

Resubmission
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OMB No. 0651-0011 (exp. 4/94)

11-16-1998

SHEET U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings ⇨⇨⇨▽

To the Honorable Commission

100878184

▽KEG-117

▽

▽

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Arlindo Castelhana and David J. Witter

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance Document ID No.

100780165

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: July 13, 1998

2. Name and address of receiving party(ies)

Name: Cadus Pharmaceutical Corporation

Internal Address:

Street Address: 777 Old Saw Mill River Road

City: Tarrytown

State: New York

ZIP: 10591-6705

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _

A. Patent Application No.(s)

09/086,168

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter C. Lauro

Internal Address: Lahive & Cockfield, LLP

Street Address: 28 State Street

(617) 227-7400

City: Boston State: MA ZIP: 02109

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

12-0080

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter C. Lauro

Name of Person Signing

Signature

Date

07/27/1998 SSMITH

00000108 09086168

Total number of pages including cover sheet, attachments, and document:

3

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40.00 00

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

We, Arlindo Castelhana, of New City, NY and David J. Witter of Putnam Valley, NY, in consideration of One Dollar and other valuable consideration paid to us by

CADUS PHARMACEUTICAL CORPORATION

a corporation of Delaware, having its principal place of business at 777 Old Saw Mill River Road, Tarrytown, NY 10591-6705, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

CADUS PHARMACEUTICAL CORPORATION

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

**CONFORMATIONALLY CONSTRAINED PEPTIDOMIMETICS AS β -TURN TEMPLATES
AND MODULATORS OF SH3 DOMAINS**

described in an application

_____ executed by us of even date herewith and about to be filed

 X Serial No. 09/086,168, filed on May 28, 1998

in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

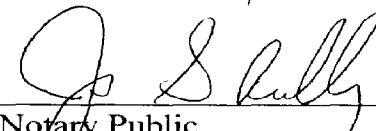
WITNESS my hand and seal this _____ day of _____, 1998.

By: 
Arlindo L. Castelhana

State of New York)
)ss
County of Westchester)

Then personally appeared the above named Arlindo L. Castelhana and acknowledged the foregoing instrument to be his/her free act and deed, before me, this 13th day of July, 1998.

JAMES S. KELLY
Notary Public, State of New York
No. 8004216
Qualified in Suffolk County
Commission Expires November 14, 1998


Notary Public
My commission expires: _____

PATENT
REEL: 9574 FRAME: 0283

WITNESS my hand and seal this _____ day of _____, 1998.

By: David J. Witter
David J. Witter

State of New York)
)ss
County of Westchester)

Then personally appeared the above named David J. Witter and acknowledged the foregoing instrument to be his/her free act and deed, before me, this 13th day of July, 1998.

JAMES S. RIELLY
Notary Public, State of New York
No. 5004215
Qualified in Suffolk County
Commission Expires November 14, 1998

J. S. RIELLY
Notary Public
My commission expires: _____

LAHIVE
&
COCKFIELD
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CYNTHIA M. SOROOS

November 4, 1998

U.S. Patent and Trademark Office
Assignment Division, Box Assignments, CG-4
1213 Jefferson Davis Highway, Suite 320
Washington, D.C. 20231

Attention: Tara Washington, Examiner

Re: U.S. Patent Application Serial No. 09/086,168
Applicants: Arlindo L. Castelhana and David J. Witter
Filed: May 28, 1998
Title: CONFORMATIONALLY CONSTRAINED PEPTIDOMIMETICS AS
β-TURN TEMPLATES AND MODULATORS OF SH3 DOMAINS
Attorney Docket No.: CPI-036CP

Dear Sir/Madam:

We **resubmit** herewith for recordation, the original Assignment, together with the original Recordation Form Cover Sheet and Notice of Non-Recordation of Document, in the matter identified above. The Assignment was found non-recordable because the Recordation Form Cover Sheet was not signed and dated by an attorney.

Accordingly, the enclosed Cover Sheet is now properly executed, and we request recordal of the Assignment. Please note that the \$40 recordal fee has previously been paid.

If any additional fees are necessary in connection with this matter, please charge our Deposit Account No. 12-0080. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Assignment Division, Box Assignments, CG-4, 1213 Jefferson Davis Highway, Suite 320, Washington, D. C. 20231 on:

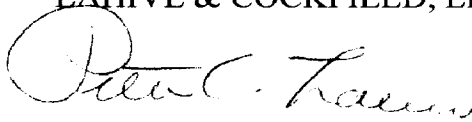
November 4, 1998

Date

Peter C. Lauro, Reg. No. 32,360

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



Peter C. Lauro
Reg. No. 32,360

PCL:pag

PATENT
REEL: 9574 FRAME: 0285



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 20, 1998

PTAS

LAHIVE & COCKFIELD, LLP
PETER C. LAURO
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BOSTON, MA 02109



100780165A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT**

DOCUMENT ID NO.: 100780165

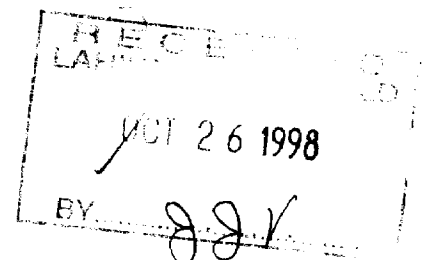
THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. THE PERSON SUBMITTING THE DOCUMENT MUST SIGN AND DATE THE DOCUMENT.

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



RECORDED: 07/23/1998

**PATENT
REEL: 9574 FRAME: 0286**