

02-24-1999

Docket No.: 1184

FORM PTO-1595 (Modified)

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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P08A/REV02

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

HNA Holdings, Inc.

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: January 13, 1999

2. Name and address of receiving party(ies):

Name: Corning Incorporated

Address:

City: Corning

State/Prov.:

Country: New York

ZIP: 14831

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

4,869,847

issued

9/26/89

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mr. Alfred L. Michaelson, Senior Vice President

Registration No.

Address: Corning Incorporated

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account.☐ Authorized to be charged to deposit account

8. Deposit account number:

02/24/1999 DC0ATES 00000072 4869847

01 FC:581 40.00 OP

02 FC:584 120.00 OP

City: Crning

State/Prov.: NY

Country: USA

ZIP: 14831

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael A. Caputo - Reg. No. 24,237

Name of Person Signing

Signature

10

Date

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 9556 FRAME: 0512

ASSIGNMENT

WHEREAS, HNA HOLDINGS, INC. (legal successor to Hoechst Celanese Corporation (**HNA**), a corporation duly organized and existing under the laws of the State of Delaware, having its principal place of business at 30 Independence Boulevard, Warren, New Jersey 07059, USA, is the owner of United States Patent No. 4,869,847, issued September 26, 1989, entitled "A MICRODISPERSE POLYMER/LIQUID CRYSTAL COMPOSITE"; and

WHEREAS, **HNA** has agreed to assign to CORNING INCORPORATED, Corning, New York 14831 (**CORNING**), said United States Patent, recorded March 16, 1987 under Reel Number 4688, Frame Number 0222.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and for other good and valuable consideration, **HNA** sells, assigns and transfers to **CORNING** and the successors, assigns and legal representatives of **CORNING** the entire right, title and interest in and to said Patent and any reissue or re-examination thereof and including all foreign members of the same family of patent applications as listed on Schedule A, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention.

HNA hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

HNA further covenants that **CORNING** will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Patent and legal equivalents as may be known and accessible to **HNA** and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver

to **CORNING** or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said invention and said Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes hereof.

HNA hereby authorizes **CORNING** to apply for and obtain the recordation of this Assignment. **HNA** agrees that it shall, without further consideration, promptly and duly cause to be performed such lawful acts and execution of any other documents as **CORNING** may reasonably request in order for **CORNING** to obtain the full benefits of this Assignment and to permit **CORNING** to be duly recorded in each office, bureau and tribunal in the appropriate jurisdiction as the registered owner or proprietor of the rights hereby assigned. Such instruments and documents shall include, without limitation, such patents, affidavits and other documents for filing in such jurisdictions as **CORNING** may from time to time reasonably request.

By these presents **CORNING** does hereby accept this Assignment and authorizes the recording thereof with the appropriate authorities aforesaid.

IN WITNESS WHEREOF, the parties have caused this Assignment to be executed by its proper officers thereunto duly authorized on January 13, 1999.

**HNA HOLDINGS, INC. (legal successor to
HOECHST CELANESE CORPORATION)**

Assignor

By


Michael A. Caputo
Assistant Secretary

Title

State of New Jersey)
) ss.:
 County of Union)

Before me, a Notary Public, in and for said County, personally appeared MICHAEL A. CAPUTO who acknowledged that he is the Assistant Secretary of HNA Holdings, Inc., the corporation which executed the foregoing instrument and who acknowledged he signed said instrument on behalf of said corporation by authority of its Board of Directors; and that said instrument is the free corporate act and deed of HNA Holdings, Inc.

Sworn before me at Summit, New Jersey this 13th day of January 1999.



Notary Public
CAROL R. MADDALONI
 NOTARY PUBLIC OF NEW JERSEY
 MY COMMISSION EXPIRES MAY 19, 2003

CORNING INCORPRATED

Assignee

By Alfred E. Michaelson

Alfred E. Michaelson

Title Senior Vice President

SCHEDULE A

HNA Holdings, Inc./ Patents

| <u>Patent No.</u> | <u>Country</u> |
|-------------------|------------------|
| 0282963 | Belgium (EPO) |
| 0282963 | France (EPO) |
| 0282963 | Germany (EPO) |
| 0282963 | G. Britain (EPO) |
| 0282963 | Holland (EPO) |
| 0282963 | Italy (EPO) |