"Express Mail" Mailing Label Number EL161255205US. Date of Deposit: 5-9 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Aggistant Ommissioner for Patents Washington, D.C. 20231

11:/5/98

IN THE UNITED STATES PATENT AND	TRADEMARK OFFICE
In re Application of Hoffman, Jr. et al.	) Examiner: )
Serial No.: Unknown	) ) Art Unit:
Filed: Herewith	11-16-1998
For: USING A CRITICAL COMPOSITION GRADING TECHNIQUE TO DEPOSIT INGAAS EPITAXIAL LAYERS ON INP SUBSTRATES	100877450
	) ) ) Cleveland,Ohio 44114 November 5, 1998
ASSIGNMENT TRANSMITTAL	L LETTER
Assistant Commissioner For Patents Washington, D.C. 20231	
Dear Sir:	
Please record the attached	d original document(s)
or copy(ies) thereof.	
Name of Party(ies) conveying ar	n interest:
Richard W. Hoffman, S David M. Wilt	Jr.
2. Name of Party(ies) receiving ar	n interest:
ESSENTIAL RESEARCH, 1 23811 Chagrin Bouleva Cleveland, Ohio 4412	ard, Suite 280
	8
<ol> <li>Description of the interest cor</li> </ol>	nveyed: 🕿 🕏
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Security Agreement	Merge <b>r</b>
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**REEL: 9571 FRAME: 0373** 

- This document is being filed together with a new 4. application, the execution date of which is: November 5, 1998.
- Name and address of Party to whom correspondence 5. concerning this document should be mailed:

Sandra M. Koenig Reg. No. 33,722 FAY, SHARPE, BEALL, FAGAN MINNICH & MCKEE 1100 Superior Avenue, 7th Floor Cleveland, Ohio 44114-2518

- One patent application is involved. 6.
- 7. Amount of fee enclosed or authorized to be charged: \$ 40.00
- Deposit Account Number (attached duplicate copy of 8. this form if paying by Deposit Account): \_\_06-0308\_.
- The assignment shows it was signed on: 9. November 5, 1998.
- To the best of my knowledge and belief, the foregoing 10. information is true and correct and any attached copy is a true copy of the original document.

Total Number pages including cover sheet of (1 pages); and documents (2 pages); Total: 3 pages.

Respectfully submitted,

FAY, SHARPE, BEALL, FAGAN, MINNICH & MCKEE

Movember 5,1998

andra M. Kung Săndra M. Koenig

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(216) 861-5582

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PATENT **REEL: 9571 FRAME: 0374**  - 1 -

Attorney Docket No.: ESR 2 001

## **ASSIGNMENT**

Richard W. Hoffman, Jr. of 30211 Meadowlane Drive, City of Bay Village, County of Cuyahoga, and State of Ohio; and, David M. Wilt of 27107 Russel Road, City of Bay Village, County of Cuyahoga, and State of Ohio, having invented certain new and useful improvements in:

## USING A CRITICAL COMPOSITION GRADING TECHNIQUE TO DEPOSIT INGEAS EPITAXIAL LAYER OF INP SUBSTRATES

for which we are about to make application for Letters Patent of the United States, the said application having been executed by me on this 5% day of November, 1998, do hereby, in consideration of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, sell, assign, and transfer unto ESSENTIAL RESEARCH, INC., an Ohio corporation, having a principal place of business at:

23811 Chagrin Boulevard, Suite 280 Cleveland, Ohio 44122

the full and exclusive right, title, and interest in and to the said invention in the United States and its territorial possessions, and in all foreign countries with all rights under the International Convention including the right to claim priority, and the entire and exclusive right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, reissues, continuations, and extensions thereof.

We, therefore, authorize and request the Patent Office officials in the United States and in any and all foreign countries to issue any and all Letters Patent when granted, solely to the said

## ESSENTIAL RESEARCH, INC.

for its sole use, and that of its successors, and assigns.

Signed at Chudad of \_\_\_\_, on this <u>574</u> day of November, 1998.

STATE OF OHIO

): SS

)

COUNTY OF CUYAHOGA

Personally appeared before me this day, the above named Richard W. Hoffman, Jr., to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this \_\_\_\_\_ day of November, 1998.

Hacle a. Maurathleen A. MAUSAR
NOTARY PUBLIC, STATE OF OHIO
Recorded in Givehoor County My Comm. Expires Sept. 27, 2001

(Seal)

**PATENT REEL: 9571 FRAME: 0375** 

signed at Cleveloud the of November, 1998.

STATE OF OHIO ): SS COUNTY OF CUYAHOGA )

Personally appeared before me this day, the above named David M. Wilt, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this \_\_\_\_\_ day of November, 1998.

(Seal)

KATHLEEN A. MAUSAR NOTARY PUBLIC, STATE OF OHIO Recorded in Cuyahoge County My Comm. Expires Sept. 27, 2001

Li.ASS

**PATENT REEL: 9571 FRAME: 0376**