



10-30-1998

HEET

10-26-98



100864197

Docket No. 839-528

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

- 1. Name of conveying party(ies):  
 A) David Alan Caruso  
 B)  
 C)
- 2. Name and address of receiving party(ies):  
 General Electric Company  
 1 River Road, Bldg. 37 - 5  
 Schenectady, NY 12345

Additional name(s) of conveying party(ies) attached? \_\_\_ Yes X No

- 3. Nature of conveyance:  
 Assignment      \_\_\_ Merger  
 Security Agreement      \_\_\_ Change of Name  
 Other \_\_\_\_\_

Execution Date: 10/21/98

- 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)      09/152,267      B. Patent No.(s)

Additional numbers attached? \_\_\_ Yes X No

- 5. Name and address of party to whom correspondence concerning document should be mailed:  
 10/28/1998 BALEXAND 00000035 070449 09152267  
 Richard G. Besha  
 02 FC:581      ~~100864197~~ Vanderhye P.C.  
 1100 North Glebe Road, 8th Floor  
 Arlington, VA 22201-4714
- 6. Total number of applications and patents involved:      1
- 7. Total fee (37 CFR 3.41).....      \$ 40.00  
 \_\_\_ Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number: 07-0849  
(Attach duplicate copy of this page if paying by deposit account.)

**DO NOT USE THIS SPACE**

- 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Lampe, Jr.  
Attorney Name

Signature

21 OCTOBER 1998  
Date

Total number of pages including cover sheet, attachments and document: 2

RCL/cas

**PATENT**  
**REEL: 9536 FRAME: 0154**

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, David Alan Caruso of 214 Stage Road, Ballston Lake, NY 12019 sells and assigns to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in AXIAL ENTRY DOVETAIL SEGMENT FOR SECURING A CLOSURE BUCKET TO A TURBINE WHEEL AND METHODS OF INSTALLATION invented by me, as described in the application for United States Patent was filed on September 14, 1998 as Serial Number: 09/152,267; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Oct 21, 1998  
Date

David Alan Caruso  
David Alan Caruso

STATE OF New York )  
  
COUNTY OF Schenectady )

) ss:

This 21<sup>st</sup> day of October, 1998, before me personally came the above-named David Alan Caruso, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

**CYNTHIA A. SWANSON**  
Notary Public, State of New York  
Registered in Schenectady County  
My Commission Expires 07/25/02  
Registration No. 01SW5030952

Cynthia A. Swanson  
Notary Public  
My Commission Expires: \_\_\_\_\_