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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Banning Gray Lary and Herbert R. Radisch, Jr.	)
Serial No.:	09/123,758	)
Filed:	July 27, 1998	) )Art Unit
For:	NEOVASCULARIZATION CATHETER	) 3731
Examiner:	Unknown	)
Attorney Docket:	10745.117.1	)
	TRANSMITTAL OF ASSIGNMENT	
Box Assignments		

Box Assignments Commissioner of Patents and Trademarks Washington, D. C. 20231

Sir:

Please record the enclosed original patent Assignment in the United States Patent and Trademark Office.

1. Name of conveying party(ies):

Banning Gray Lary 6371 Southwest 87<sup>th</sup> Terrace Miami, Florida 33143

Herbert R. Radisch, Jr. 10953 Canis Lane San Diego, California 92126



2. Name and address of receiving party(ies):

InterVentional Technologies, Inc. 3574 Ruffin Road San Diego, California 92123

3. Nature of conveyance: Assignment

Execution Date: October 15, 1998

4. Application number(s) or patent number(s):

Patent Application No. 09/123,758

5. Name and address of party to whom correspondence concerning document should be mailed:

STEVEN G. ROEDER NYDEGGER & ASSOCIATES 4350 La Jolla Village Drive Suite 950 San Diego, California 92122 Telephone: (619) 455-5700

- 6. Total number of applications and patents involved: <u>1</u>
- 7. Total fee (37 CFR 3.41): <u>\$40.00</u>
  - <u>X</u> Enclosed Check No. 11120

\_\_\_\_ Authorized to be charged to deposit account

8. Deposit account number: 14-1519

9. Statement and signature.

The undersigned declares that, to his best knowledge and belief, the information contained in this Transmittal of Assignment is correct, and that any attached copy of the Assignment is a true copy of the original document.

DATED this 2 ( day of October, 1998.

Respectfully submitted,

2 · 12

STEVEN G. ROEDER Attorney for Applicant Registration No. 37,227

NYDEGGER & ASSOCIATES 4350 La Jolla Village Drive Suite 950 San Diego, California 92122 Telephone: (619) 455-5700

> PATENT REEL: 9549 FRAME: 0451

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.:	09/123,758	)
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## CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Assignments, Commissioner of Patents and Trademarks, Washington, D. C. 20231, on this 21 day of October, 1998.

STEVEN G. ROEDER Attorney for Applicant Registration No. 37,227

NYDEGGER & ASSOCIATES 4350 La Jolla Village Drive, Suite 950 San Diego, California 92122 Telephone: (619) 455-5700

Transmitted: Assignment for Recordation; Check #11120.

assignpto10-21-98:clm

#### ASSIGNMENT

We, Banning Gray Lary of 6371 Southwest 87th Terrace, Miami, Florida 33143 and Herbert R. Radisch Jr. of 10953 Canis Lane, San Diego, California 92126 have invented a method and apparatus entitled NEOVASCULARIZATION CATHETER, which is a continuation-in-part of pending prior Application Serial No. 08/726,401 filed on October 3, 1996, hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application executed concurrently herewith by us and now identified as File No. 10745.117.1 of the law firm of Nydegger & Associates, 4350 La Jolla Village Drive, Suite 950, San Diego, California 92122, and filed in the United States Patent and Trademark Office as Serial No.  $09/\underline{123,758}$  on Ju1y 27, 1998. (We hereby authorize the attorneys of Nydegger & Associates to insert said serial number and filing date when known.)

The Assignee, InterVentional Technologies Inc., a corporation of the State of California, having a principal place of business at 3574 Ruffin Road, San Diego, California 92123, desires to secure the entire right, title, and interest in said invention.

For good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

-1-

The entire right, title and interest in said invention in the above-identified United States patent application and in all divisions and continuations of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and Foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and

Trademarks, and such Patent Office officials in foreign countries as are duly authorized by

their patent laws to issue patents, to issue any and all patents on said invention to the

Assignee as the owner of the entire interest, for the sole use and behoof of the said

Assignee, its successors, assigns, and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign

all lawful papers and to perform all other lawful acts which the Assignee may request of us

to make this Assignment fully effective, including, by way of example but not of limitation,

the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of my ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

(Signatures on Page 3)

This Assignment and agreement shall be binding upon our heirs and legal representatives.

#### ACKNOWLEDGMENT

STATE OF FLORIDA COUNTY OF Dade ) SS.

On <u>July 21</u>, 1998, before me, <u>ANDREA LERVICK</u>, Notary Public, personally appeared BANNING GRAY LARY, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

uch D.

(Seal)

ANDREA PERNICK MY COMMISSION # CC 383225 EXPIRES: August 2, 1998 Bonded Thru Notary Public Underwriters

(Signatures Continued on Page 4)

PATENT REEL: 9549 FRAME: 0455

Herbert R. Ralin u HERBERT R. RADISCH. JR.

#### ACKNOWLEDGMENT

STATE OF CALIFORNIA

COUNTY OF SAN DIEGO

) ss.

On <u><u><u>Betober</u> 15</u>, 1998, before me, <u><u>Evelisse</u> <u>Hernandez</u></u>, Notary Public, personally appeared HERBERT R. RADISCH JR., personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.</u>

WITNESS my hand and official seal.

Eveline Hernandez

(Seal)



assignment7/16/98:jgc Docket: 10745.117.1