

10-19-1998

Form 1595
1-31-92

SHEET

U.S. Department of Commerce
Patent and Trademark Office

MRO
10-2-98



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10/02/98
09/16/98
US PTO
09136

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

09/16/98

1. Name of conveying party(ies):
Sudhir S. Malhotra
Brij B. Lal
Michael A. Russak

2. Name/address of receiving party(ies):
HMT Technology Corporation
1055 Page Avenue
Fremont, California 94538

3. Nature of conveyance: Assignment
 Merger Security Agreement Other
 Change of Name Reassignment

4. Date(s) of execution: 10/01/98,
10/01/98, and 10/01/98

Add'l names of receiving parties attached? Yes No

5. Application number(s) or patent number(s):

If this document is being filed together with new application, the execution date of application is October 02, 1998.

A. Patent Application No. (s)

B. Patent No. (s)

Additional numbers attached? Yes No

6. Name and address of party to whom correspondence concerning document should be mailed:

Dehlinger & Associates
P.O. Box 60850
Palo Alto, CA 94306
(650) 324-0880

7. Total No. of applications and patents involved:
one (1)

8. Total fee (37 CFR \$3.41): \$40.00
 Enclosed
 Charge to Acct. No. 04-0531

9. Total number of pages, including cover sheet, attachments and document 5.

DO NOT USE THIS SPACE

10. Statement and Signature:

10/08/1998 KIMNCAN 00000018 09165434

03 FC:501

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Judy M. Mohr
Name of Person Signing

Judy Mohr
Signature

10/2/98
Date

Assignment

THIS ASSIGNMENT, by Sudhir S. Malhotra, Brij Bihari Lal and Michael A. Russak (hereinafter referred to as the Assignors), residing at Fremont, California; Milpitas, California; Pleasanton, California, respectively, witnesseth:

WHEREAS, the said Assignors have invented certain new and useful improvements in Longitudinal Recording Medium with a Dual Underlayer, set forth in an application for Letters Patent of the United States, having an oath or declaration executed on even date herewith.

WHEREAS, HMT Technology Corporation, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 1055 Page Avenue, Fremont, California, 94538 (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

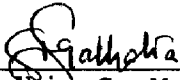
NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignors have sold, assigned, transfer and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the joint and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

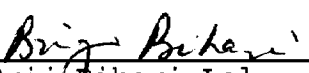
AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date: 10/1/98



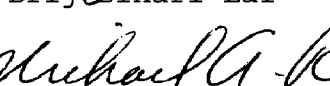
 Sudhir S. Malhotra

Date: 10-1-98



 Brij Bihari Lal

Date: 10/1/98

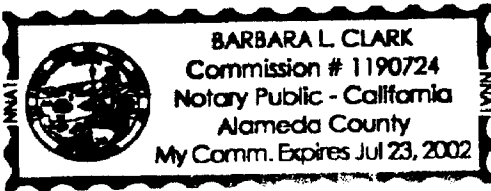


 Michael A. Russak

STATE OF CALIFORNIA)
)
COUNTY OF ALAMEDA)

On Oct. 1, 1998 before me, Barbara L. Clark, personally appeared Sudhir S. Malhotra, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that be his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



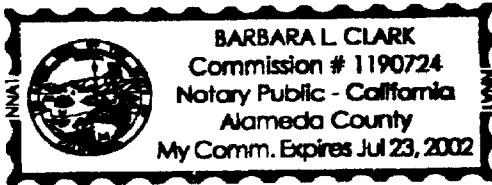
Barbara L. Clark
Signature

* * * * *

STATE OF CALIFORNIA)
)
COUNTY OF ALAMEDA)

On Oct. 1, 1998 before me, Barbara L. Clark, personally appeared Brij Bihari Lal, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that be his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



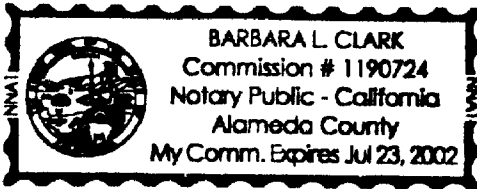
Barbara L. Clark
Signature

* * * * *

STATE OF CALIFORNIA)
)
COUNTY OF ALAMEDA)

On Oct. 1, 1998 before me, Barbara L. Clark, personally appeared Michael A. Russak, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Barbara L. Clark
Signature

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