

10-26-1998



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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

**TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).**

**Submission Type**

New

Resubmission (Non-Recordation)  
Document ID#

Correction of PTO Error  
Reel #  Frame #

Corrective Document  
Reel #  Frame #

**Conveyance Type**

Assignment  Security Agreement

License  Change of Name

Merger  Other

**U.S. Government**  
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Departmental File  Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name (line 1)  Execution Date   
Month Day Year

Name (line 2)

**Second Party**

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Month Day Year

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Name (line 1)   If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

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Address (line 2)

Address (line 3)     
City State/Country Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**

**REEL: 9522 FRAME: 0811**

**Correspondent Name and Address**

Area Code and Telephone Number (760) 438-5533

Name Karl Stauss

Address (line 1) Senior Patent Counsel

Address (line 2) Nextec Applications, Inc.

Address (line 3) 2611 Commerce Way

Address (line 4) Vista, CA 92083

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 3

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

|            |  |  |  |  |  |  |
|------------|--|--|--|--|--|--|
| 09/103,235 |  |  |  |  |  |  |
|            |  |  |  |  |  |  |
|            |  |  |  |  |  |  |

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
07/16/1998

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

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|-----|--|-----|--|-----|--|
| PCT |  | PCT |  | PCT |  |
| PCT |  | PCT |  | PCT |  |

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 14-0794

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

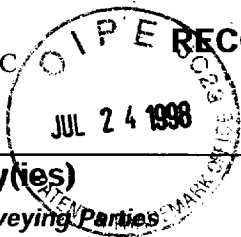
Karl Stauss

Name of Person Signing

Signature

7/17/98

Date



# RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

## Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

## Receiving Party(ies)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

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## Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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## ASSIGNMENT

This Assignment is made by RODNEY LANE PATRICK, PETER UWE MEKAILIAN and JAMES MICHAEL CALDWELL to NEXTEC APPLICATIONS, INC.

WHEREAS, Rodney Lane Patrick, residing at 4920 Colusa Drive, Oceanside, California, 92056, U.S.A.; Peter Uwe Mekailian, residing at 3747 Via Baldona, Oceanside, California 92056, U.S.A.; and James Michael Caldwell, residing at 1345 Bellview Street, Cardiff, California 92007, U.S.A. ("Inventors"), have invented certain new and useful improvements in products of and methods for improving adhesion between substrate and polymer layers; and

WHEREAS, Nextec Applications, Inc. ("Assignee"), having a place of business at 2611 Commerce Avenue, Vista, California 92083 desires acquiring the entire right, title and interest to the below identified patent applications covering such new and useful inventions.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged:

1. INVENTORS hereby sell, assign, transfer and convey to ASSIGNEE their entire right, title and interest in and to the Patents, and the inventions disclosed in said Patents, including the right to sue for past infringement thereof and to hold for their own use and benefit all recoveries had in such suits, said Patents to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by INVENTORS had this sale, assignment and transfer not been made.

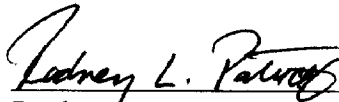
2. The terms and provisions of this assignment shall inure to the benefit of ASSIGNEE and its successors and assigns, and shall be binding upon INVENTORS and their successors and assigns.

**Schedule**

| TITLE   | Serial No. | Expected Filing Date | Docket No. |
|---|------------|----------------------|------------|
| Products of and Methods for Improving Adhesion Between Substrate and Polymer Layers | 09/103,235 | June 22, 1998        | 98-002     |
| Products of and Methods for Improving Adhesion Between Substrate and Polymer Layers | 09/103,236 | June 22, 1998        | 98-003     |

\*\*\*\*\* Rodney Lane Patrick Notarized Signature \*\*\*\*\*

Date: 7/16/98

By:   
Rodney Lane Patrick

State of California

County of San Diego

On the 16 day of July, 1998 before me, personally appeared Rodney Lane Patrick, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

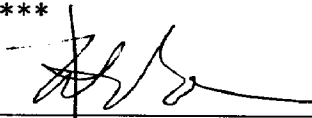
WITNESS my hand and official seal.

Signature:  (Seal)



\*\*\*\*\* Peter Uwe Mekailian Notarized Signature \*\*\*\*\*

Date: 7/16/98

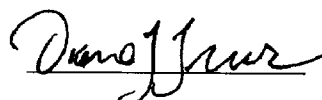
By:   
Peter Uwe Mekailian

State of California

County of San Diego

On the 16 day of July, 1998 before me, personally appeared Peter Uwe Mekailian, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

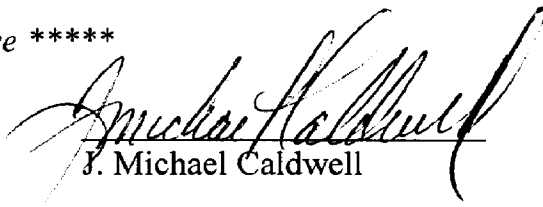
Signature:  (Seal)



\*\*\*\*\* J. Michael Caldwell Notarized Signature \*\*\*\*\*

Date: 7-13-98

By:

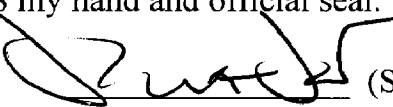
  
J. Michael Caldwell

State of California

County of San Diego

On the 13<sup>TH</sup> day of ~~July~~<sup>July</sup> 1998 before me, personally appeared J. Michael Caldwell, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature:  (Seal)

