

U.S. PATENT AND TRADEMARK OFFICE

Form PTO-1595

11-04-1998

Department of Commerce

RECORDATION 1



100868952

To the Honorable Commissioner of Patents
original documents or copy thereof.

Record the attached

1. Name of conveying party(ies):

a) Mark A. Boonie g) _____
 b) Jeffrey M. Nick h) _____
 c) Peter G. Sutton i) _____
 d) Wendell W. Wilkinson j) _____
 e) Phil C. Yeh k) _____
 f) _____ l) _____

More names attached? Y x N

2. Name and address of receiving party(ies):

Name: INTERNATIONAL BUSINESS
MACHINES CORPORATION
Address: New Orchard Road
Armonk, NY 10504

More names attached? Y x N3. Nature of Conveyance: x Assignment

4. Application number: 09/141,544 Filed: August 28, 1998
 Filed with new application: yes x no

Execution date(s):

a) August 28, 1998 g) _____
 b) October 21, 1998 h) _____
 c) August 28, 1998 i) _____
 d) August 28, 1998 j) _____
 e) August 28, 1998 k) _____
 f) _____ l) _____

Additional numbers attached? Y x N

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lily Neff, Attorney
IBM Corporation
Intellectual Property Law
522 South Road, M/S P386
Poughkeepsie NY 12601-5400

6. Total # of applications and patents involved: 01

7. Total fee (37 CFR 3.41):
\$ 40.00.

8. Charge to Deposit Account
09-0463.

11/04/1998 SBURG 00000051 090463 09141544

01 FC:581 40.00 CH

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9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Susan L. Phelps

Signature

10/23/98
Date

Total number of pages including cover sheet, attachments, and document: 3

Express Mail Label No. (if applicable): _____

Docket No.: P09-98-156

PATENT
REEL: 9546 FRAME: 0145

A S S I G N M E N T

Whereas, We

| | | |
|----------|--|---|
| Inventor | (1) Mark A. Boonie County of Dutchess | of Hopewell Junction, and State of New York, |
| Inventor | (2) Jeffrey M. Nick County of Dutchess | of Fishkill, and State of New York, |
| Inventor | (3) Peter G. Sutton County of Dutchess | of Lagrangeville, and State of New York, |
| Inventor | (4) Wendell W. Wilkinson County of Dutchess | of Hyde Park, and State of New York. |

and

| | | |
|----------|---------------------------------------|--|
| Inventor | (5) Phil C. Yeh County of Dutchess | of Poughkeepsie, and State of New York, |
|----------|---------------------------------------|--|

have invented certain improvements in **A HIGH PERFORMANCE LOCKING FACILITY,**

and executed, respectively, a United States patent application therefor on

| | | | |
|---------------------|---|-----------------------------|---|
| (1) August 28, 1998 | , | (2) <i>October 21, 1998</i> | , |
| (3) August 28, 1998 | , | (4) August 28, 1998 | , |
| (5) August 28, 1998 | . | | |

Whereas, **INTERNATIONAL BUSINESS MACHINES CORPORATION**, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM,) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, and, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

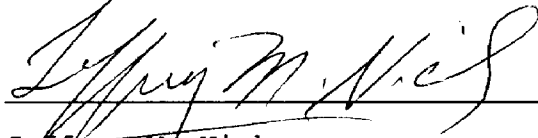
Signed

(1) at Poughkeepsie, NY

on 8/28/98

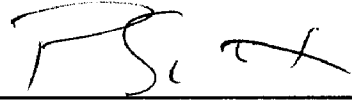
Mark A. Boonie
Mark A. Boonie

(2) at Poughkeepsie, NY
on 10-21-98



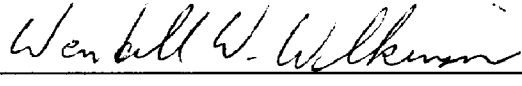
Jeffrey M. Nick

(3) at Poughkeepsie, NY
on 8/28/98



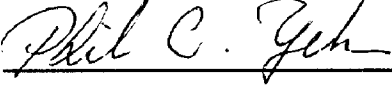
Peter G. Sutton

(4) at Poughkeepsie, NY
on 8/28/98



Wendell W. Wilkinson

(5) at Poughkeepsie, NY
on 8/28/98



Phil C. Yeh