

11-12-1998

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTI
PATENT & TR

To the Honorable Commissioner of Patents and Trademarks: Please record the att:

100875964

1. NAME OF CONVEYING PARTY(IES):

Christopher William GOODSALL
Andrew David PARRY
Richard SAFFORD
Ambalavanar THIRU

11-3-98

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED?

YES [] NO [XX]

2. NAME AND ADDRESS OF RECEIVING PARTY(IES):

NAME: Lipton, Division of Conopco, Inc.

STREET ADDRESS: 800 Sylvan Avenue

CITY: Englewood Cliffs

STATE: NJ

ZIP: 07632

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED?

YES [] NO [XX]

3. NATURE OF CONVEYANCE:

[XX] ASSIGNMENT [] SECURITY AGREEMENT [] MERGER [] CHANGE OF NAME [] OTHER

EXECUTION DATE: October 15, 1998

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/115,961 FILED: July 15, 1998

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

A. PATENT APPLICATION NO.(S): 09/115,961

B. PATENT NO.(S)

ADDITIONAL NUMBERS ATTACHED? [] YES [XX] NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:
UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NEW JERSEY 07020

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [] ENCLOSED [XX] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT
[XX] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155 (Duplicate copy of this page is attached for payment by deposit account)

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9. STATEMENT AND SIGNATURE:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT.

JAMES J. FARRELL, ATTORNEY FOR APPLICANT(S)
REGISTRATION NO. 2616210/24/98
DATE

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS, AND DOCUMENT: 4

OMB NO. 0651-0011 (EXP. 4/94)

DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

11/09/1998 SBURMS 00000105 121155 09115961

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PATENT
REEL: 9569 FRAME: 0244

ASSIGNMENT

F 3159 (C) / US

WHEREAS, I/WE,

Christopher William GOODSALL

c/o Unilever Research Colworth, Colworth House, Sharnbrook,
Bedford, MK44 1LQ, United Kingdom

Andrew David PARRY

c/o Unilever Research Colworth, Colworth House, Sharnbrook,
Bedford, MK44 1LQ, United Kingdom

Richard SAFFORD

c/o Unilever Research Colworth, Colworth House, Sharnbrook,
Bedford, MK44 1LQ, United Kingdom

Ambalavanar THIRU

c/o Unilever Research Colworth, Colworth House, Sharnbrook,
Bedford, MK44 1LQ, United Kingdom

have invented certain improvements in: **IMPROVEMENTS IN OR RELATING TO
PRODUCING THEAFLAVIN**

(bearing Attorney's Docket Number: **F 3159 (C)**), which is found in

[Check and complete (a), (b) or (c)]

(a) _____ U.S. patent application executed on _____

(b) X To comply with 37 CFR 3.21 for recordal of this assignment, I/WE as
ASSIGNOR(S) signing below, hereby authorise and request my/our attorney, as named
in the Declaration and Power of Attorney to insert below the filing date and application
number when it becomes known.

U.S. application Serial No. 09/115,906 filed on July 15, 1998

(c) _____ International Application No. PCT/ _____

AND WHEREAS, LIPTON, DIVISION OF CONOPCO, INC., a corporation of **NEW YORK** having a principal place of business at **800 SYLVAN AVENUE, ENGLEWOOD CLIFFS, NEW JERSEY 07632** desires to acquire the entire right title and interest in and to the aforesaid invention, and to said United States application or any reissues, divisions, continuation, or continuation-in-part thereof, and in any Letters Patent which may issue therefrom;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **LIPTON, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said

Notarise & Apostille


**PATENT
REEL: 9569 FRAME: 0245**

applications or any of them, the same to be held by and for the sole and exclusive use and benefit of the said **LIPTON, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **LIPTON, DIVISION OF CONOPCO, INC.**, as the ASSIGNEE of the entire right, title and interest in and to the same.


AND I/We do hereby agree to assist said **LIPTON, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **LIPTON, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this country fully to secure to said **LIPTON, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

Dated: 15-10-98 (1) 
Inventor - Christopher William GOODSALL

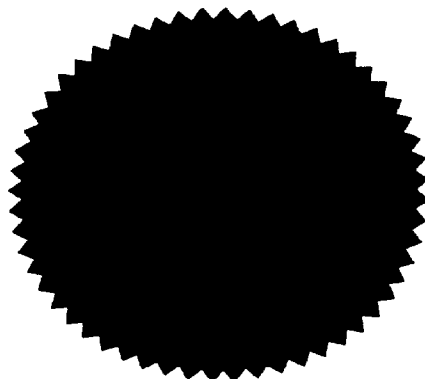
Dated: 15-10-98 (2) 
Inventor - Andrew David PARRY

Dated: 15-10-98 (3) 
Inventor - Richard SAFFORD

Dated: 15-10-98 (4) 
Inventor - Ambalavanar THIRU

Signed by Christopher William
Goodsall, Andrew David Parry,
Richard Safford and Ambalavanar
Thiru at Shankbrook, Bedfordshire
this 15th day of October 1998 before
me

T. A. Barden
Notary Public



Notarise & Apostille

PATENT
T. A. BARRELL: 9569 FRAME: 0246

APOSTILLE

(Hague Convention of 5 October 1961/Convention de La Haye du 5 octobre 1961)

1. Country: United Kingdom of Great Britain and Northern Ireland
Pays: Royaume-Uni de Grande-Bretagne et d'Irlande du Nord

This public document/Le présent acte public

2. has been signed by
a été signé par T.A. BARDEN
3. acting in the capacity of
agissant en qualité de Notary Public
THE SAID NOTARY PUBLIC
4. bears the seal/stamp of
est revêtu du sceau/timbre de

Certified/Attesté

21 OCT 1998

5. at London/à Londres 6. the/le
7. by Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs/
par le Secrétaire d'Etat Principal de Sa Majesté aux Affaires Etrangères et du Commonwealth.

8. Number/sous No G 579407

9. Stamp:
timbre:



10. Signature:

[Handwritten signature]
.....

For the Secretary of State/Pour le Secrétaire d'Etat