

10-30-1998

FORM PTO-1305
(Rev. 8-83)

OMB No. 0851-0011 (Rev. 4/94)



100863437

COVER SHEET
LYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

MOBERG MEDICAL, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

2. Name and address of receiving party(ies)

Name: DOMINION FUND III

Internal Address: _____

Street Address: 60 State St., 21st Floor

City: Boston State: MA ZIP: 02109

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 8/10/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
4,800,895B. Patent No.(s)
5,265,607Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MATTHEW I. HIRSCH, ESQUIRE

Internal Address: _____

Street Address: 125 Strafford Avenue,
Suite 215

City: Wayne State: PA ZIP: 19087

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 3.41).....\$80.00 E

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

RICHARD MOBERG

Name of Person Signing

Signature

10/11/98

Date

Total number of pages including cover sheet, attachments, and document: ☐Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

10/29/1996 SOURCE 00000152 4800895

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PATENT
REEL: 9534 FRAME: 0011

ASSIGNMENT OF PATENTS

THIS ASSIGNMENT OF PATENTS, is made on the date below by and between MOBERG MEDICAL, INC., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with an address at 124 South Maple Way, Ambler, Pennsylvania 19002 ("ASSIGNOR") and DOMINION FUND III, a California limited partnership organized and existing under the laws of the Commonwealth of Massachusetts with an address for the regular transaction of business at 60 State Street, 21st Floor, Boston, Massachusetts, 02109,

WHEREAS, ASSIGNOR is the owner of certain patents, and has registered those patents on the principal register of the U.S. Patent and Trademark Office as follows:

Electroencephalographic Data Display Method and System, Patent No. 4,800,895, dated January 31, 1989 and Patient Monitoring Electrode Connection Apparatus and Method, Patent No. 5,265,607, dated November 30, 1993, copies attached (hereafter "the Patents"); and

WHEREAS, ASSIGNOR is the Obligor under a certain Subordinated Debt Instrument dated May 3, 1995 in the amount of \$750,000 ("Note"), duly executed and delivered by ASSIGNOR to ASSIGNEE; and

WHEREAS, ASSIGNOR has defaulted on its obligations under the Note and is in material default of the Note and associated loan documents and wishes to reduce its liability thereunder by transferring to ASSIGNEE its right, title and interest in and to the Patents; and desires that the Assignment of the Patents be effected and recorded in the Patent and Trademark Office.

NOW THEREFORE, IN CONSIDERATION of the ASSIGNEE's forgiveness of a portion of the outstanding indebtedness under the Note, being the sum of \$650,000, and other good and valuable consideration paid by ASSIGNEE to ASSIGNOR, the receipt and benefit of which is hereby acknowledged by ASSIGNOR, the ASSIGNOR confirms that it does hereby sell, assign and transfer all of its right, title and interest to the Patents, as well as the Patent

Certificates, and all drawings, specifications, models, programming codes, manuals, instructions and other printed or electronic materials associated with the ownership and design of the devices represented by the Patents.

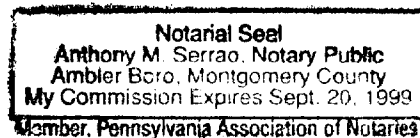
Included in this assignment are any and all rights which ASSIGNOR has or may have against persons who have or may have infringed upon the Patents. These rights include the right to sue and recover for all damages and profits arising out of past infringement of the Patents.

HOWEVER, this Assignment shall be and hereby is subject to rights granted by ASSIGNOR to Telefactor Corporation of West Conshohocken, Pennsylvania pursuant to a certain Asset Purchase Agreement between ASSIGNOR and Telefactor dated January 19, 1998, specifically, an exclusive, perpetual right to use the Patents without limitation.

IN WITNESS WHEREOF, **MOBERG MEDICAL, INC.** has caused this Assignment to be signed by its President, Richard Moberg, thereunto duly authorized, and has caused its corporate seal to be hereunto affixed and duly attested this 10 day of ^{August} ~~March~~, 1998.

MOBERG MEDICAL, INC.

By: Richard Moberg
Richard Moberg, President



ATTEST:

(Corporate Seal)

THIS ASSIGNMENT OF PATENTS is hereby accepted by the ASSIGNEE in accordance with the terms of this ASSIGNMENT.

DOMINION FUND III, a California Limited Partnership

By: Dominion Partners III, a California Limited Partnership,
its General Partner

By: Randolph D. Werner
Randolph D. Werner, General Partner

Commonwealth of Pennsylvania :
: SS
County of Montgomery :

On this ^{AMS}~~10~~ day of ~~March~~ ^{AUGUST}, 1998, before me personally appeared Richard Moberg, to me known, who after being duly sworn, did depose and say that, as of the time of the Assignment of Patents, he is the President of MOBERG MEDICAL, INC., a Pennsylvania corporation, the corporation described herein, and which executed the above instrument; he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of MOBERG MEDICAL, INC. and that he signed his name thereto by like order.

 (SEAL)
Notary Public

