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TTT

09/101941  
U.S. DEPARTMENT OF COMMERCE  
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7-17-98



102 Rec'd PCT/PTO 17 JUL 1998  
Attorney Docket No.: 8565D-6763

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To the Assistant Commissioner

1 original documents or copy thereof.

1. Name of conveying party(ies):

TAKANO, Kiyotaka  
IIDA, Makoto  
IINO, Eiichi  
KIMURA, Masanori  
YAMAGISHI, Hirotooshi

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Shin-Etsu Handotai Co., Ltd.  
4-2, Marunouchi 1-chome  
Chiyoda-ku, Tokyo, Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date May 6, 1998, May 11, 1998

4. Application number(s) or patent number(s): 09/101941

If this document is being filed together with a new application, the execution date of the application is: May 6, 1998, May 11, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

William H. Wright, Esq.  
LOEB & LOEB LLP  
10100 Santa Monica Blvd., 22nd Floor  
Los Angeles, CA 90067-4164

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41).....\$ 40.00 E

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

12-1820

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*

Date: July 17, 1998

William H. Wright

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

# ASSIGNMENT

Attorney Docket No. 8565/IPD- 6/63

(1-8) Insert Name(s) of Inventor(s)	(1) Kiyotaka Takano	(4) Masanori Kimura
	(2) Makoto Iida	(5) Hirotoshi Yamagishi
	(3) Eiichi Iino	(6)

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee	(9) Shin-Etsu Handotai Co., Ltd.
(10) Insert state of incorporation of Assignee	(10) Japan
(11) Insert address of Assignee	(11) of 4-2, Marunouchi 1-chome, Chiyoda-ku, Tokyo Japan (hereinafter designated as the Assignee), its successors and assigns, the entire and exclusive worldwide right, title and interest in, any Letters Patent which may be granted and issued for, any continuations, divisions, reissues and extensions of said Letters Patent and all international priority rights associated with the invention known as
(12) Insert identification of invention, such as Title, Case Number or Foreign App. Number	(12) SILICON SINGLE CRYSTAL WITH NO CRYSTAL DEFECT IN PERIPHERAL PART OF WAFER AND PROCESS FOR PRODUCING THE SAME for which the undersigned has (have) executed an application for patent in the United States of America, application Serial No. , filed
(13) Insert Date of Signing or Declaration	(13) on May 6, 1998, May 11, 1998

- The undersigned agree(s) to execute all papers necessary in connection with any original, reissue, divisional and continuing United States and foreign applications for the above-identified invention and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
- The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
- The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
- The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.
- The undersigned hereby grants STUART LUBITZ, Reg. No. 20,680; RICHARD H. ZAITLEN, Reg. No. 27,248; ALEX CHARTOVE, Reg. No. 31,942; DAVID M. SIMON, Reg. No. 32,756; JAY M. FINKELSTEIN, Reg. No. 21,082; ROGER R. WISE, Reg. No. 31,204; GARY D. MANN, Reg. No. 34,867; WILLIAM K. KONRAD, Reg. No. 28,868; DON F. LIVORNESE, Reg. No. 32,040; LOUIS A. MOK, Reg. No. 22,585; JOHN P. SCHERLACHER, Reg. No. 23,009; TED RITTMASER, Reg. No. 32,933; CHRIS P. PERQUE, Reg. No. 37,696; JOSEPH F. VONSAUERS, Reg. No. 27,253; PAUL H. KOVELMAN, Reg. No. 35,228; DAVID L. LUBITZ, Reg. No. 38,229; PHILIPPE O. ERWIN, Reg. No. 38,132; DAVID W. VICTOR, Reg. No. 39,867; JONATHAN Y. KANG, Reg. No. 38,199; PAUL G. NAGY, Reg. No. 37,896; WEINING YANG, Reg. No. 38,690; AND RANDALL C. FURLONG, Reg. No. 35,144 the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent & Trademark Office for recordation of this document.

Date: May 11, 1998	(1) Signature of Inventor: Kiyotaka Takano (SEAL)
Date: May 6, 1998	(2) Signature of Inventor: Makoto Iida (SEAL)
Date: May 6, 1998	(3) Signature of Inventor: Eiichi Iino (SEAL)
Date: May 6, 1998	(4) Signature of Inventor: Masanori Kimura (SEAL)
Date: May 11, 1998	(5) Signature of Inventor: Hirotoshi Yamagishi (SEAL)
Date:	(6) Signature of Inventor: (SEAL)

RECORDED: 07/17/1998

PATENT  
REEL: 9541 FRAME: 0495