FORM PTO-1595 (Modified) (Fiev. 6-93) OMB No. 0651-0011 (exp.4/94) Copyright 1996-97 LegalStar P08A/REV02

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Docket No.: 10485

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Tab settings 🔷 🔷 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or coργ thereof. 2. Name and address of receiving party(les): Name of conveying party(ies): Robert M. Williams Name: Research Corporation Tech., Inc. Chenguang Yuan 10.2.98 Address: 101 North Wilmot Road, Suite 600 ☐ Yes 🛛 No Additional names(s) of conveying party(ies) Nature of conveyance: Merger ★ Assignment State/Prov.: AZ City: Tucson ☐ Change of Name ☐ Security Agreement ZIP: 11530 Country: USA ☐ Other Execution Date: 8/4/98 & 8/6/98 ☐ Yes XI No Additional name(s) & address(es) 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) Patent Application No. Filing date 09/130,218 August 6, 1998 ☐ Yes 🛛 No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Leopold Presser 7. Total fee (37 CFR 3.41):....\$ 40.00 ☐ Enclosed - Any excess or insufficiency should be Registration No. 19,827 credited or debited to deposit account Address: Scully, Scott, Murphy & Presser Authorized to be charged to deposit account 400 Garden City Plaza 8. Deposit account number: \_\_\_\_\_ State/Prov.: NY City: Garden City 19-3886 Country: USA ZIP: DO NOT USE THIS SPACE 0/06/1998 JSHABAZZ 00000123 193886 09130218 01 FC:581 40.00 CH Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. **September 29, 1998** Mark J. Cohen Reg. No.: 32,211 Date Signature Name of Person Signing Total number of pages including cover sheet, attachments, and

PATENT

REEL: 9537 FRAME: 0408

INVENTOR(S) TO RCT-APPLICATION

10485

## ASSIGNMENT OF PATENT APPLICATION

"INVENTOR(S) " means Robert M. Williams and Chenquang Yuan		
"INVENTION" means ANTIBIOTIC FOR METHICILLIN RESISTANT BACTERIA		
"APPLICATION" means the U.S. Patent Application covering the INVENTION, filed along with this Assignment or designated as U.S. Serial Number, filed August 6, 1998		
"INSTITUTION" means <u>Colorado State University Research Foundation</u> .		
"RCT" means Research Corporation Technologies, Inc., a Delaware corporation at 101 North Wilmot Road, Suite 600, Tucson, Arizona 85711-3335.		

- 1. The INVENTOR(S) made the INVENTION. Each INVENTOR is obligated to assign his or her rights, title and interest in the INVENTION and APPLICATION to the INSTITUTION or its designee.
- 2. RCT and the INSTITUTION are parties to an agreement under which RCT has evaluated and is now attempting to commercialize the INVENTION. As provided in that agreement, RCT is obligated to pay to INSTITUTION a share of the revenues generated by the INVENTION.
- 3. In furtherance of his or her obligations to the INSTITUTION, and the INSTITUTION's obligations to RCT, each INVENTOR wishes to assign his or her rights, title and interest in the INVENTION and APPLICATION directly to RCT.
- 4. Each INVENTOR hereby assigns to RCT his or her entire right, title and interest in:
  - (a) the INVENTION;
  - (b) the APPLICATION, all foreign applications and all divisional and continuation, in whole and in part, applications based on the APPLICATION;
  - (c) all patents resulting from the APPLICATION and applications described in (b); and
  - (d) all reissues, extensions, renewals and reexaminations of the patents described in (c).
- 5. This assignment shall allow RCT to file the applications described in paragraph 4 in its own name (but naming the INVENTORS as the inventors) in all countries of the world (where it is permissible) and the right to claim the benefit of the priority right provided by the International Convention of 1883, as amended.

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PATENT REEL: 9537 FRAME: 0409 INVENTOR (S) TO RCT-APPLICATION

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- 6. Each INVENTOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America to issue to RCT all U.S. patents described in paragraph 4 that may be granted.
- 7. Each INVENTOR agrees for himself or herself and his or her heirs, executors and administrators to execute any further lawful documents that RCT might consider necessary to fully protect RCT's interest in the INVENTION and the documents described in paragraph 4.
- 8. Each INVENTOR covenants that he or she has not granted any right or license to make, use or sell the INVENTION to anyone, provided however, the Government of the United States of America may have rights in the INVENTION and APPLICATION by reason of sponsorship of research leading to the making of the INVENTION.
- 9. Each INVENTOR also covenants that he or she has not encumbered his or her right, title and interest in the INVENTION or APPLICATION, and has not, and will not, sign any document that conflicts with this assignment, other than the exception listed in paragraph 8 and has not, and will not, sign any document that conflicts with this assignment, except for documents necessary to memorialize or protect the rights of the U.S. Government (if any) in the INVENTION and APPLICATION.

ROBERT M. WILLIAMS INVENTOR'S Signature	DATE Signed
1809 Linden Lake Road INVENTOR's Address	
Fort Collins, CO 80524	
STATE OF ):ss.	
COUNTY OF )	
On this	
Notary Public	
My commission expires:	

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INVENTOR(B) TO RCT-APPLICATION

CHENGUANG YUAN INVENTOR'S Signature  4934 Mulford Street, Apt. 1W	New Albres: 29591 N. Birch Ave Lake Bluff, IL 60044
Skokie, IL 60077 STATE OF Allinais COUNTY OF Cosh	):ss.

On this the day of the

- My Commission expires: フ/ュックロ

OFFICIAL SEAL
KATHLEEN T RAYMOND
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES:07/24/00

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INVESTOR (S) TO RCT-APPETCATION

10485

## ASSIGNMENT OF PATENT APPLICATION

"INVENTOR(S)" means Robert M. Williams and Chenquand Yuan "INVENTION" means ANTIBIOTIC FOR METHICILLIN RESISTANT BACTERIA		
"INSTITUTION" means Colorado State University Resea	rch Foundation	
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2. RCT and the INSTITUTION are parties to an agreement has evaluated and is now attempting to commercialize provided in that agreement, RCT is obligated to pay share of the revenues generated by the INVENTION.	the invention. As	
3. In furtherance of his or her obligations to the INSTITUTION'S obligations to RCT, each INVENTOR wishes her rights, title and interest in the INVENTION and APS to RCT.	s to assign his or	
4. Each INVENTOR hereby assigns to RCT his or her entir	re right, title and	
<ul> <li>(a) the INVENTION;</li> <li>(b) the APPLICATION, all foreign applications and continuation, in whole and in part, applications</li> <li>APPLICATION;</li> </ul>	all divisional and cions based on the	
(a) \$11 patents resulting from the APPI-TCATION	and annitostions	

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(b)

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5. This assignment shall allow RCT to file the applications described in paragraph 4 in its own name (but naming the INVENTORS as the inventors) in all countries of the world (where it is permissible) and the right to claim the best of the provided by the International

all reissues, extensions, renewals and reexaminations of the

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described in (b); and

Convention of 1883, as amended.

patents described in (c).

INVESTOR (S) TO ECT-APPRICATION

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- 6. Each INVENTOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America to issue to RCT all U.S. patents described in paragraph 4 that may be granted.
- 7. Each INVENTOR agrees for himself or herself and his or her heirs, executors and administrators to execute any further lawful documents that RCT might consider necessary to fully protect RCT's interest in the INVENTION and the documents described in paragraph 4.
- 8. Each INVENTOR covenants that he or she has not granted any right or license to make, use or sell the INVENTION to anyone, provided however, the Government of the United States of America may have rights in the INVENTION and APPLICATION by reason of sponsorship of research leading to the making of the INVENTION.
- 9. Each INVENTUR also covenants that he or she has not encumbered his or her right, title and interest in the INVENTION or APPLICATION, and has not, and will not, sign any document that conflicts with this assignment, other than the exception listed in paragraph 8 and has not, and will not, sign any document that conflicts with this assignment, except for documents necessary to memorialize or protect the rights of the U.S. Government (if any) in the INVENTION and APPLICATION.

Poted M. Willen	8-6-98
ROBERT M. WILLIAMS INVENTOR'S Signature	OATE Signed
1809 Linden Lake Road INVENTOR's Address	
Fort Collins, CO 80524	
STATE OF Colorado ):ss. COUNTY OF Lar Imer  On this day of the one who same in my presence, and acknowledged the same	1916 personally appeared the above-named, personally executed the foregoing instrument, and subscribed the to be a free act and deed, before me.
Notary Public	— Qs.
My commission expires: 1/4/2000	

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INTENTOR(S) TO RCT-APPLICATION

	10465
CHENGUANG YUAN INVENTOR's Signature	DATE Signed
4934 Mulford Street, Apt. 1W INVENTOR's Address	
Skokie, IL 60077	
STATE OF	)
COUNTY OF	):ss. )
personally known to me and know	, 19, personally appeared the above-named.  In by me to be the one who executed the foregoing instrument, sence, and acknowledged the same to be a free act and deed,
Notary Public My C	Commission expires:

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