

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

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PAT

11-02-1998

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*M.L.W.*  
*10-23-98*



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

**James M. MASON**

Additional name(s) of conveying parties attached? No

2. Name and address of receiving party(ies)

Name: North Shore University Hospital Research Corporation

Street Address: 350 Community Drive  
Manhasset, New York 11030

Additional name(s) & address(es) attached? No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other: \_\_\_\_\_

Execution date: June 22, 1998

4. Application numbers or patent numbers:

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Applications **09/040,103**

B. Patent No.(s)

Additional Numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Estelle J. Tsevdos  
KENYON & KENYON  
One Broadway  
New York, New York 10004

6. Total number of applications and patents involved: **1**

7. Total fee (37 C.F.R. 3.41) ..... \$ **40.00**

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

11-0600

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

M. Lisa Wilson (Reg. No. 34,045)

Name of Person Signing

*M. Lisa Wilson*  
Signature

October 19, 1998

Date

Total Number of pages comprising document and cover sheet: 4

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents  
Box Assignments  
Washington DC 20231

*All OK*

34018-1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.  
Dated: October 19, 1998.

Signature

*M. Lisa Wilson*  
M. Lisa Wilson (Reg. No. 34,045)

10/30/1998 JWATKINS 00000069 110600 0904010

1 FC:581 40.00 CH

PATENT  
REEL: 9543 FRAME: 0117

**ASSIGNMENT**

WHEREAS, I, James M. Mason, being a citizen of the United States of America, residing at 47 Cedar Avenue, Bethpage, New York 11714 have made new and useful improvements in USE OF HUMAN SERUM RESISTANT VECTOR PARTICLES AND CELL LINES FOR HUMAN GENE THERAPY, for which an application for Letters Patent was filed in the United States Patent and Trademark Office on March 17, 1998 and accorded S.N. 09/040,103.

WHEREAS, North Shore University Hospital Research Corporation whose address is 350 Community Drive, Manhasset, N.Y. 11030, and who, together with its successors and assigns is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

NOW, THEREFORE, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest within the United States of America in and to any inventions and discoveries described in said application, said application for Letters Patent of the United States of America, any and all divisional, renewal, reissue, re-examination, substitute and continuation applications based in whole or in part upon said inventions, discoveries or the aforesaid applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions, discoveries or the aforesaid applications, and every priority right that is or may be predicated upon or arise from said inventions, discoveries, the aforesaid applications, and said Letters Patent and every priority right that may be claimed by any of the aforesaid applications; and

2. Authorize Assignee, as Assignee may in its sole discretion deem advisable, to file patent applications in the United States of America on any or all of said inventions and discoveries in my name or otherwise under international convention or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue or transfer all said Letters Patent to Assignee, as Assignee may direct;

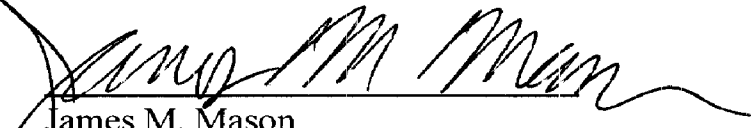
4. Warrant that I have not knowingly conveyed to others any right within the United States of America in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the aforesaid applications, and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, or my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives, or assigns which may be

useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 22 day of \_

June, 1998

  
James M. Mason

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