the application is:	

_	_	• •	• •
Patent Application No.	Filing date	ŀ	B. Patent No.(s)

Additional numbers

Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Irving N. Feit	7. Total fee (37 CFR 3.41):\$ 40.00
Registration No. 28,601	M England Any avenue as in the control of the contr

☐ Yes 🛛 No

Registration No. 28,601

Address: Hoffmann & Baron, LLP

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

		08-2461
Country: USA	ZIP: 11753	

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Irving N. Feit

9 October 1998

Name of Person Signing

Name of Person Signing

Signature

9 October 1998

Date

Total number of pages including cover sheet, attachments, and

		For: For:	[] U.S. and/or [] Foreign Rights [X] U.S. Application; [] U.S. Provisional Application; [] U.S. Patent; or [] PCT Application [X] Inventors or [] Present Owners
	ASSIGNMENT OF	INVE	NTION
In consideration of the parties hereby acknowledged, and for	payment by ASSIGNEE to ASSIG other good and valuable considera	NOR of	the sum of One Dollar (\$1.00), the receipt of which
ASSIGNOR: (inventor(s) or per	rson(s) or entity(ies) who own the i	nventio	n)
1. Alain R. Thierry 6, Rue des Monts du Vivarais 31240 L'Union, France	3		
hereby sells, assigns and transfe	rs to		
ASSIGNEE:	BIOVECTOR THERAPEUTI	CS, S.A	
	(Type or print name of ASSIGN	EE)	-
	Chemin du Chêne Vert , B.P. 1	69	
	Address		-
	31676 Labège Cedex, France		
	France		-
	Nationality		-
and the successors, assigns and l	legal representatives of the ASSIG	NEE,	
	(complete one of the following)		
	title and interest percent (%) interest		
or the United States and its terri	itorial possessions		
[X] and in all foreig	en countries, including all rights to	claim pr	riority,
n and to any and all improvemen	nts which are disclosed in the inver	ntion ent	itled:

:759-0008:HBNY1:53982\_1

Attorney's Docket No.: 759-7

PATENT REEL: 9513 FRAME: 0216

**PATENT** 

## STABLE PARTICULATE COMPLEXES WITH NEUTRAL OR NEGATIVE GLOBAL CHARGE OF LAMELLAR STRUCTURE COMPRISING A GLOBALLY ANIONIC BIOLOGICALLY ACTIVE SUBSTANCE, AND A MIXTURE OF AN ANIONIC CONSTITUENT AND A CATIONIC CONSTITUENT; THEIR PREPARATION AND USE

and v	which is	found in
		(check and complete (a), (b), (c), (d), (e), (f), or (g))
(a)	[]	U.S. patent application executed on even date herewith
(b)	[]	U.S. patent application executed on
(c)	[]	U.S. provisional application naming the above inventor(s) for the above-identified invention.
	[]	Express Mail label No.:, mailed:
	[]	To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d)	[X]	U.S. application Serial No. <u>09/126,402</u> filed on <u>July</u> 30, 1998.
(e)	[]	International application No. PCT//_
(f)	[]	U.S. Patent No issued
.,	[]	A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g)	[]	and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

1. Date: X September 23, 1998
Date of signing

**RECORDED: 10/13/1998** 

Alain R. Thierry

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PATENT REEL: 9513 FRAME: 0217