

# 11-06-1998

# 100871541

FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)	RECORDATION FORM COVER SHEET PATENTS ONLY	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
To the Hon. Commissioner of Patents	and Trademarks: Please record the attached ori	ginal documents or copy thereof.
1. Name of conveying party(ies):	2. Name and address	of receiving party(ies):
Martin Riegels Rainhard Koch Lars Saaby Pedersen Henrik Lund	Name: Novo Nordis Internal Address:	
	Street Address: N	Novo Alle
Additional name(s) of conveying party(ies) attached?	DK-2880 Bagsvaerd	l, Denmark
3. Nature of conveyance: [x] Assignment [] M	Additional name(s) & address(a	ss) attached? [ ]Yes [x] No
[ ] Security Agreement [ ] C	hange of Name	: - -
[ ] Other	18/98; & 8/31/98	
A. Patent Application No.(s) 09/119,8	er with a new application, the execution date  92  B. Patent No.(s)	of the application is:
Additional numbers attached? [ ] Yes [x] No		
5. Name and address of the party to whom concerning document should be mailed:	correspondence 6. Total number of appl	ications and patents involved: one
Name: Steve T. Zelson, Esq.		
Internal Address: Novo Nordisk of North America, In	[ ] Enclosed	41) \$ 40.00
Street Address: 405 Lexington Average Suite 6400 City: New York State: NY Zip: 101	8. Deposit account numb	· · · · · · · · · · · · · · · · · · ·
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of the original document.  Reza Green, Esq.  Name of Person Signing	pages including cover sheet, attachments, and	October 22 1998 Date

**REEL: 9554 FRAME: 0237** 

## ASSIGNMENT OF APPLICATION FOR PATENT

#### WHEREAS:

Martin Riegels, a citizen of Germany, residing at Weissdornweg 35, 42799 Leichlingen, Germany;

Rainhard Koch, a citizen of Germany, residing at Rybnikerstrasse 12, 51065 Köln, Germany;

Lars Saaby Pedersen, a citizen of Denmark, residing at Stavnsholtvej 173, DK-3520 Farum, Denmark; and

Henrik Lund, a citizen of Denmark, residing at 2113 Thorpshire Dr., Raleigh, North Carolina 27615, U.S.A.

(hereinafter ASSIGNORS), have made a discovery or invention entitled:

# **Enzymatic Hydrolysis Of Cyclic Oligomers**

for which application of Letters Patent of the United States has been filed on <u>July 21</u>, 1998, under Serial No. 09/119,892, and

#### WHEREAS:

Novo Nordisk A/S, a corporation organized under the laws of Denmark, located at Novo Alle, DK-2880 Bagsvaerd, Denmark (hereinafter ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of one dollar (\$1) or the equivalent thereof, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive rights, titles and interests to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, extension, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

PATENT REEL: 9554 FRAME: 0238 SAID ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

SAID ASSIGNORS hereby covenant that we have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over.

AND SAID ASSIGNORS hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, and assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to us respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives or assigns, we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Date: 1.10.98

Martin Riegels

Date: 05,10,98

Reinlead Koch

Rainhard Koch

Date: 1998-08-18

Lars Saaby Pedersen

Date: 1998-08-31

Henrik Lund

Henrik Lund

Attorney Docket No.: 4598.204-US

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Riegels et al.

Serial No.: 09/119,892

Group Art Unit: To be assigned

Filed: July 21, 1998

Examiner: To be assigned

For: Enzymatic Hydrolysis Of Cyclic Oligomers

# **CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

Box Assignment Commissioner of Patents and Trademarks Washington, DC 20231

**RECORDED: 10/27/1998** 

Sir:

I hereby certify that the attached correspondence comprising:

- 1. Recordation Form Cover Sheet
- 2. Executed Assignment

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks Washington, DC 20231

on October 22, 1998.

Gina Maldonado

(name of person mailing paper)

(signature of person mailing paper)

PATENT REEL: 9554 FRAME: 0240