

11-16-1998



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Frank Richard REYNEN,
Simon Wing Tat FUNG, Eric S. FREEMAN, and
Andu A. TEFERA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

SEAGATE TECHNOLOGY, INC.

Internal Address: SEA 2567

Street Address: 920 Disc Drive

City: Scotts Valley State: CA Zip: 95066

Additional name(s) & Address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: September 18, 1998 & October 14, 1998

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/156,332

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: VICTOR FLORES

Internal Address: P841

P. O. Box 3140

Monterey, CA 93942

Street Address: 4 Justin Court, Suite A

City: Monterey State: CA Zip: 93940

6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

VICTOR FLORES

Name of Person Signing

Signature

11/6/98
Date

Total number of pages comprising cover sheet 1

ASSIGNMENT AGREEMENT

FOR good and valuable consideration, receipt of which is hereby acknowledged by the following individuals:

Frank Richard Reynen, 21571 Regnart Road, Cupertino, CA 95014

Simon Wing Tat Fung, 45661 Park Meadow Court, Fremont, CA 94539

Eric Steck Freeman, 4246 Gilbert Street, Oakland, CA 94611

Andu Alem Tefera, 1030 Ranchero Way, San Jose, CA 95117

hereinafter ASSIGNORS, do hereby sell, assign and transfer unto SEAGATE TECHNOLOGY, INC., a Delaware corporation having a business address at 920 Disc Drive, Scotts Valley, California 95066, hereinafter ASSIGNEE, its successors and assignees, the entire title, interest and right, including the right of priority, in an application for Letters Patent of the United States entitled "ADVANCED MECHANICAL TEXTURE PROCESS FOR HIGH DENSITY MAGNETIC RECORDING" filed September 18, 1998, U. S. Patent Application No. 09/156,332, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor, and any and all provisional, divisional, reissue, continuation, substitute or renewal applications thereof which have been or shall be filed in the United States and any and all equivalents thereto in any and all foreign countries;

And for the above consideration ASSIGNORS covenant to assign to ASSIGNEE, under identical terms herein, any and all improvements which have been or shall be developed by ASSIGNORS regarding subject matter herein;

And for the above consideration ASSIGNORS agree promptly upon request of the ASSIGNEE, its successors and assignees, to execute and deliver without further compensation any power of attorney, assignment, application (whether original, continuation, renewal, substitute, divisional or reissue) or other papers which may be necessary or desirable fully to secure to ASSIGNEE, its successors and assignees, the inventions and any of them described in said application and patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of appeal and interference proceedings involving

said inventions and/or in the adjudication or re-examination of said Letters Patent, provided that the expenses which may be incurred by ASSIGNORS in lending such cooperation and assistance be paid by ASSIGNEE; and

ASSIGNORS covenant that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by ASSIGNORS, and that full right to convey the same as herein expressed is possessed by ASSIGNORS.

This agreement constitutes the entire agreement of the parties and supersedes and cancels any and all prior and/or contemporaneous utterances, statements, representation, understandings and/or agreements whether oral and/or written in connection with this agreement.

IN WITNESS WHEREOF, ASSIGNORS agrees to the above-mentioned terms and conditions as evidenced by their signatures below:

9/18/98
Date

Frank R. Reynen
Frank R. Reynen

9/18/98
Date

Simon Wing Tat Fung
Simon Wing Tat Fung

9/18/98
Date

Eric S. Freeman
Eric S. Freeman

10/14/98
Date

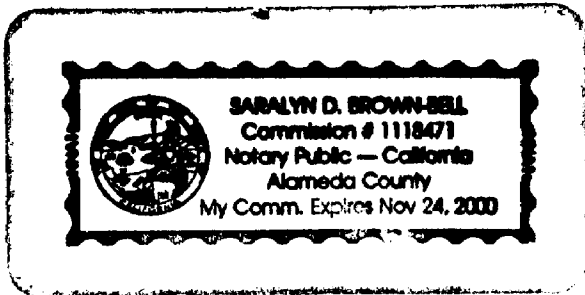
Andu A. Tefera
Andu A. Tefera

STATE OF California]
COUNTY OF Santa Clara]

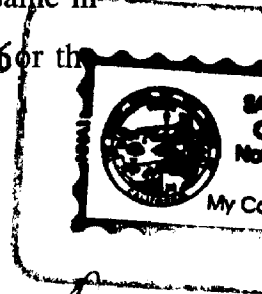
On September 18, 1998, before me, Saralyn D. Brown-Bell, Notary Public
personally appeared Frank R. Reymann, Simon Wing Tat Fung and Eric S. Freeman
X personally known to me

OR _____ proved to me on the basis of satisfactory evidence to be the person^s whose names
^{are} ~~is~~ subscribed to the within instrument and acknowledged to me that ^{they} ~~(s)he~~ executed the same in
^{their} ~~his~~ authorized capacity^s, and that by ^{their} ~~his~~ signature^s on the instrument the person^s or th
entity upon behalf of which the person^s acted, executed the instrument.

WITNESS my hand and official seal.



Saralyn D. Brown-Bell
Notary Public



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

On October 14, 1998 before me, Saralyn D. Brown-Bell, Notary Public

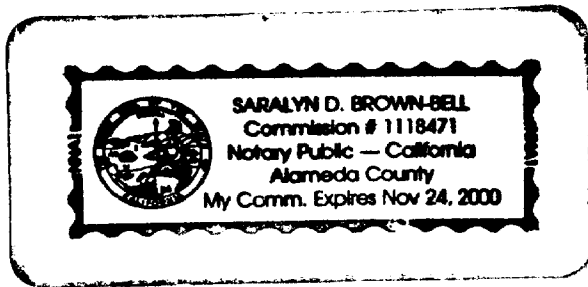
Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Andu A. Tefera

Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Saralyn D. Brown-Bell
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Deed # 841

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

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