

02-26-1999

Form PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

RECORDATI

DEPARTMENT OF COMMERCE
Patent and Trademark Office

100914095

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents, or copy thereof.

1. Name of conveying party(ies):

James A. Bailey, Angelo R. Mastrocola,
Jeffrey L. Sonntag, William B. WilsonAdditional name(s) of conveying party(ies) attached?
[] Yes [X] No

2. Name and Address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain AvenueP.O. Box 636City: Murray Hill State: NJ ZIP: 07974-0636Additional name(s) & address(es) attached?
[] Yes [X] No

3. Nature of conveyance:

[X] Assignment [] Merger
[] Security Agreement [] Change of Name
[] Other _____Execution Date: 9/1/98;8/31/98;8/31/98;8/31/98.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/144,916

B. Patent No.(s)

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steve MendelsohnInternal Address: Weiser & Associates, P.C.Street Address: 230 S. 15th StreetSuite 500City: Philadelphia State: PA ZIP: 19102

6. Total number of applications and patents involved: [1]

7. Total Fee (37 CFR 3.41).....\$40.00

[] Enclosed

[X] Authorized to be charged to deposit account

8. Deposit account number:

12-2325

(attach duplicate copy of this page if paying Fee by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steve Mendelsohn

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 11Bailey 2-6-18-4
990.0116Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 9569 FRAME: 0866

Attorney Docket No.: Bailey 2-6-18-4

ASSIGNMENT AND AGREEMENT

For value received, we:

James A. Bailey of Snowflake in the County of Navajo and State of Arizona,
Angelo R. Mastrocola of West Lawn in the County of Berks and State of Pennsylvania,
Jeffrey L. Sonntag of Allentown in the County of Lehigh and State of Pennsylvania,
William B. Wilson of Macungie in the County of Lehigh and State of Pennsylvania,

hereby sell, assign, and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns, and legal representatives, the entire right, title, and interest, for the United States of America, in and to certain inventions related to **Phase-Locked Loop With Static Phase Offset Compensation** described in an application for Letters Patent of the United States, executed by me of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign, and transfer to Lucent Technologies Inc. the entire right, title, and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

LAW OFFICES
Weiser & Associates, P.C.
SUITE 300
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PHILADELPHIA, PA 19102
(215) 875-8383
FACSIMILE (215) 875-8384

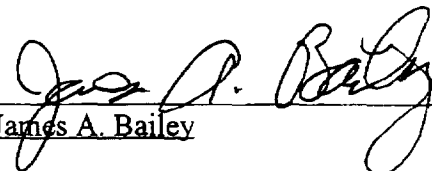
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PATENT
REEL: 9569 FRAME: 0867

-2-

Attorney Docket No.: Bailey 2-6-18-4

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protections.


James A. Bailey

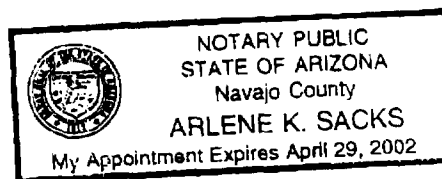
Date: 9/1/98

United States of America)

State of Arizona) ss.:County of Navajo)

On this 1st day of September, 19 98, before me personally came James A. Bailey, to me known to be the individual described in and who executed the foregoing instrument, and acknowledge execution of the same.


Notary Public



-3-

Attorney Docket No.: Bailey 2-6-18-4

Angelo R. Mastrocola

Date: _____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19_____, before me personally came Angelo R. Mastrocola, to me known to be the individual described in and who executed the foregoing instrument, and acknowledge execution of the same.

Notary Public

-4-

Attorney Docket No.: Bailey 2-6-18-4Jeffrey L. Sonntag

Date: _____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19_____, before me personally came Jeffrey L. Sonntag, to me known to be the individual described in and who executed the foregoing instrument, and acknowledge execution of the same.

Notary Public

-5-

Attorney Docket No.: Bailey 2-6-18-4William B. Wilson

Date: _____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19_____, before me personally came William B. Wilson, to me known to be the individual described in and who executed the foregoing instrument, and acknowledge execution of the same.

Notary Public

Attorney Docket No.: Bailey 2-6-18-4

ASSIGNMENT AND AGREEMENT

For value received, we:

James A. Bailey of Snowflake in the County of Navajo and State of Arizona,
Angelo R. Mastrocola of West Lawn in the County of Berks and State of Pennsylvania,
Jeffrey L. Sonntag of Allentown in the County of Lehigh and State of Pennsylvania,
William B. Wilson of Macungie in the County of Lehigh and State of Pennsylvania,

hereby sell, assign, and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns, and legal representatives, the entire right, title, and interest, for the United States of America, in and to certain inventions related to **Phase-Locked Loop With Static Phase Offset Compensation** described in an application for Letters Patent of the United States, executed by me of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign, and transfer to Lucent Technologies Inc. the entire right, title, and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

LAW OFFICES
of America, P.C.
SUITE 500
80 FIFTEENTH ST
ALLENTOWN, PA 18102
(215) 875-0253
FAX (215) 875-0254

990.0116

-2-

Attorney Docket No.: Bailey 2-6-18-4

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protections.

James A. Bailey

Date: _____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19____, before me personally came James A. Bailey, to me known to be the individual described in and who executed the foregoing instrument, and acknowledge execution of the same.

Notary Public

LAW OFFICES
Clerk & Associates, P.C.
SUITE 300
230 SO FIFTEENTH ST
PHILADELPHIA, PA 19102
(215) 875-0200
FACSIMILE (215) 876-2204

990.0116

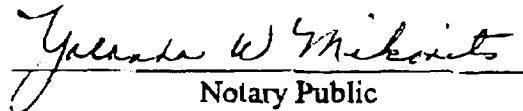
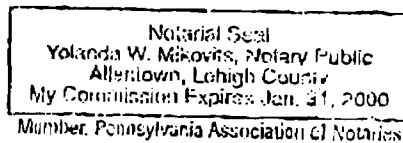
-3-

Attorney Docket No.: Bailey 2-6-18-4Angelo R. MastrocolaDate: August 31, 1998

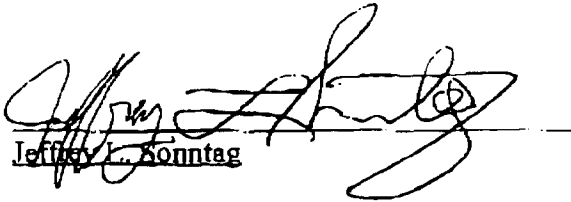
United States of America)

State of Pennsylvania) ss.:County of Lehigh)

On this 31st day of August, 19 98, before me personally came Angelo R. Mastrocola, to me known to be the individual described in and who executed the foregoing instrument, and acknowledge execution of the same.


Notary Public

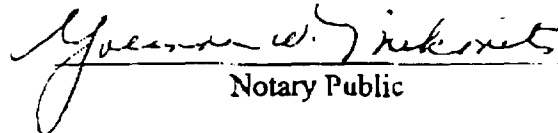
-4-

Attorney Docket No.: Bailey 2-6-18-4
Jeffrey L. SonntagDate: Aug. 31, 1998

United States of America)

State of Pennsylvania) ss.:County of Lehigh)

On this 31st day of August, 19 98, before me personally came Jeffrey L. Sonntag, to me known to be the individual described in and who executed the foregoing instrument, and acknowledge execution of the same.


Notary Public

Notarial Seal
Yolanda W. Mikovits, Notary Public
Allentown, Lehigh County
My Commission Expires Jun. 31, 2000
Member, Pennsylvania Association of Notaries

LAW OFFICES
Holt & Associates, P.C.
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990.0116

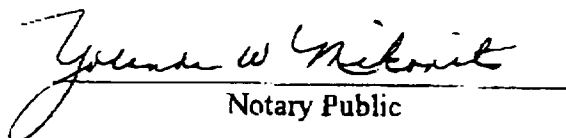
-5-

Attorney Docket No.: Bailey 2-6-18-4
William B. WilsonDate: 31 Aug 98

United States of America)

State of Pennsylvania) ss.:County of Lehigh)

On this 31st day of August, 19 98, before me personally came William B. Wilson, to me known to be the individual described in and who executed the foregoing instrument, and acknowledge execution of the same.


Notary Public

Notarial Seal
Yolanda W. Mikovits, Notary Public
Allentown, Lehigh County
My Commission Expires Jan. 31, 2000
Member, Pennsylvania Association of Notaries

LAW OFFICES
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UNITED STATES DEPARTMENT OF COMMERCE
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Washington, D.C. 20231

FEBRUARY 19, 1999

PTAS

WEISER & ASSOCIATES, P.C.
STEVE MENDELSON
230 S. 15TH STREET, SUITE 500
PHILADELPHIA, PA 19102



100866424A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 100866424

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. TO RECORD AN ASSIGNMENT DOCUMENT IN THE ASSIGNMENT DIVISION IN ITEM BOX 1 OF THE COVER SHEET ALL CONVEYING PARTIES MUST SIGN AND DATE THE ASSIGNMENT FOR EXECUTION.

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS