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Docket No. 3047-6228

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trad

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nents or copy thereof.

1. Name of conveying party(ies):
Tryphon T. Georgiou

Additional name(s) of conveying party(ies) attached: ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 13, 1998

2. Name and address of receiving party(ies):

Name: Regents of the University of Minnesota
Internal Address: Morris Hall, 100 Church Street S.E.
Minneapolis, Minnesota 55455

Street Address: Morris Hall, 100 Church Street, S.E.

City Minneapolis State: MN Zip: 55455

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09/176984

If this document is being filed together with a new application, the execution date of the application is: October 13, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: R. Haferkamp

Internal Address: 7733 Forsyth Boulevard, Suite 1400

Street Address: 7733 Forsyth Boulevard, Suite 1400

City: St. Louis State: MO ZIP: 63105

6.

Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account (if amount is insufficient)

8. Deposit account number:

18-1829

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Haferkamp

Name of person signing

[Signature]
Signature

Oct. 22, 1998
Date

10/29/1998 BNGUYEN 00000308 09176984

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Total number of pages including cover sheet, attachments, and document: 3

OMB No. 0651-0011 (exp. 4/94)

PATENT

ASSIGNMENT

WHEREAS, I, Tryphon T. Georgiou of 2098 Folwell Avenue, Falcon Heights, Minnesota 55108, have co-invented certain new and useful improvements in *Method And Apparatus For A Tunable High-Resolution Spectral Estimator* for which I have executed an application for Letters Patent in the United States identifying me as a co-inventor thereof (said application being identified as Howell & Haferkamp, L.C., Docket 3047-6228); and

WHEREAS, Regents of the University of Minnesota, a corporation of the State of Minnesota having its principal office and place of business at Morrill Hall, 100 Church Street S.E., Minneapolis, Minnesota 55455, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to all trade secrets, know-how, confidential information and any other proprietary information and rights therein as may be useful or desirable in commercializing said invention (hereinafter "Proprietary Rights"), in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, I, Tryphon T. Georgiou, have sold, assigned and transferred and do hereby sell, assign and transfer unto said Regents of the University of Minnesota, its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application, in and to said Proprietary Rights, in and to said application, and in and to any Letters Patent, including divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and I further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

The Commissioner of Patents or other proper official is hereby authorized and requested to issue said Letters Patent to said Regents of the University of Minnesota in accordance with this Assignment.

IN WITNESS WHEREOF, I have hereunto set my hand the 13 day of

October 1998.

Tryphon Georgiou
Tryphon T. Georgiou

STATE OF MINNESOTA

COUNTY OF HENNEPIN

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On this 13th day of October 1998 before me, a Notary Public, within and for the County of Hennepin, State of Minnesota, personally appeared Tryphon T. Georgiou, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Laurel Maria Corgard
Notary Public

My Commission Expires: 1-31-2000

