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Practitioner's Docket No. 01-4530

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

PCT/EP96/04950 November 12, 1996 November 15, 1995
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED

BRAKE SYSTEM
TITLE
POERTZGEN, GREGOR; MOHR, KURT
APPLICANT FOR DO/US

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: DO/US

09/068682

**COVER SHEET FOR ASSIGNMENT (37 CFR 1.331) ACCOMPANYING
INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371**

IDENTIFICATION OF APPLICATION (37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The patent application filed herewith, and to which the attached assignment (document) refers, is identified as follows:

- a. Date of execution: 20 APRIL 1998
- b. Name of each inventor:
 - 1: Gregor Poertzgen
 - 2: Kurt Mohr
 - 3:
- c. Title of invention: Brake System

NOTE: See 37 CFR 3.21.

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CERTIFICATION UNDER 37 C.F.R. 1.10*
(Express Mail label number is mandatory.)
~~(Express Mail certification is optional.)~~

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date 13 MAY 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL117384545US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

AMY M. BELL

(type or print name of person mailing paper)

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

WARNING: Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S. Designated Office (DO/US) under 35 USC 371 [13-10]—page 1 of 4)

PATENT
REEL: 9571 FRAME: 0228

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Gregor Poertzgen

Name 2: Kurt Mohr

Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: Lucas Industries public limited company

Address: Stratford Road
Solihull, B90 4LA, Great Britain

Telephone No.: ()

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

(37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a license.
- a merger.
- a change of name.
- a change of address.
- other.

NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE

SHOULD BE MAILED (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Name: Allen W. Inks - MacMillan, Sobanski & Todd

Address: One Maritime Plaza, 4th Floor
Toledo, Ohio 43604

Telephone No.: (419) 255-5900

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on 20 April 1998
Date

(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S. Designated Office (DO/US) under 35 USC 371 [13-10]—page 2 of 4)

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation. 37 CFR 3.26.

The attached document:

- is in the English language.
- is not in the English language. A verified English translation, signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- the original document.
- a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE (37 CFR 3.31(a)(6))

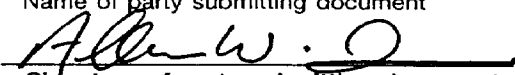
- 8. A. This cover sheet identifies only one application.
- B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.
 - Attached is a check for \$40.00.
 - Please charge Account 11-0417 \$40.00.
 - A duplicate of this cover sheet is attached.

STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: 13 MAY 1998

Allen W. Inks - MacMillan, Sobanski & Todd
 Name of party submitting document

 Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Allen W. Inks
SIGNATURE OF PRACTITIONER

Reg. No.: 37,358

Allen W. Inks
(type or print name of practitioner)

Tel. No.: (419) 255-5900

One Maritime Plaza, 4th Floor
P.O. Address

Customer No.:

Toledo, Ohio 43604

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

5
Total number of pages submitted

(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S. Designated Office (DO/US) under 35 USC 371 [13-10]—page 4 of 4)

ASSIGNMENT OF INVENTION AND
PATENTS THEREON

WHEREAS, We,

Gregor Poertzgen, Markenbildchenweg 32, 56068 Koblenz, Germany

and

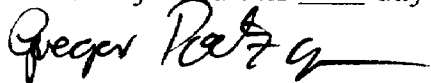
Kurt Mohr, Im Hof 3, 56283 Halsenbach / Ehr, Germany

have invented a new and improved

as fully disclosed in an application for Patent and filed by us on even date herewith, preparatory to obtaining Letters Patent of the United States therefor; and whereas, Lucas Industries public limited company a corporation organized under the Laws of Great Britain, and having its principal office at Stratford Road, Solihull, B90 4LA, Great Britain desires to acquire the entire interest in and to the subject-matter disclosed in said application and in and to all patents issued or to be issued thereon.

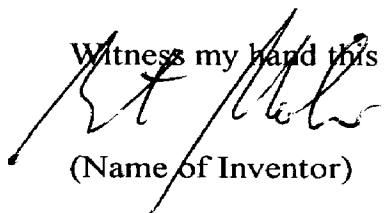
NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of the sum of One Dollar to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Lucas Industries public limited company our entire right, title and interest in and to the subject-matter disclosed in said application and in and to all Letters Patent Domestic and Foreign issued or to be obtained thereon, including all rights and interests with priority rights under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperative Union, European Patent Convention, Common Market Convention, or any other Convention or Union for each country of said Convention or Union; and we do hereby authorize and request the Commissioner of Patents to issue the Letters Patent granted on said application and all future patents granted upon the subject-matter disclosed therein to the above named Assignee, its legal representatives and assigns.

Witness my hand this 20 day of April, 1988



(Name of Inventor) Gregor Poertzgen

Witness my hand this 20 day of April, 1988



(Name of Inventor) Kurt Mohr