

R

11-04-1998

Atty. Docket No. YO996-118X  
ETTo The Honorable  
Please record the

100869176

and Trademarks:  
its or copy thereof.

## 1. Name of conveying party(ies):

Kevin Kok Chan **10-29-98**  
Jack Oon Chu  
Khalid EzzEldin Ismail  
Stephen A. Rishton  
Katherine L. SaengerAdditional name(s) of conveying  
party(ies) attached? \_\_\_ Yes \_\_\_ X No2. Name and address of receiving  
party(ies):Name: IBM Corporation  
Address: Old Orchard Road  
Armonk, NY 10504Additional name(s) & addresses  
attached? \_\_\_ Yes \_\_\_ X No

## 3. Nature of conveyance:

X Assignment

Execution Date(s):

19, 20, 31 August 1998  
and 6 October 1998

## 4. Patent Application number(s)

<09/107,738> **09,107,738**

Execution Date(s):

19, 20, 31 August 1998  
and 6 October 19985. Name and address of party to  
whom correspondence concerning  
document should be mailed:Name: Robert M. Trepp  
Address: IBM Corporation  
Intellectual Property Law  
P.O. Box 218  
Yorktown Heights, NY 105986. Total number of applications  
involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

X Charge to Deposit Account No.:  
09-0468

DO NOT USE THIS SPACE

## 8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true  
and correct and any attached copy is a true copy of original document.

Robert M. Trepp (Reg. No. 25,933)

October 27, 1998

Name of Person Signing

Signature

Date

Total number of pages including cover sheet,  
attachments, and document: 3

DO NOT DETACH THIS PORTION

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Missing Parts  
Washington, DC 20231Public burden reporting for this sample cover sheet is estimated to average  
about 30 minutes per document to be recorded, including time for reviewing  
the document and gathering the data needed, and completing and reviewing the  
sample cover sheet. Send comments regarding this burden estimate to the U.S.  
Patent and Trademark Office, Office of Information Systems, PK2-1000C,  
Washington, DC 20231, and to the Office of Management and Budget, Paperwork  
Reduction Project (0651-0011), Washington, DC 20503.PATENT  
REEL: 9548 FRAME: 0908

# Assignment

Whereas, we

Inventor  
and City

(1) Kevin Kok Chan  
County of Richmond

of Staten Island  
and State of New York

and (2) Jack Oon Chu  
Borough of Queens, NYC

of Astoria  
and State of New York

and (3) Khalid EzzEldin Ismail  
Country of Egypt

of Muhandesseen, Giza

and (4) Stephen Anthony Rishton  
County of Alameda

of Hayward  
and State of California

and (5) Katherine Lynn Saenger  
County of Westchester

of Ossining  
and State of New York

and (6)  
County of

of  
and State of

have invented certain improvements in

TITLE A SCALABLE MOS FIELD EFFECT TRANSISTOR

and executed, respectively, a United States patent application therefor on  
DATES THAT (1) August 20, 1998, and (2) August 20, 1998, and (3) August 31, 1998, and  
INVENTORS (4) August 31, 1998, and (5) August 19, 1998, and (6) 199 .  
SIGNED THE (u) October 6, 1998  
DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed  
CITY (1) at Yorktown Heights, NY Kevin K. Chan SIGNATURE  
DATE on August 20, 1998, ( Kevin K. Chan ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY and (2) at Yorktown Heights, NY Jack O. Chu SIGNATURE  
DATE on August 20, 1998, ( Jack O. Chu ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY and (3) at August 31, 1998, Khalid E. Ismail SIGNATURE  
DATE on 1998, ( Khalid E. Ismail ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY and (4) at Hayward CA Stephen A. Rishton SIGNATURE  
DATE on 10/6/98 1998, ( Stephen A. Rishton ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY and (5) at Yorktown Heights, NY Katherine L. Saenger SIGNATURE  
DATE on August 19, 1998, ( Katherine L. Saenger ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY and (6) at \_\_\_\_\_ SIGNATURE  
DATE on 199 , ( \_\_\_\_\_ ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

State of New York ) SS.:  
County of Westchester )

INVENTOR  
(1)

On this 20<sup>th</sup> day of August 1998, before me personally came Kevin K. Chan to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

State of New York ) SS.:  
County of Westchester )

INVENTOR  
(2)

On this 20<sup>th</sup> day of August 1998, before me personally came Jack O. Chu to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

State of ) SS.:  
County of )

INVENTOR  
(3)

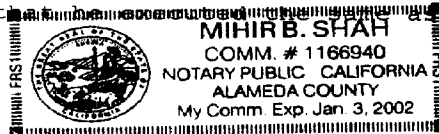
On this day of 1998, before me personally came Khalid E. Ismail to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

State of CALIFORNIA ) SS.:  
County of Alameda )

INVENTOR  
(4)

On this 06 day of OCT. 1998, before me personally came Stephen A. Rishton to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.



*Mihir B. Shah*

State of New York ) SS.:  
County of Westchester )

INVENTOR  
(5)

On this 19<sup>th</sup> day of August 1998, before me personally came Katherine L. Saenger to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

State of ) SS.:  
County of )

INVENTOR  
(6)

On this day of 199 , before me personally came to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

Notary