

11-12-1998

Form PTO-1595

1-3-92



100876792

SHEET

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

11/3/98

## 1. Name and address of conveying party(ies):

1. Darin A. Allen
2. James P. Dunn
3. Eric B. Sjogren
4. David B. Smith

## 2. Name and address of receiving party(ies):

SYNTEX (U.S.A.) INC.  
3401 HILLVIEW AVENUE  
PALO ALTO, CALIFORNIA 94304

## 3. Nature of conveyance: [X] ASSIGNMENT

Execution Dates of Assignment:

(1) 06Oct1998; (2) 07Oct1998; (3) 29Oct1998  
(4) 22Oct1998

## 4. Application number(s) or patent number(s):

A. Patent Application No.(s)

U.S. Serial No. 08/864,708

## Attorney Docket No.

~~R0048B-REG~~

B. Patent No.(s)

RF0018

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ROHAN PERIES

Address: ROCHE BIOSCIENCE  
PATENT LAW DEPARTMENT M/S A2-250  
3401 HILLVIEW AVE  
PALO ALTO, CALIFORNIA 94304

## 6. Total number of applications and patents involved:

ONE

## 7. Total fee (37 CFR 3.41)..... \$ 40.00

[ ] Enclosed

[X] Authorized to be charged to deposit account

11/09/1998 SBURNS 00000123 181700 08864708

01 FC:581 40.00 CH

## 8. Deposit Account Number: 18-1700

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

REKHA BANSAL Reg. 36,440

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: [7]

## ASSIGNMENT

WHEREAS, We, Darin A. Allen, James P. Dunn, Eric B. Sjogren and David B. Smith, having the respective addresses indicated below, have invented certain subject matter entitled

### **"3-AROYLBENZYL PYRIDAZINONE DERIVATIVES"**

(the "Invention"), which is described in an application for Letters Patent of the United States of America, filed in the United States Patent and Trademark Office on May 28, 1997, under Serial No. 08/864,708 (the "Application");

AND WHEREAS, Syntex (U.S.A.) Inc., a corporation of Delaware, having an address at 3401 Hillview Avenue, P.O. Box 10850, Palo Alto, California 94303 ("Syntex"), desires to perfect its right to the Invention and in the Letters Patent to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, We, Darin A. Allen, James P. Dunn, Eric B. Sjogren and David B. Smith, acknowledge Syntex's interest in the Invention and hereby assign to Syntex for the United States of America and all countries foreign, all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in patent application Serial No. 08/864,708, or in any continuation, division, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, Application and Letters Patent to be owned by Syntex, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent may be granted.

This assignment is effective as of the earlier of the date of execution of this document or the filing date accorded by the United States Patent and Trademark Office to patent application Serial No. 08/864,708 as indicated in the Filing Receipt for said Application.

I have read and understand this Assignment document for patent application Serial No. 08/864,708, and agree hereto, as indicated by my signature as set forth below.

\*Signature  Date Oct 16 / 98

Darin A. Allen

519 Sierra Vista, No. 3, Mountain View, California 94043

\*Signature \_\_\_\_\_ Date \_\_\_\_\_

James P. Dunn

270 Portola Court, Los Altos, California 94022

\*Signature \_\_\_\_\_ Date \_\_\_\_\_

Eric B. Sjogren

442 Dell Avenue, Mountain View, California 94043


\*Signature \_\_\_\_\_ Date \_\_\_\_\_

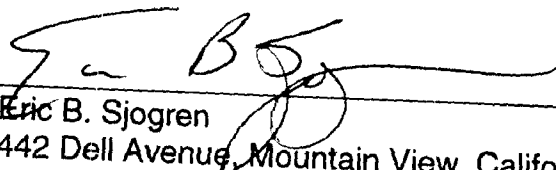
David B. Smith


218 West 40th Avenue, San Mateo, California 94403

I have read and understand this Assignment document for patent application Serial No. 08/864,708, and agree hereto, as indicated by my signature as set forth below.

\*Signature \_\_\_\_\_ Date \_\_\_\_\_  
Darin A. Allen  
519 Sierra Vista, No. 3, Mountain View, California 94043

\*Signature  \_\_\_\_\_ Date 10/7/98  
James P. Dunn  
270 Portola Court, Los Altos, California 94022

\*Signature  \_\_\_\_\_ Date 10/29/98  
Eric B. Sjogren  
442 Dell Avenue, Mountain View, California 94043

\*Signature  \_\_\_\_\_ Date 10/22/98  
David B. Smith  
218 West 40th Avenue, San Mateo, California 94403

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

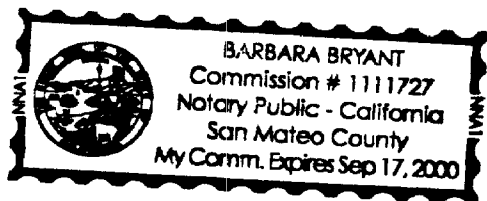
County of San Mateo

On October 6, 1998 before me, Barbara Bryant, Notary Public  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Darin A. Allen  
Name(s) of Signer(s)

- ☐ personally known to me  
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) ~~is~~<sup>are</sup> subscribed to the within instrument and acknowledged to me that ~~he~~<sup>she</sup>~~or they~~ executed the same in his/~~her~~<sup>their</sup> authorized capacity(ies), and that by his/~~her~~<sup>their</sup> signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Barbara Bryant  
Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Assignment

Document Date: October 6, 1998 Number of Pages: 2

Signer(s) Other Than Named Above: James P. Dunn; Eric B. Sjogren; David B. Smith

### Capacity(ies) Claimed by Signer(s)

Signer's Name: Darin A. Allen

- ☒ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing:

Self

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing:

RIGHT THUMBPRINT  
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# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California, U.S.A.

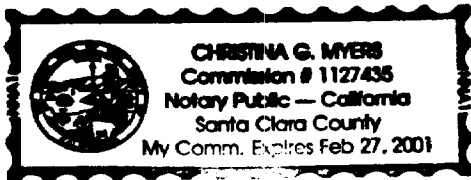
County of Santa Clara

On October 7, 1998 before me, Christina G. Myers,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared James P. Dunn  
Name(s) of Signer(s)

- ☒ personally known to me  
☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Christina G. Myers  
Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Assignment I for patent application USSN 08/864,708  
R0018B-REG

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: Dawn Allen, Eric Sjogren, David Smith

### Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_

- ☒ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing:

self

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Top of thumb here

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☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
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State of California, U.S.A.

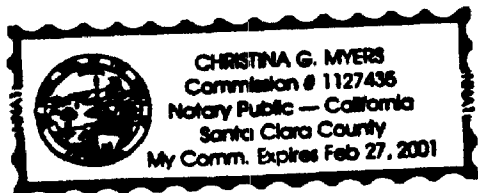
County of Santa Clara

On October 29, 1998 before me, Christina G. Myers  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Eric B. Sjogren  
Name(s) of Signer(s)

- ☒ personally known to me  
☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Christina G. Myers  
Signature of Notary Public

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Signer's Name: \_\_\_\_\_

- ☒ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing:

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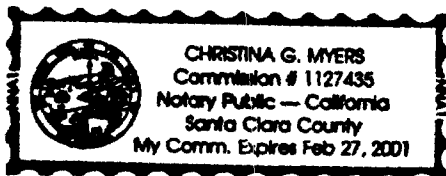
County of Santa Clara

On October 22, 1998 before me, Christina G. Myers  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared David B. Smith  
Name(s) of Signer(s)

- ☐ personally known to me  
☐ proved to me on the basis of satisfactory evidence

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