

02/10/99

Docket No.: 1077

02-17-1999

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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RECORDAT

PAT



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Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**HNA Holdings, Inc.**  
  
Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):  
  
Name: **The Board of Trustees of The University of Alabama**  
Address: **401 Queen City Avenue**

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: **January 21, 1999**

City: **Tuscaloosa** State/Prov.: **Alabama**  
Country: **USA** ZIP: **35401-1551**  
Additional name(s) & address(es)  Yes  No

4. Application number(s) or registration numbers(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
  
Patent Application No.      Filing date  
  
Additional numbers  Yes  No

B. Patent No.(s)  
  
**4,728,724**  
**issued**  
**3/1/1988**  
  
 Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Name: **George (Sandy) B. Gordon, Esq.**  
Registration No. \_\_\_\_\_  
Address: **The University of Alabama**  
**222 Rose Administration Building**  
**P.O. Box 870106**  
City: **Tuscaloosa** State/Prov.: **Alabama**  
Country: **USA** ZIP: **35487-0106**

6. Total number of applications and patents involved: **1**  
  
7. Total fee (37 CFR 3.41):.....\$ **40.00**  
 Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
 Authorized to be charged to deposit account  
  
8. Deposit account number:  
**03-1152 (credit for any excess or insufficiencies)**

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
**Michael A. Caputo - Reg. No. 24,237**  
Name of Person Signing      *Michael A. Caputo*      Signature      **2/9/99**      Date

Total number of pages including cover sheet, attachments and exhibits: **4**

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02/17/1999 09:00 AM  
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02 40:504

PATENT  
REEL: 9534 FRAME: 0160

ASSIGNMENT OF UNITED STATES PATENT

WHEREAS, HNA HOLDINGS, INC. (legal successor to Hoechst Celanese Corporation), a corporation organized and existing under the laws of the State of Delaware, having its principal place of business at 30 Independence Boulevard, Warren, New Jersey 07059, USA, is the owner of United States Patent No. 4,728.724, issued March 1, 1988 entitled "OPTICAL DATA STORAGE MEDIUM COMPRISING A CHROMOPHORE/POLYMER INFORMATION LAYER", recorded on November 27, 1987 at Reel Number 4797, Frame Number 0775;


WHEREAS, HNA HOLDINGS, INC. has transferred said United States patent, including all foreign members of the same family of patent applications as listed on Schedule A, to The Board of Trustees of The University of Alabama, as a charitable contribution; and

WHEREAS, The Board of Trustees of The University of Alabama, desires an Assignment of all rights to said United States patent.

NOW THEREFORE, HNA Holdings, Inc. hereby assigns to The Board of Trustees of the University of Alabama, 401 Queen City Avenue, tuscaloosa, Alabama 35401-1551, its entire right, title and interest in and to said United States patent.

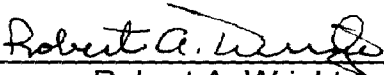
This Assignment is executed in Summit, New Jersey, on this 21<sup>st</sup> day of January, 1999.

HNA HOLDINGS, INC. (legal  
successor to Hoechst Celanese  
Corporation)

By:   
Michael A. Caputo  
Assistant Secretary

Agreed and Accepted  
this 28<sup>th</sup> day of JANUARY, 1999

THE UNIVERSITY OF ALABAMA

By:   
Robert A. Wright  
Title Vice President -  
Financial Affairs and Treasurer

SCHEDULE A  
HNA Holdings, Inc./Patent Applications

Patent No.

Country

1,252,886

Canada