

11-10-1998



cket Number

100875048

9328-008-999

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
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Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alan P. Kozikowski and Miles P. Smith

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 6 October 1998 and
6 October 1998, respectively

2. Name and address of receiving party(ies):

Name: GEORGETOWN UNIVERSITY

Address: Building D, Suite 177
4000 Reservoir Road, NW
Washington, D.C. 20007-2197

Country (if other than USA): _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/130,178
(filed 5 August 1998)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 10036

6. Number of applications and patents involved: ONE (1)

7. Total fee (37 CFR 3.41):.....\$ 40.00
Please charge to the deposit account listed in Section 8.

8. Deposit account number:
16-1150

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

30,605
Samuel B. Abrams Reg. No.

Signature

Date

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Total number of pages including cover sheet:

2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
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PATENT
REEL: 9566 FRAME: 0750

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ASSIGNMENT

WHEREAS, WE, ALAN P. KOZIKOWSKI, citizen of the United States, residing at 45 Cameron Court, Princeton, NJ 08540, and MILES P. SMITH, citizen of the United States, residing at 2001 N. Adams Street, #802, Arlington, VA 22201, (herein called "ASSIGNORS"), are the inventors of the invention in NOVEL BI- AND TRI-CYCLIC AZA COMPOUNDS AND THEIR USES for which we have executed an application for a Patent of the United States

- ☒ which is identified by Pennie & Edmonds LLP docket no. 9328-0008-999
- ☒ which was filed on August 5, 1998, Application No. 09/130,178

and WHEREAS, Georgetown University, having an address of Building D, Suite 177, 4000 Reservoir Road, NW, Washington, D.C. 20007-2197, (herein called "ASSIGNEE") is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date Oct 6, 1998 Alan P. Kozikowski L.S.

Date OCT 6, 1998 Miles P. Smith L.S.

State of Washington, DC
County of Ward 2 } SS.:

Sworn to before me and
subscribed in my presence
this 6th day of Oct, 1998

On this 6th day of October, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared Alan P. Kozikowski and Miles P. Smith, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

State of _____ }
County of _____ } SS.:

Susan L. Lucking Horn
Notary Public.
Commission exp 9/30/99

On this _____ day of _____, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared _____, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Notary Public.