

10-29-1998

FORM PTO-1596

1-31-92

R

Docket No.: 51000-458 (1033-387)



100862460

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents

1 original documents or copy thereto:

1. Name of Conveying Party(ies):

William S. En, Minh Van Ngo, Chih-Yuh Yang, David K. Foote,
Scott A. Bell, Olov B. Karlsson, Christopher F. Lyons

MAY 26 1998

Additional name(s) of conveying party(ies) attached? ☐ Yes

Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: May 8, 12, 13 and 14, 1998

2. Name and address of receiving party(ies):

Name: Advanced Micro Devices, Inc.

Internal Address:

Street Address: One AMD Place

P.O. Box 3453

City: Sunnyvale State/Country CA ZIP: 94088-3453

Additional name(s) & address(es) attached? ☐ Yes

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

Application No. 08/993,868 filed December 18, 1997

B. Patent No(s).

Additional numbers attached? ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT, WILL & EMERY

Internal Address:

Street Address: 99 Canal Center Plaza, Suite 300

City: Alexandria State: VA Zip: 22314

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0385

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas A. Jolly, Registration No. 39,241

May 21, 1998

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

PATENT
REEL: 9533 FRAME: 0227

ASSIGNMENT

WHEREAS WE, WILLIAM G. EN, MINH VAN NGO, CHIH-YUH YANG, DAVID K. FOOTE, SCOTT A. BELL, OLOV B. KARLSSON, and CHRISTOPHER F. LYONS of Milpitas, California; Union City, California; San Jose, California; San Jose, California; San Jose, California; San Jose, California; and Fremont, California, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled SILICON OXIME SPACER FOR PREVENTING OVER-ETCHING DURING LOCAL INTERCONNECT FORMATION, executed by us on the date of execution of this document, as shown below, and for which an application for United States Letters Patent was filed on December 18, 1997, and identified by United States Serial No. 08/993,868;

AND WHEREAS, Advanced Micro Devices, Inc., a corporation of the State of Delaware and having an address of One AMD Place, P. O. Box 3453, Sunnyvale, California 94088-3453 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto Advanced Micro Devices, Inc., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said Advanced Micro Devices, Inc., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date	<u>5/12/98</u>	<u>William G. En</u>
Date	<u>5/13/98</u>	<u>Minh Van Ngo</u>
Date	<u>5/14/98</u>	<u>Chih Yuh Yang</u>
Date	<u>5/8/98</u>	<u>David K. Foote</u>
Date	<u>5/12/98</u>	<u>Scott A. Bell</u>
Date	<u>5/13/98</u>	<u>Olov B. Karlsson</u>
Date	<u>5/8/98</u>	<u>Christopher F. Lyons</u>

STATE OF CALIFORNIA)
COUNTY OF Santa Clara) SS:

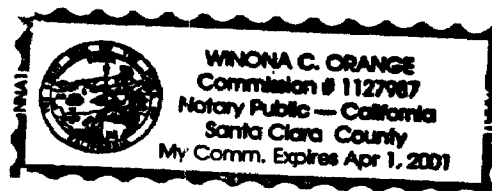
On May 12, 1998 (date) before me, WINONA C. ORANGE, Notary Public
(name and title "Notary Public"), personally appeared William G. En, personally known to me
(~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is
subscribed to the within instrument and acknowledged to me that ~~he/she~~ executed the same in
~~his/her~~ authorized capacity, and that by ~~his/her~~ signature on the instrument the person, or the
entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Winona C. Orange (signature of Notary)

(seal of Notary)

My commission expires April 1, 2001

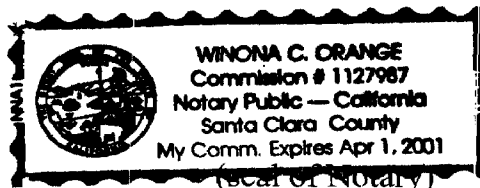


STATE OF CALIFORNIA)
) SS:
COUNTY OF Santa Clara

On May 13, 1998 (date) before me, Winona C. ORANGE, Notary Public
(name and title "Notary Public"), personally appeared Minh Van Ngo, ~~personally known to me~~
(~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is
subscribed to the within instrument and acknowledged to me that ~~he/she~~ executed the same in
~~his/her~~ authorized capacity, and that by ~~his/her~~ signature on the instrument the person, or the
entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Winona C. Orange (signature of Notary)



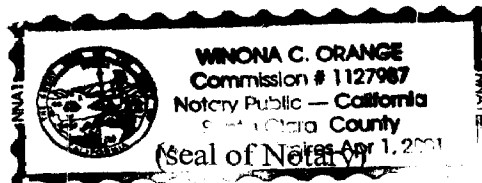
My commission expires April 1, 2001

STATE OF CALIFORNIA)
) SS:
COUNTY OF Santa Clara

On May 14, 1998 (date) before me, Winona C. ORANGE, Notary Public
(name and title "Notary Public"), personally appeared Chih-Yuh Yang (name of signer), personally
known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is
subscribed to the within instrument and acknowledged to me that he/she executed the same in
his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity
upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Winona C. Orange (signature of Notary)



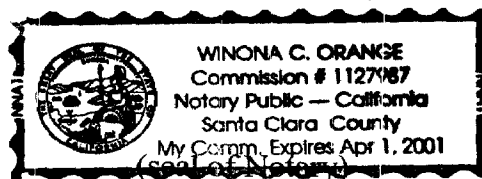
My commission expires April 1, 2001

STATE OF CALIFORNIA)
) SS:
COUNTY OF Santa Clara

On May 9, 1998 (date) before me, Winona C. ORANGE, Notary Public
(name and title "Notary Public"), personally appeared David K. Foote (name of signer), ~~personally~~
~~known to me~~ (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is
subscribed to the within instrument and acknowledged to me that ~~he/she~~ executed the same in
~~his/her~~ authorized capacity, and that by ~~his/her~~ signature on the instrument the person, or the entity
upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Winona C. Orange (signature of Notary)



My commission expires April 1, 2001

STATE OF CALIFORNIA)
COUNTY OF Santa Clara) SS:

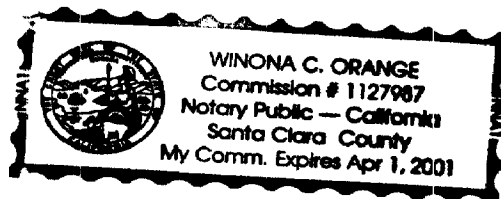
On May 12, 1998 (date) before me, Winona C. ORANGE, Notary Public
(name and title "Notary Public"), personally appeared Scott A. Bell (name of signer), personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Winona C. Orange (signature of Notary)

(seal of Notary)

My commission expires April 1, 2001



STATE OF CALIFORNIA)
COUNTY OF Santa Clara) SS:

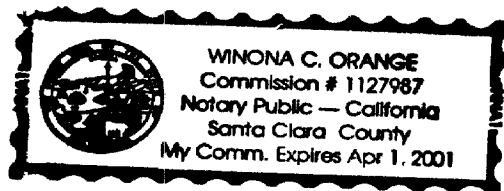
On May 13, 1998 (date) before me, WINONA C. ORANGE, Notary Public
(name and title "Notary Public"), personally appeared Olov B. Karlsson (name of signer), personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Winona C. Orange (signature of Notary)

(seal of Notary)

My commission expires April 1, 2001



STATE OF CALIFORNIA)
COUNTY OF Santa Clara) SS:

On May 8, 1998 (date) before me, Winona C. Orange, Notary Public
(name and title "Notary Public"), personally appeared Christopher F. Lyons (name of signer), personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Winona C. Orange (signature of Notary)

(seal of Notary)

My commission expires April 1, 2001

