

11-04-1998



100869063

To the Commissioner of Patents and Trademarks: Please record the attach.

## 1. Name of conveying party(ies):

Alan John KINGSMAN  
Susan Mary KINGSMAN  
Paula Marie CANNON  
Martin Andreas NOWAKRECEIVED  
Publishing Division  
OCT 26 1998

10-26-98

11

## 2. Name and address of receiving party(ies):

Oxford BioMedica Limited  
Magdalen Centre, Robert Robinson Avenue  
The Oxford Science Park  
Oxford OX4 4GA, UNITED KINGDOMAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: August 12, 1998 and July 28, 1998

## 4. Application number(s) or patent number(s):

09, 091, 305

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s)

## B. Patent No.(s)

&lt; 09/091,305 &gt;

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael B. Lasky  
Address: Merchant, Gould, Smith, Edell,  
Welter & Schmidt, P.A.  
3100 Norwest Center  
90 South Seventh Street  
Minneapolis, MN 55402-4131

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

DO NOT USE THIS SPACE

## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael B. Lasky

Name of Person Signing

Signature

October 21, 1998

Date

Total number of pages including cover sheet, attachments, and document: 3

&lt; 11/02/1998 BNGUYEN 00000134 09091305 &gt;

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40.00 00

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT  
REEL: 9546 FRAME: 0619

# INSTRUCTIONS

# ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS,

Inventor(s) Name,  
Address, Citizenship

1. Alan John KINGSMAN residing at Greystones, Middle Street, Islip, Oxon OX5 2SF United Kingdom and a citizen of Great Britain;
2. Susan Mary KINGSMAN residing at Greystones, Middle Street, Islip, Oxon OX5 2SF, United Kingdom and a citizen of Great Britain;
3. Paula Marie CANNON residing at 915 # Summit Drive, South Pasadena, CA 91030, United States of America and a citizen of Great Britain;
4. Martin Andreas NOWAK residing at 63 Charlbury Road, Oxford OX2 6UX United Kingdom and a citizen of Austria;

Date Patent Declaration  
Signed and Title Serial  
No. and filing date (if  
known)

(herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States on \_\_\_\_\_ for this invention, which application is entitled HOST ADAPTATION OF RETROVIRAL VECTORS and which has been given Serial Number \_\_\_\_\_ and the filing date of Intl. Filing Date, December 16, 1996;

Name of Corporation

AND WHEREAS Oxford Biomedica Limited (herein called "ASSIGNEE"), a corporation organized under the laws of Great Britain, and having an office and place of business at Magdalen Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford OX4 4GA United Kingdom

State or Country of  
Incorporation and Address

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

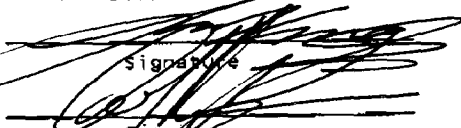
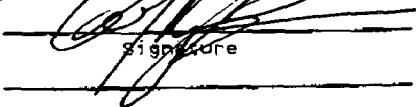
THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each inventor must sign &  
date

Note: No legalization or  
other witness required

|  |                               |
|--|-------------------------------|
| <br>Signature | <u>12 August 1998</u><br>Date |
| <br>Signature | <u>12 August 1998</u><br>Date |
| _____<br>Signature   | _____<br>Date                 |
| _____<br>Signature   | _____<br>Date                 |

# INSTRUCTIONS

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Address, Citizenship

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and a citizen of Great Britain;  
2. Susan Mary KINGSMAN residing at Greystones, Middle Street, Islip,  
Oxon OX5 2SF United Kingdom  
and a citizen of Great Britain;  
3. Paula Marie CANNON residing at 915 # Summit Drive, South Pasadena,  
CA 91030, United States of America  
and a citizen of Great Britain;  
4. Martin Andreas NOWAK residing at 63 Charlbury Road, Oxford  
OX2 6UX United Kingdom  
and a citizen of Austria;

Date Patent Declaration  
Signed and Title Serial  
No. and filing date (if  
known)

(herein called "THE UNDERSIGNED") have made an invention and have executed  
an application for Letters Patent of the United States on \_\_\_\_\_  
for this invention, which application is entitled HOST ADAPTATION OF  
RETROVIRAL VECTORS and which has been given Serial Number \_\_\_\_\_ and  
the filing date of Intl Filing Date December 16, 1996;

Name of Corporation

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a corporation organized under the laws of Great Britain, and having an  
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State or Country of  
Incorporation and Address

wishes to acquire the entire right, title and interest in and to said  
invention and patent application and any Letters Patent to be obtained  
therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar  
and other good and valuable consideration, the receipt and sufficiency of  
which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and  
transfer to the ASSIGNEE, its successors and assigns, the entire right,  
title and interest for the United States in and to the invention disclosed  
in the aforesaid application, and in and to the said application, all  
divisions, continuations, or renewals thereof, all Letters Patent which may  
be granted therefrom, and all reissues or extensions of such patents, and  
THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to  
issue any and all United States Letters Patent for the aforesaid invention  
to the ASSIGNEE of the entire right, title and interest in and to the same,  
for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors  
and legal representatives will make, execute and deliver (without charge  
but at the expense of the ASSIGNEE) any and all other instruments in  
writing including any and all further application papers, affidavits,  
assignments and other documents, and will communicate to said ASSIGNEE, its  
successors and representatives all facts known to THE UNDERSIGNED relating  
to said invention and the history thereof and will testify in all legal  
proceedings and generally do all things which may be necessary or desirable  
to vest the ASSIGNEE, its successors or assigns the entire right, title and  
interest in and to the said invention, applications, Letters Patent,  
rights, titles, benefits, privileges and advantages hereby sold, assigned  
and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE its  
successors and assigns, that no assignment grant, mortgage, license or  
other agreement affecting the rights and property herein conveyed has been  
or will be made to others by THE UNDERSIGNED, and that full right to convey  
the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand  
on the dates indicated below.

Each inventor must sign &  
date

Note: No legalization or  
other witness required

|                     |                   |
|---------------------|-------------------|
| Signature           | Date              |
| Signature           | Date              |
| Signature           | Date              |
| <u>Paula Cannon</u> | <u>8/12/98</u>    |
| <u>M. Nowak</u>     | <u>28 07 1998</u> |

PATENT  
REEL: 9546 FRAME: 0621

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                        |                                       |                 |            |
|------------------------|---------------------------------------|-----------------|------------|
| Applicant:             | KINGSMAN, et al.                      | Examiner:       | Unknown    |
| Serial No.:            | 09/091,305                            | Group Art Unit: | Unknown    |
| Filed:                 | Intl Filing Date December 16, 1996    | Docket:         | 9192.3USWO |
| Notice of Allow. Date: | NA                                    | Batch No.:      | NA         |
| Due Date:              |                                       |                 |            |
| Title:                 | HOST ADAPTATION OF RETROVIRAL VECTORS |                 |            |

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box ASSIGNMENTS Assistant Commissioner for Patents, Washington, D.C. 20231, on October 21, 1998.

By: 

Michael B. Lasky

Box ASSIGNMENTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Assignment of the invention to Oxford BioMedica Limited, Recordation Form Cover Sheet, and fee of \$40.00
- ☒ Check(s) in the amount of \$40.00, for Assignment Recordation Fee
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT, GOULD, SMITH, EDELL,  
WELTER & SCHMIDT  
3100 Norwest Center, Minneapolis, MN 55402  
(612) 332-5300

By: 

Name: Michael B. Lasky  
Reg. No.: 29,555  
MBL/sef

(PTO TRANSMITTAL - GENERAL)

RECORDED: 10/26/1998

PATENT  
REEL: 9546 FRAME: 0622