

10-21-1998

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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To the Honorable Commissioner of F

the attached original documents or copy thereof.

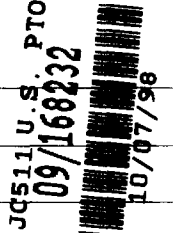
1. Name of conveying party(ies):  
Charles M. Carlstrom, Jr.  
William P. Acker

10-7-98

2. Name and address of receiving party(ies):

Name: Plug Power, L.L.C.

Internal Address:



Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Street Address: 968 Albany-Shaker Road

City: Latham State: NY ZIP: 12110

Execution Date: October 6, 1998

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: October 6, 1998

A. Patent Application No.(s)

09/168232

B. Patent No.(s)

10/19/1998 SBURNS 00000146 09168232  
FC:561 40.00 OP

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeff Rothenberg, Esq.

Internal Address:

Street Address: Heslin & Rothenberg, P.C.

5 Columbia Circle

City: Albany State: NY ZIP: 12203

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

08-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeff Rothenberg, Reg. No. 26,429

October 7, 1998

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

4

## ASSIGNMENT

WHEREAS, We, **Charles M. Carlstrom, Jr.** and **William P. Acker**, citizens of the United States of America, and residing at 6 Wilden Way, Clifton Park, New York 12065, and 5 Willow Spring Drive, Rexford, New York 12148, respectively, have invented certain new and useful improvements in FLUID FLOW PLATE FOR WATER MANAGEMENT, METHOD FOR FABRICATING SAME, AND FUEL CELL EMPLOYING SAME for which We have executed an application for Letters Patent of the United States, of even date herewith; and

WHEREAS, **Plug Power, L.L.C.**, having offices at 968 Albany-Shaker Road, Latham, New York 12110, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

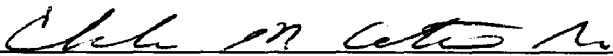
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We the said **Charles M. Carlstrom, Jr.** and **William P. Acker**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Plug Power, L.L.C.**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent

for said improvements to the said **Plug Power, L.L.C.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

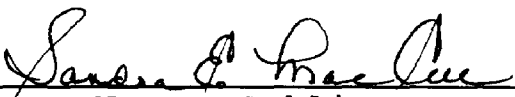
AND WE HEREBY further covenant and agree that We will communicate to the said **Plug Power, L.L.C.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Plug Power, L.L.C.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 6 day of October, 1998.

  
\_\_\_\_\_  
Charles M. Carlstrom, Jr.

STATE OF NEW YORK            )  
  ) ss.:  
COUNTY OF ALBANY         )

On this 6 day of October, 1998, before me personally came Charles M. Carlstrom, Jr., to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

  
\_\_\_\_\_  
Notary Public

**SANDRA E. Mac CUE**  
Notary Public, State of New York  
No. 4784620  
Residing in Rensselaer County  
Commission Expires August 31, 1999

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 6<sup>th</sup> day of October, 1998.

*William P. Acker*

William P. Acker

STATE OF NEW YORK            )  
  ) ss.:  
COUNTY OF ALBANY            )

On this 6 day of October, 1998, before me personally came William P. Acker, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

*Sandra E. MacCue*

Notary Public

**SANDRA E Mac CUE**  
**Notary Public, State of New York**  
**No. 4784620**  
**Residing in Rensselaer County**  
**Commission Expires August 31, 19~~97~~<sup>99</sup>**