

11-04-1998

2 SHEET



To the Honorable Commissioner of Patent

100868807

and original documents or copy thereof. 2: 27

1. Name of Conveying party(ies): Cyprian E. Uzoh and James M. E. Harper

3. Nature of Conveyance: Assignment

Execution Date:
3/25/97 and 3/25/97

2. Name & address of receiving party(ies)

Name: International Business
Machines Corporation

Street

Address: Old Orchard Road

City: Armonk State: New York ZIP: 10504

4. Application number(s) or patent number(s):

Title of Application: APPARATUS FOR ELECTROCHEMICAL MECHANICAL PLANARIZATION

If this document is being filed together with a new application, the execution date of the application is: 3/25/97 and 3/25/97

A. Patent Application No(s).
Docket No. FI9-97-024
Serial No.

B. Patent No(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph P. Abate

Street Address:

IBM Corporation
Intellectual Property Law Dept.
Dept. 18G/Bldg. 300-482
1580 Route 52
Hopewell Junction, NY 12533-65316. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$40.00
Enclosed
☒ Authorized to be charged to deposit account8. Deposit A/C no.: 09-0458 (FI-141)
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement of signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph P. Abate, Reg. No. 30,238

Name of Person Signing

Telephone No. (914) 894-4633

Signature

3/26/97
DateTotal number of pages, including cover sheet, attachments, and document 2

Express Mail No. EF901312874US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231. Applicant and/or Attorney requests the date of deposit as the filing date.

MARCH 26, 1997
DATE OF DEPOSIT

Colleen M. Genadry

SIGNATURE AND DATE

PATENT
REEL: 9548 FRAME: 0794

ASSIGNMENT

WHEREAS, we

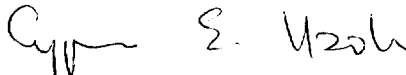
(1) Cyprian E. Uzoh
County of Dutchessof Hopewell Junction
and State of New Yorkand (2) James M. E. Harper
County of Westchesterof Yorktown Heights
and State of New Yorkhave invented certain improvements in
APPARATUS FOR ELECTROCHEMICAL MECHANICAL PLANARIZATIONand executed a United States patent application therefor on:
(dates that Inventors signed the declaration)

(1) 3/25 1997, and

(2) 3/25 1997, and

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed
(city and date)(1) at East Fishkill
on 3/25 1997,
Cyprian E. Uzoh(2) at Yorktown Heights
on 3/25 1997,
James M. E. Harper**PATENT**