

10-26-1998

FORM PTO-1595 (Rev. 6-93)

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

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WSGH FORM 23 (rev. 2/98)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

MAXAM, INC.

2. Name and address of receiving party(ies)

Name: NORTHEASTERN UNIVERSITY

Internal Address:

Street Address: 360 Huntington Avenue

City: Boston State MA Zip 02115

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

Additional name(s) & address(es) attached? [ ] Yes [X] No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other Correction to Assignment

Execution Date: June 8, 1998 9275/0411

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

- A. Patent Application No.(s)  
08/232,391  
08/197,112  
09/012,902

B. Patent No.(s)

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Weingarten, Schurgin, Gagnebin & Hayes LLP  
Ten Post Office Square  
Boston, Massachusetts 02109

6. Total number of applications and patents involved: [3]

7. Total fee (37 CFR 3.41).....\$ 120.00

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Attorney name: Stanley M. Schurgin  
Registration No. 20,979

Signature

10/6/98  
Date

Total number of pages including cover sheet, attachments, and document: [8]

Mail documents to be recorded with required cover sheet information to:  
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FORM PTO-1595  
(Rev. 6-93)

OMB No. 0951-0011 (exp. 1-99)

WSGH FORM 23 (rev. 2/98)

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07-06-1998



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

JUN 22 1998

Attached original documents or copy thereof

To the Honorable Commissioner of Patents

1. Name of conveying party(ies)

MAXAM, INC.

MSD  
6-22-98

2. Name and address of receiving party(ies)

Name: NORTHEASTERN UNIVERSITY

Internal Address:

Street Address: 360 Huntington Avenue

City: Boston State: MA Zip: 02115

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

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- [ ] Security Agreement [ ] Change of Name
- [ ] Other \_\_\_\_\_

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A. Patent Application No.(s)

B. Patent No.(s)

08/232,391  
08/197,112  
09/012,901

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Weingarten, Schurgin, Gagnebin & Hayes LLP  
Ten Post Office Square  
Boston, Massachusetts 02109

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 3.41).....\$ 120.00

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06/30/1998 BNGUYEN 00000263 08232391

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stanley M. Schurgin

20,979

Signature

Date

6/17/98

Total number of pages including cover sheet, attachments, and document: 3

A S S I G N M E N T

WHEREAS, MAXAM, INC., a Delaware corporation, having a principal place of business at 66 Summer Street, Foxboro, Massachusetts 02035 (hereinafter Assignor), is the sole and exclusive owner of the entire right, title and interest in and to certain United States and foreign patents and patent applications as identified in Schedule A attached hereto and in and to the inventions disclosed therein; and

WHEREAS, NORTHEASTERN UNIVERSITY, a Massachusetts corporation, having its principal place of business at 360 Huntington Avenue, Boston, Massachusetts 02115 (hereinafter Assignee) is desirous of acquiring the right, title and interest in and to said United States and foreign patents and patent applications identified in Schedule A hereto and in and to the inventions disclosed therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor does hereby assign unto Assignee, its successors and assigns, all right, title and interest throughout the United States, its territories and all foreign countries; in and to the United States and foreign patents and patent applications identified in Schedule A hereto and in and to the inventions disclosed therein, including the right to claim priority thereto under the International Convention; and in and to such patents as may issue on such applications, and all divisions, reissues, continuations, certificates of reexamination and extensions thereof; together with all rights of action and recovery for past infringement thereof; for the full term or terms for which any and all such patents may be granted; as fully and entirely as the same would have been held and enjoyed by Assignor had this assignment not been made.

AND, Assignor does hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all patents of the United States granted on such United States patent applications to Assignee, its successors and assigns.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be executed by its duly authorized officer this Eighty day of June, 1998.

MAXAM, INC.

By

John R. Martin  
Name: John R. Martin

Title: President

Witness:

Ricardo P. P. P.

Date: June 8, 1998

SCHEDULE A

To Assignment dated June 8, 1998 between MAXAM, INC. and  
NORTHEASTERN UNIVERSITY. *Jem*

U.S. PENDING

<u>Docket No.</u>	<u>Application No.</u>	<u>Title</u>	<u>Inventors</u>
	08/232,391	MICROSPECTROMETER	Paul M. Zavracky, et al.
	08/197,112	MONOLITHICALLY FABRICATED MICROSPECTROMETER	Paul M. Zavracky
<i>M</i>	09/012,902 <del>09/012,901</del>	LIGHT MODULATING DEVICE	Paul M. Zavracky

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