

11-05-1998



FORM PTO-1595
1-31-92
Docket No.: PENN-0655

100869614

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

10-19-98

ID NO:

To The Honorable Commissioner of Patents and Trademarks. Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies):
James Eberwine

2. Name and address of receiving party(ies):
Name: **The Trustees of the University of Pennsylvania**
Internal Address: **Center for Technology Transfer**
Street Address: **3700 Market Street, Suite 300**
City: **Philadelphia**
State: **Pennsylvania** Zip: **19104-3147**

Additional name(s) of conveying party(ies) attached?
() YES (XX) NO

3. Nature of Conveyance:
(XX) Assignment () Merger
() Name Change () Security Agreement
() Other: _____
Execution date: **October 6, 1998**

Additional names (s) & address attached?
() YES (XX) NO

4. Application number(s) or patent number(s):
A. Patent Application No(s). **09/154,477** FILED **September 16, 1998** B. Patent No(s).
If this document is being filed together with a new application, the execution date of the application is:
Additional numbers attached? () YES (XX) NO

5. Name and address of party to whom correspondence concerning documents should be mailed:

6. Total number of applications and patents involved: **One (1)**

Jane Massey Licata, Esq.
Law Offices of Jane Massey Licata
66 E. Main Street
Marlton, New Jersey 08053

7. Total fee (37 CFR 3.41): \$
() Enclosed
(XX) Authorized to be charged to deposit account.

8. Deposit Account number: **12-1086**
(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jane Massey Licata, Reg. No. 32,257
Name of Person Signing

Signature *Jane Massey Licata* October 14, 1998

Total number of pages comprising cover sheet, attachments, and document: **Three**

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

11/03/1998 DNGUYEN 00000174 121086 09154477

01 FC:581 40.00 CH

PATENT
REEL: 9552 FRAME: 0263

A S S I G N M E N T

WHEREAS, I **James Eberwine**, herein after referred to as the assignor, residing at **3918 Henry Ave., Philadelphia, PA, 19129**, am the inventor of certain inventions or improvements for which I have made application for Letters Patent of the United States, identified as Serial No. **09/154,477**, filed **September 16, 1998**, entitled **Identification of Functional Transcription Factors Synthesized in Developing Neurons**; and

WHEREAS, **The Trustees of the University of Pennsylvania**, hereinafter referred to as the assignee, of **Philadelphia, Pennsylvania**, a non-profit corporation of Pennsylvania, U.S.A., is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

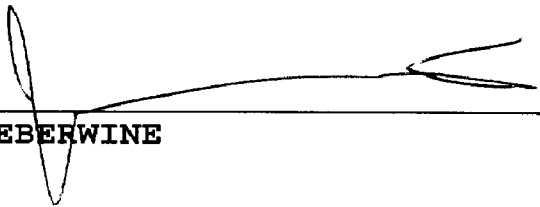
NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said assignor, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any re-issue or re-issues or extension or extensions of said Letters Patent, and assign to and authorize said assignee to file in my name applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

AND I hereby covenant that I have full right to convey the entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith, and I further covenant and agree that I will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees or legal representatives, and I agree to communicate to said assignee, or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify

in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents of applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.



JAMES EBERWINE (L.S.)

DATE: 10/6/98

STATE OF: PENNSYLVANIA

COUNTY OF: Philadelphia SS

On 10/6/98, before me Felecia J. Williams, Paralegal
(date) (name and title of officer)

personally appeared James Eberwine
(name of signer(s))

() personally known to me -OR- () proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Felecia J. Williams (Seal)
Signature

