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To the Honorable Assistant Commission

REC(

10-27-1998

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

record the attached original

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documents or copy thereof. 1008	61266	
1. Name of conveying party(ies)  Gordon H. Epstein, Todd E. Lempert,  Brian B. Martin, David M. Taylar	Name and address of receiving party(ies):  The me: BioInterventional Corporation	
Additional name(s) of conveying party(ies) attached? [] Yes [X] No	Street Address: <u>5990 Stoneridge Drive,</u> <u>#112</u> _	
3. Nature of Conveyance: [X] Assignment [ ] Merger [ ] Security Agreement [ ] Change of Name [ ] Other	City: Pleasanton  State: CA Zip: 94588  Additional name(s) & address(es) attached?  [] Yes [X] No	
Execution Date: October 12, 1998		
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:_		
A. Patent Application No.(s)	B. Patent No.(s)	
09/126,963		
Additional numbers attached? [ ] Yes [X] No		
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:	
Name: HAROLD C. HOHBACH Internal Address: FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP	7. Total fee (37 CFR 3.41):\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	
Street Address: SUITE 3400 FOUR EMBARCADERO CENTER City: SAN FRANCISCO State: CA Zip: 94111-4187	8. Deposit account number: 06-1300 Please debit any underpayment or credit any overpayment to the above deposit account.  Our Order No. A-64024-2/HCH	
DO NOT USE THIS SPACE		
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Harold C. Hohbach, Reg. No. 17,757  Name of Person Signing  Total number of pages including cover sheet, attachments and document: [5]		
(:30. 40.00 0P) Do not detach this portion  Mail documents to be recorded with required cover sheet information to:  Honorable Commissioner of Patents and Trademarks, Box Assignments  Washington, DC 20231		

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File No. <u>A-64024-2/HCH</u>

**PATENT REEL: 9528 FRAME: 0064** 

Rev. 8/93 (39811)

## ASSIGNMENT

WHEREAS, the undersigned,

(1) Gordon H. Epstein ,	(2) <u>Todd E. Lempert</u>
(3) Brian B. Martin	(4) David M. Taylor
hereinafter termed "Inventors"), resider	nts of
(1) Fremont ,	(2) Piedmont
(3) Boulder Creek ,	(4) Fremont
respectively, Counties of	
(1) Alameda ,	(2) Alameda
(3) <u>Santa Cruz</u> ,	(4)_Alameda
respectively, States of	
(1) California ,	(2)_California
(3) California ,	(4)_California
respectively, have invented certain new	and useful improvements in

## EXPANSILE DEVICE FOR USE IN BLOOD VESSELS AND TRACTS IN THE BODY AND TENSION APPLICATION DEVICE FOR USE THEREWITH AND METHOD

and have executed concurrently herewith an application for a United States patent disclosing and identifying the invention; and

WHEREAS, BioInterventional Corporation, a corporation of the State of California, having a place of business at 5990 Stoneridge Dr., #112, Pleasanton, California, 94588, hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

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12/91 (JOINT TO CORPORATE)

PATENT REEL: 9528 FRAME: 0065

- 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this
instrument to said Assignee this
(1) 12 day of October, 1998, (2) 12 day of October, 1998, (3) 12 day of October, 1998,
respectively.
Gordon H. Epstein
County of ALAMEDA ,)
State of California .)
On this 12 day of 0000 in the year 1998, before me, 1176883  On this 12 day of 00000 in the State of California, personally appeared (1) Gordon H. Epstein, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.  CHERYL ANN NOBLE Commission # 1176883
Signature Merry Ann Mobile Notary Public - California Alameda County [SEAL] My Comm. Expires Mar 23, 2002
(2) Todd E. Lempert
County of ALAMEDA ,) ) ss.
State of California .)
On this 12 day of OCTOBER in the year 1998, before me, CHENJIANN NOBLE, Notary Public of the State of California, personally appeared (2) Todd E. Lempert, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
Signature Mery Ann Loble CHERYL ANN NOBLE [SEL] Commission # 1176883 Notary Public - California Alameda County My Comm. Expires Mar 23, 2002
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State of California .)
on this 12 day of October in the year 1998, before me, CHECH ANN NOSE, Notary Public of the State of California, personally appeared (3) Brian B. Martin, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
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