

10-26-1998

Attorney Docket No.: 00315/250001
SHEET

Assistant Commissioner for Patents

100860564

Document.

1. Name of conveying party(ies):
Bryan R. Hotaling and Jon R. Rossman

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):
The First Years Inc.
27001 La Paz Road, Suites 300 and 400
Mission Viejo, California 92692

3. Nature of conveyance:
☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Additional names/addresses attached? ☐ Yes ☒ NoExecution Date: **October 6, 1998**

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):
29/091,090

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Charles C. Winchester, Reg. No. 21,040
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

6. Total number of applications/patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40**

☒ Enclosed
☐ Authorized to charge deposit account

8. Deposit account number: **06-1050**
If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

Charles C. Winchester, Reg. No. 21,040

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **2****10/23/1998 TTU11 00000211 29971090****01 FC:581****40.00 UP**

Date of Deposit

OCTOBER 9, 1998

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Linda Vega-Carter**Heidi Vega-Carter****PATENT****REEL: 9521 FRAME: 0912**

ASSIGNMENT

For valuable consideration, we, Bryan R. Hotaling, of Arlington, Massachusetts and Jon R. Rossman of Chelmsford, Massachusetts hereby assign to The First Years Inc., a Delaware corporation having a place of business at 27001 La Paz Road, Suites 300 and 400, Mission Viejo, California 92692, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled CLAMP, filed June 22, 1998, and assigned U.S. Serial Number 29/091,090, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Aston, MA,
this 6 day of October, 1998

Bryan R. Hotaling L.S.

STATE OF Massachusetts

COUNTY OF Middlesex :ss.

Before me this 6th day of October, 1998, personally appeared

Bryan R. Hotaling known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

Martha D. Fulla
Notary Public

My Commission Expires: 6-21-02

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Acton, MA,
this 6th day of October, 1998

Jon R. Rossman L.S.
Jon R. Rossman

STATE OF Massachusetts
COUNTY OF Middlesex :ss.

Before me this 6th day of October, 1998, personally appeared

Jon R. Rossman known to me to be the person whose name is subscribed to the foregoing Assignment, and
acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

Martha O'Sullivan
Notary Public

My Commission Expires: 6-21-02

[Notary's Seal Here]

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