

11-09-1998

Attorney's Docket

Box Patent Applicati

Assistant Commissioner

Washington, D.C. 20231



100872831

PATENT

10/30/98

JCS49 U.S. PTO

09/183923



10/30/98

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION
(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The ☒ patent application ☐ provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

a. Type of application

☒ Application of patent (37 C.F.R. 1.51(a)(1))

☐ Provisional application (37 C.F.R. 1.51(a)(2))

☐ International application (37 C.F.R. 1.431)

b. Date of execution: October 30, 1998

NOTE: Leave this blank if assignment is for a provisional application.

c. Name of each inventor:

1: Juliana H.J. Brooks

2:

3:

d. Title of invention: METHOD AND APPARATUS FOR SHOOTING USING BIOMETRIC

RECOGNITION

NOTE: 37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b)(1) or § 1.62 is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended."

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date October 30, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL106775293US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tracey L. Milka

(type or print name of person mailing paper)

Tracey L. Milka

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

11/06/1998 JSHABAZZ 00000027 09183923

01 FC:581

40.00 OP

PATENT

REEL: 9559 FRAME: 0930

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Juliana H.J. Brooks
Name 2: _____
Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: QUID Technologies LLC
Address: 150 E. 58th Street
Suite 3400
New York, NY 10155
Telephone No.: (614) 475-9759

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 CFR 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- ☒ an assignment.
☐ a security agreement.
☐ a license.
☐ a merger.
☐ a change of name.
☐ a change of address.
☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: Ansel M. Schwartz
Address: One Sterling Plaza
201 North Craig Street, Suite 304
Pittsburgh, PA 15213

Telephone No.: (412) 621-9222

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on October 29, 1998:
date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.

The attached document:

- ☒ is in the English language.
☐ is not in the English language. A verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- ☒ the original document.
☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE** (37 CFR 3.31 (a)(6))

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

- ☒ Attached is a check for \$40.00.
☐ Please charge Account _____ \$40.00.

A duplicate of this cover sheet is attached.

**STATEMENT (37 CFR 3.31(a)(9))
AND SIGNATURE (37 CFR 3.31 (a)(10))**

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 10/30/98

Ansel M. Schwartz

Name of party submitting document

Ansel Schwartz

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Reg. No. 30,587

Ansel Schwartz

Signature of Attorney

Ansel M. Schwartz

One Sterling Plaza

(type or print name of Attorney)

201 North Craig Street, Suite 304

P.O. Address

Pittsburgh, PA 15213

Tel. No. (412) 621-9222

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

6

Total number of pages submitted

ASSIGNMENT FOR UNFILED APPLICATION FOR UNITED STATES
PATENT

(Sole or Joint Inventors)

WHEREAS: Juliana H.J. Brooks
5689 Walnut View Boulevard
Columbus, Ohio 43230
United States

FULL NAME(S) AND
POST OFFICE ADDRESS(S)
OF INVENTOR(S) (including
country)

(hereinafter referred to as ASSIGNOR), have invented and
own a certain invention entitled:

METHOD AND APPARATUS FOR SHOOTING USING
BIOMETRIC RECOGNITION

TITLE OF
INVENTION

for which application for Letters Patent of the United States
has been executed on even date herewith,

WHEREAS: QUID Technologies LLC
150 E. 58th Street
Suite 3400
New York, NY 10155
United States

FULL NAME AND
ADDRESS (including country)
OF ASSIGNEE

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:
Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

(Assignment for Unified Application for United States Patent [16-1]—page 1 of 2)

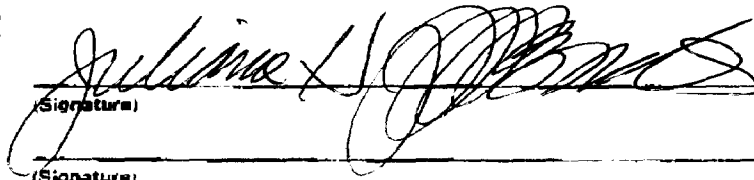
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale:

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

**Warning
DATE OF SIGNING:**

This must be the same as the date of signing of the declaration and power of the patent or design application.

IN WITNESS WHEREOF, I/We have hereunto set hand
and seal this 10-29-98
(Date of Signing)


(Signature)

(Signature)

(Signature)

SIGNATURE(S) The signature(s) must correspond with the name(s) of the inventor(s) above.

**Instruction sheet for assignment-
Signing**

All information, names of inventor(s) and assignee, title of invention and particulars of application should be completed.

No witnessing or legalization is necessary. However, if this assignment is legalized then it will only be prime facie evidence of the execution of the assignment.

(Assignment for Unified Application for United States Patent [16-1]—page 2 of 2)