

10-20-1998

Docket No.: 759-7

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp 4/94)  
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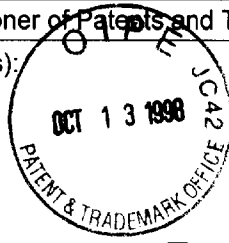
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

MRO 10/13/98



1. Name of conveying party(ies):  
Alain R. Thierry

2. Name and address of receiving party(ies):

Name: Biovector Therapeutics, S.A.

Address: Chemin du Chene Vert

B.P. 169

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

City: Labege Cedex State/Prov.: \_\_\_\_\_

Country: France ZIP: 31676

Execution Date: September 23, 1998

Additional name(s) & address(es)  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.	Filing date
<u>09/126,402</u>	<u>July 30, 1998</u>

B. Patent No.(s)

Additional numbers  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Irving N. Feit

Registration No. 28,601

Address: Hoffmann & Baron, LLP

350 Jericho Turnpike

10/15/1998 GPAYNE 00000035 09126402

02 FC:541 City: Jericho 40.00 DP State/Prov.: NY

Country: USA ZIP: 11753

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

08-2461

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Irving N. Feit

9 October 1998

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

3 PATENT

REEL: 9513 FRAME: 0215



**STABLE PARTICULATE COMPLEXES WITH NEUTRAL OR NEGATIVE GLOBAL CHARGE OF LAMELLAR STRUCTURE COMPRISING A GLOBALLY ANIONIC BIOLOGICALLY ACTIVE SUBSTANCE, AND A MIXTURE OF AN ANIONIC CONSTITUENT AND A CATIONIC CONSTITUENT; THEIR PREPARATION AND USE**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a)  U.S. patent application executed on even date herewith  
(b)  U.S. patent application executed on \_\_\_\_\_  
(c)  U.S. provisional application naming the above inventor(s) for the above-identified invention.  
 Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
 To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d)  U.S. application Serial No. 09/126,402 filed on July 30, 1998.  
(e)  International application No. PCT/\_\_\_/\_\_\_\_\_  
(f)  U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
 A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

**WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked above.

1. Date: X September 23, 1998  
Date of signing

X   
Alain R. Thierry

::HBNY1:45338\_1

RECORDED: 10/13/1998

PATENT  
REEL: 9513 FRAME: 0217