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SHEET

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Eric S. Kolb, Martin Van Buren and Denis G. Fauteux

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: October 2, 1998

## 2. Name and address of receiving party(ies)

Name: MITSUBISHI CHEMICAL CORPORATION

Internal Address:

Street Address: 5-2, Marunouchi 2-chome  
Chiyoda-ku, Tokyo 100  
Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No



## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/2/98

A. Patent Application No.(s)

B. Patent No.(s)

09/166013

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jody L. Factor  
Internal Address: FACTOR AND SHAFTAL, LLC

Street Address: 100 West Monroe St., Suite 300

10/09/1998 BUREAU 00000032 09166013

City: Chicago State: IL Zip: 60603

01-FC-001

10-00-00

## 6. Total number of applications and patents involved.

1

7. Total fee (37 CFR 3.41) \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0545

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jody L. Factor  
Name of Person Signing

Signature

Date

10/2/98

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
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PATENT  
REEL: 9513 FRAME: 0985

NRD 10/02/98

**ASSIGNMENT**

971128

**FACTOR AND SHAFTAL, L.L.C.**

100 West Monroe Street

Suite 300

Chicago, Illinois 60603

(312) 578-0400

FOR VALUE RECEIVED, the receipt of which is hereby acknowledged, the following inventor or inventors (hereinafter called "ASSIGNOR")

Eric S. Kolb and Martin Van Buren both citizens of the United States and Denis G. Fauteux a citizen of Canada each having mailing addresses at 6 Tenney Cir., Acton, MA 01720; 1 Jonathan Lane, Chelmsford, MA 01824; and 359 Arlington Street, Acton, MA 01720, respectively,

hereby assigns, transfers and sets over to:

**MITSUBISHI CHEMICAL CORPORATION**

5-2, Marunouchi 2-chome

Chiyoda-ku, Tokyo 100, JAPAN

(hereinafter called "ASSIGNEE"), the entire worldwide right, title and interest in and to the invention known as:

**ELECTRODE MODIFICATION USING SURFACE ASSOCIATED  
LITHIUM SALTS AND AN ASSOCIATED PROCESS FOR  
FABRICATION OF AN ELECTRODE**

for which a United States Patent Application was filed on:

October 2, 1998

and all rights and privileges under any Letters Patent which may be granted thereon, including all rights, if any, throughout the entire world to sue for all past infringements which may have occurred before the execution of this assignment.

(1) The ASSIGNOR(s) agrees without charge to said ASSIGNEE but at its expense (a) to execute (i) all necessary papers to be used in connection with this application and any additional, continuing, or divisional applications, as the ASSIGNEE may deem necessary or expedient, (ii) all papers in connection with any interference or other legal or quasilegal proceedings relating to this application or any additional,

continuing, or divisional application thereof, (iii) all papers and documents which may be necessary in connection with the preparation and filing of any foreign applications or with making claims to priority rights granted under the provisions of the International Convention for Protection of Industrial Property or similar agreements; (b) to cooperate with ASSIGNEE in every way possible in obtaining evidence and going forward in any such proceedings; and (c) to perform all other affirmative acts which may be necessary or desirable to obtain a grant of a valid patent for said invention in any country throughout the world.

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(3) ASSIGNOR(s) hereby covenants and warrants that they have full right to convey the entire right, title and interest by this instrument, free of any encumbrances and that no other agreement has been or will be executed in conflict herewith.

(4) ASSIGNOR(s) hereby grants to the law firm of FACTOR AND SHAFTAL of Chicago, Illinois, authority and power to insert on this instrument any further identification which may be necessary or desirable for purposes of recordation in the United States Patent Office or the Patent Office of any foreign country.

ASSIGNOR(s)

Date: October 2, 1998

Name of Inventor: Eric S. Kolb

Eric S. Kolb

Date: October 2, 1998

Name of Inventor: M. F. van Buren

Martin Van Buren

Date: October 2, 1998

Name of Inventor: Denis G. Fauteux

Denis G. Fauteux

Witness: \_\_\_\_\_

Witness: \_\_\_\_\_