

MRD 10-20-98

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Docket No.: OPTA98-06

RECOR

10-30-1998

EET



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To the Honorable Commissioner of Patents

Original documents or copy thereof

1. Name of conveying party(ies)  
Chienkuo Ronnie Yuan  
Noel G. Rudie

2. Name and address of receiving party(ies)

Name: Opta Food Ingredients, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: October 16, 1998

Street Address: 25 Wiggins Avenue

City: Bedford State: MA ZIP: 01730

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/175520

If this document is being filed together with a new application, the execution date of the application is: October 16, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alice O. Carroll

Internal Address: \_\_\_\_\_

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: [1]

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00 E

☒ Enclosed

☒ Authorized to charge any deficiencies or credit any overpayment to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alice O. Carroll

Name of Person Signing

Alice O. Carroll 335425

Signature

October 20, 1998

Date

Total number of pages including cover sheet, attachments, and document: [4]

PATENT  
REEL: 9541 FRAME: 0176

10/26/1998 JWS:JMG 0000057 09175520 40.00

01 FC:501

10/20/98  
09/175520  
U.S. PAT. & TM. OFF.

ASSIGNMENT

WHEREAS, we, Chienkuo Ronnie Yuan and Noel G. Rudie, have invented a certain improvement in HIGH-AMYLOSE STARCH-EMULSIFIER COMPOSITION AND METHODS OF MAKING described in an application for Letters Patent of the United States, the specification of which:

☒ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☐ was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_;

☐ was patented under U.S. Patent No. \_\_\_\_\_ on \_\_\_\_\_.

WHEREAS, Opta Food Ingredients, Inc. (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware, and having a usual place of business at 25 Wiggins Avenue, Bedford, Massachusetts 01730 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: CC Yuan  
Chienkuo Ronnie Yuan

Commonwealth

of Massachusetts

County of Middlesex

Then personally appeared before me the above-named Chienkuo Ronnie Yuan and acknowledged that he executed the foregoing instrument as his free act and deed this 16<sup>TH</sup> day of October, 1998.

(SEAL)

Denise S. Modono Notary Public  
Denise S. Modono (print name)  
My Commission expires 11/25/99

Inventor:   
Noel G. Rudie

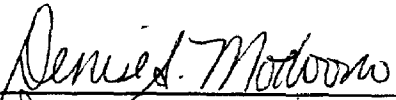
Commonwealth

of Massachusetts

County of Middlesex

Then personally appeared before me the above-named Noel G. Rudie and  
acknowledged that he executed the foregoing instrument as his free act and deed  
this 16<sup>th</sup> day of October, 1998.

(SEAL)

 Notary Public  
Denise S. Modono (print name)  
My Commission expires 11 / 25 / 99

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