

10-30-1998

IN-8373

FORM PTO-1595 U.S.

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DEPARTMENT OF COMMERCE

10-26-98

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Sridhar Gopalkrishnan
Richard J. Holland
Kathleen M. Guiney



2. Name and address of receiving party(ies)

BASF Corporation
3000 Continental Drive - North
Mount Olive, New Jersey 07828-1234

Additional name(s) of conveying party(ies) attached No ☐ Yes ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Internal Address: Patent Department

Street Address: 3000 Continental Drive - North
Mount Olive, New Jersey 07828

City: Mount Olive State: N.J. ZIP: 07828

Execution Date: 9/29/98, 9/30/98, 10/5/98

4. Application number(s) or patent number(s): 09/070,386, filed 04/30/98

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joanne P. Will

Internal Address: Patent Dept.

Street Address: [3000 Continental Drive - North

City: Mount Olive State: [N.J. ZIP: 07828

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit
Account

8. Deposit account number: 09-0425

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is
a true copy of the original document.

Joanne P. Will

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

1595FM
REV - 11/11/97

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9536 FRAME: 0123

ASSIGNMENT (DECLARATION FORMAT)

WHEREAS, (I) WE,

Sridhar Gopalkrishnan of 8641 Woodside Drive, Grosse Ile, Michigan 48138; **Richard J. Holland** of 19 Pleasant Court, Flanders, New Jersey 07836 and **Kathleen M. Guiney** of 423 Maple Street, Wyandotte, Michigan 48192

declarant(s) declare that (I) WE have invented certain improvements in:

STABLE NON-AQUEOUS BLENDS FOR PERSONAL CARE COMPOSITIONS

hereinafter the invention or inventions, described in U.S. Patent Application filed on **April 30, 1998**, Serial Number **09/070,386**, and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, **BASF Corporation**, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does(do) hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agree(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by their signature below.

Dated: 9/25/98

Signed: 
Sridhar Gopalkrishnan

Dated: 10/15/98

Signed: 
Richard J. Holland

Dated: 9/30/98

Signed: 
Kathleen M. Guiney