

11-06-1998

FORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



100870654

ER SHEET

U.S. Department of Commerce

-Y

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks

and the attached original document or copy thereof.

1. Name of conveying party(ies):

Samir M. Henalla

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: October 5, 1998

2. Name and address of receiving party(ies):

Name: Uroplasty, Inc.

Internal Address:

Street Address: 2718 Summer Street Northeast

City: Minneapolis State: MN Zip: 55413

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/040,594

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan D. Spangler
Arnold, White & Durkee
P.O. Box 4433

City: Houston State: Texas Zip: 77210

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41)----- \$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account

if check insufficient or inadvertently omitted

8. Deposit account number: 01-2508/UROP:011/SPJ

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jonathan D. Spangler

Name of Person Signing, Reg. No. 40,182

Signature

Date

Total number of pages including cover sheet, attachments and documents: 2CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Office of Public Records, Crystal Gateway 4, Room 335, Washington, DC 20231, on the date below:

Date

10-26-98

Signature

11/05/1998 JMWTKINS 00000145 09040594

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M: 9222(746011.DOC)

PATENT
REEL: 9569 FRAME: 0654

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Uroplasty, Inc. (the "Assignee"), having a place of business at 2718 Summer Street Northeast, Minneapolis, Minnesota 55413, the entire right, title and interest for the United States and all foreign countries in and to any and all inventions, including any devices, methods, compositions and for improvements which are disclosed in U.S. Patent Application Serial No. 08/752,038 filed on November 19, 1996, and U.S. Patent Application Serial No. 09/040,594, filed on 18 March 1998 as a Continuation-In-Part of U.S. Patent Application Serial No. 08/752,038, both entitled "INSTRUMENT FOR GUIDING DELIVERY OF INJECTABLE MATERIALS IN TREATING URINARY INCONTINENCE", such applications and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such inventions; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such inventions; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such inventions; execute all rightful oaths, declarations, assignments, powers of attorney and other papers communicate to the Assignee all facts know to the undersigned relating to such inventions and the history thereof; and generally do everything which the Assignor regards as being reasonable for vesting title to such inventions in the Assignee; and for securing, maintaining and entering proper patent protection for such inventions;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Samir Morris Henalla
Name: Samir M Henalla

Date: 5th October 1998

cy
STATE OF Wakefield
COUNTY OF West Yorkshire ss.

BEFORE ME, the undersigned authority, on this 5th day of October 1998, personally appeared Samir M Henalla, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Linda H. Box

Notary or Consular Officer

Duly noted - attested
Reg. folio 152
Linda H. Box
Notary Public
Wakefield
England 5.10.98

CERTIFICATE OF MAILING
37 C.F.R 1.8

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Date

Trishung Petase
Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Samir M. Henalla

Serial No.: 09/040,594

Filed: March 18, 1998

For: INSTRUMENT FOR GUIDING
DELIVERY OF INJECTABLE
MATERIALS IN TREATING URINARY
INCONTINENCE

Group Art Unit: 3736

Examiner: Unknown

Atty. Dkt. No.: UROP:011/SPJ

TRANSMITTAL

Commissioner of Patents and Trademarks
Office of Public Records
Crystal Gateway 4, Room 335
Washington, D.C. 20231

Sir:

Transmitted herewith please find an original of the following documents:

1. Recordation Form Cover Sheet;
2. Assignment;
3. Check in the amount of \$40.00; and

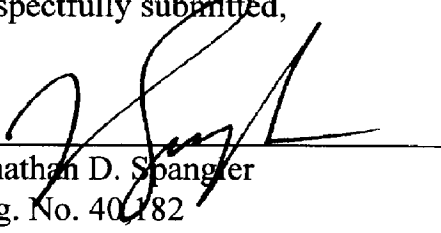
4. Return Postcard to acknowledge receipt of these materials.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Arnold, White & Durkee Deposit Account No. 01-2508/UROP:011/SPJ.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Date: 10-26-98


Jonathan D. Spangler
Reg. No. 40182
Attorney for Applicants

ARNOLD, WHITE & DURKEE
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Houston, Texas 77210-4433
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