

10-23-1998

ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



100858671

To the Honorable Commissioner of Patents and Trademarks

original documents or copy thereof

1. Name of conveying party(ies):

Georges Natsoulis

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 29, 1998

2. Name and address of receiving party(ies)

Name: Avigen, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1201 Harbor Bay Parkway, Suite 1000

City: Alameda State: CA ZIP: 94502

Additional names(s) & address(es) attached? Yes ☐ No ☒

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/107,708

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barton W. Giddings, MADSON & METCALF

Internal Address: \_\_\_\_\_

Street Address: 170 South Main Street, Suite 950

City: Salt Lake City State: UT ZIP: 84101

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

13-0763

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Barton W. Giddings

Name of Person Signing

Barton W. Giddings

Signature

October 13, 1998

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments

Washington, D.C. 20231

PATENT  
REEL: 9520 FRAME: 0365

# ASSIGNMENT

Docket No.: 2555.2.4

I, Georges Natsoulis of 479 Valley St San Francisco, California, have invented, along with Peter Colosi and Gary Kurtzman, a method and apparatus entitled HIGH-EFFICIENCY AAV HELPER FUNCTIONS, hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in United States patent application Serial No. 09/107,708, filed June 30, 1998, and now identified as File No. 2555.2.4 of the law firm of Madson & Metcalf, 950 Wells Fargo Plaza, 170 South Main Street, Salt Lake City, Utah 84101.

The Assignee, Avigen, Inc., a corporation of the State of Delaware, having a principal place of business at 1201 Harbor Bay Parkway, Suite 1000, Alameda, California 94502, desires to secure the entire right, title and interest in said invention.

In consideration of \$1.00 and other good and valuable consideration paid to me by the Assignee, the receipt and sufficiency of which I hereby acknowledge, I HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention, in the aboveidentified United States patent application and in all divisions, continuations and continuations-in-part of said application, all previously filed provisional applications for which the present application seeks the benefit of an earlier filing date under 35 U.S.C. § 120, all reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

I hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and benefit of the said Assignee, its successors, assigns and legal representatives.

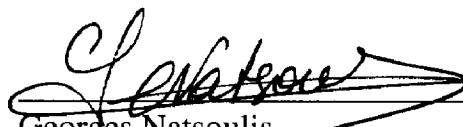
I hereby agree, without further consideration and without expense to me, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of me to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of my ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

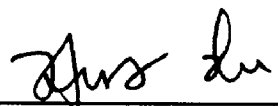
This assignment and agreement shall be binding upon my heirs and legal representatives.

Date: 9/29/98

  
\_\_\_\_\_  
Georges Natsoulis

479 Valley St  
(address)

SAN FRANCISCO, California

Witness: 

Title: Research Scientist III

Date: 9/30/98