

11-10-1998

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<b>1. Name of conveying party(ies):</b> Ian J. Mitchell Steven Powell  <b>Additional name(s) of conveying party(ies) attached?</b> <u>Yes</u> <u>X</u> No	<b>2. Name and address of receiving party(ies):</b>  Name: IBM Corporation Address: New Orchard Road Armonk, NY 10504  <b>Additional name(s) &amp; addresses attached?</b> <u>Yes</u> <u>X</u> No
<b>3. Nature of conveyance:</b> <u>X</u> Assignment  <b>Execution Date(s):</b> <u>10/30/98</u>	<b>4. Patent Application number(s)</b> <u>09/185256</u> <b>Serial No.</b> <b>Filed:</b> <b>Execution Date(s):</b> <u>10/30/98</u>
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b>  Name: Louis P. Herzberg Address: IBM Corporation Intellectual Property Law P.O. Box 218 Yorktown Heights, NY 10598	<b>6. Total number of applications involved:</b> 1  <b>7. Total fee (37 CFR 3.41):</b> \$40.00 <u>X</u> Charge to Deposit Account No.: <u>09-0468</u> ✓

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**8. Statement and signature.**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of original document.

Louis P. Herzberg

*Louis Herzberg*

*Nov 2, 98*

Name of Person Signing  
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Docket No: UK9-98-115

**ASSIGNMENT**

Whereas, we,

(1) **Ian James Mitchell**  
County of **Hampshire**

and (2) **Steven Powell**  
County of **Hampshire**

of City **Eastleigh**  
and State of **United Kingdom**

of City **Winchester**  
and State of **United Kingdom**

have invented certain improvements in

**A TRANSACTION PROCESSING SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT**

and executed, respectively, a United States patent application therefor on

(1) 30 October 1998 and (2) 30 October 1998

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED

(1)   
**Ian James Mitchell**

at HURSLEY on 30th October 19 98  
(Town where signed) (Date)

(2)   
**Steven Powell**

at HURSLEY on 30th October 19 98  
(Town where signed) (Date)