

11-10-1998



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**PATENTS ONLY**

REF. 20000 US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**F. HOFFMANN-LA ROCHE AG**

2. Name and address of receiving party(ies):

Name: **HOFFMANN-LA ROCHE INC.**

Internal Address: \_\_\_\_\_

Additional name(s) of conveying

party(ies) attached? \_\_\_ Yes ☒ No

3. Nature of conveyance:

☒ Assignment \_\_\_ Merger

\_\_\_ Security Agreement \_\_\_ Change of Name

\_\_\_ Other \_\_\_\_\_

Street Address: **340 KINGSLAND STREET**

City: **NUTLEY** State: **NJ** Zip: **07110**

Execution Date: **OCTOBER 7, 1998**

Additional name(s) & address(es) attached:

\_\_\_ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

USSN: **09/170,453**

FILED: **OCTOBER 13, 1998**

Additional numbers attached? \_\_\_ Yes ☒ No

11/10/1998 DWGUYEN 00000288 082525 09170453

01 FC:581 40.00 CH

**PATENT**  
**REEL: 9563 FRAME: 0592**



5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE W. JOHNSTON

Internal Address:

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Street address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Authorized to be charged to deposit account

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8. Deposit account number:

08-2525

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

CATHERINE ROSEMAN SMITH

Name of Person Signing



Signature

NOVEMBER 4, 1998

Date

CONTACT: Lou Ann Donatoni

TELEPHONE: (973) 235-4389

FAX: (973) 235-2363

Total number of pages including cover sheet, attachments and document: 3



# Assignment

We,

**F.HOFFMANN-LA ROCHE AG (Assignor), a Swiss Company**  
of 124 Grenzacherstrasse, CH-4070 Basle (Switzerland)

hereby assign to

**HOFFMANN-LA ROCHE INC. (Assignee)**

a corporation organized and existing under and by virtue of the laws of the State of New Jersey, and having its principal place of business at Nutley, New Jersey, U.S.A.,

all of our right, title and interest within the United States of America and its territorial possessions in and to the invention described and claimed in a patent application entitled

**D-HOMO VITAMIN D3 DERIVATIVES**

which is about to be filed in the U.S. Patent Office in the name(s) of the inventor(s)

**Pierre Barbier, Franz Bauer, Peter Mohr, Marc Muller, Wolfgang Pirson**

and in respect of which a declaration and Power of Attorney was executed on:

**October 5, 1998**

and in and to said patent application and Letters Patent that may issue thereon.

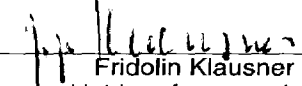
Said U.S. Patent Application is based upon the following foreign application(s):

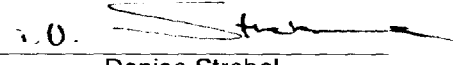
**In Europe on October 17, 1997 under No. 97118036.9**

and was assigned to F.HOFFMANN-LA ROCHE AG, Basle, Switzerland, by the said inventor(s) by an assignment dated October 5, 1998

Basle, Switzerland, this 7th day of October, 1998

**F.HOFFMANN-LA ROCHE AG**

  
Fridolin Klausner  
Holder of procuration

  
Denise Strebel  
Mandatory

09.10.98  
GJH

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