

11-16-1998

Attorney Docket No.: 02888/037001

MRP 11.9.98

Assistant Commissioner for Patent:



100878163

SHEET

Document.

1. Name of conveying party(ies):
**Mark Shapeton, Wayne Johnson,
 Michael J. Crocetta, Jr.**

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):
**Mentor Corporation
 5425 Hollister Avenue
 Santa Barbara, CA 93111**

Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: **10/14/98, Michael J. Crocetta,
 Jr., 10/22/98, Mark Shapeton & Wayne Johnson**

4. Application number(s) or patent number(s): **09/126,699**

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

**Jeffrey L. Snow
 Fish & Richardson P.C.
 225 Franklin Street
 Boston, MA 02110-2804**

6. Total number of applications/patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40**

☒ Enclosed

☐ Authorized to charge deposit account

8. Deposit account number: **06-1050**

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

11/13/1998 DWGUYEN 00000396 09126699

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40.00 DP

9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

Jeffrey L. Snow

Name of Person Signing

Signature

Reg. No. 59 037

Date

November 2, 1998

Total number of pages including cover sheet, attachments, and document: **6**

Date of Deposit

11/2/98

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lynne A. Falygarano
Lynne A. Falygarano

REEL: 9574 FRAME: 0321

ASSIGNMENT

For valuable consideration, we, Mark Shapeton, of Chestnut Hill, Massachusetts; Wayne Johnson of Whitman, Massachusetts; and Michael J. Crocetta, Jr. of Santa Barbara, California hereby assign to Mentor Corporation, a Minnesota corporation having a place of business at 5425 Hollister Avenue, Santa Barbara, California 93111, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled PACKAGE FOR REMOVABLE DEVICE TIPS, filed July 30, 1998, and assigned U.S. Serial Number 09/126,699, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Norwell, MA,
this 22 day of October, 1998
Mark Shapeton L.S.
Mark Shapeton

STATE OF Massachusetts
COUNTY OF Plymouth :ss.

Before me this 22 day of October, 1998, personally appeared

Mark Shapeton known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

Roberta L. O'Reilly
Notary Public

My Commission Expires: 7-22-05

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Norwell, MA,

this 22 day of Oct, 1998

Wayne Johnson L.S.
Wayne Johnson

STATE OF Massachusetts :
:SS.

COUNTY OF Plymouth :

Before me this 22 day of October, 1998, personally appeared

Wayne Johnson known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

Robert L. O'Reilly
Notary Public

My Commission Expires: 7-22-05

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Santa Barbara, CA

this 14th day of OCTOBER, 1998

Michael J. Crocetta Jr. L.S.
Michael J. Crocetta, Jr.

STATE OF _____ :
:SS.

COUNTY OF _____ :

Before me this _____ day of _____, 19____, personally appeared

_____ known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Barbara } SS.

On Oct. 14, 1998, before me, Gretchen L. Smith, Notary Public,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Michael J. Crocetta, Jr.,
Name(s) of Signer(s)

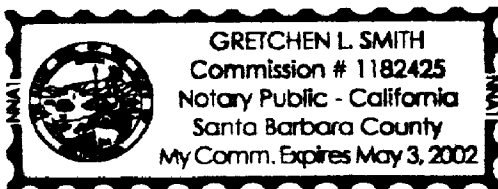
- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Gretchen L. Smith
Signature of Notary Public

Place Notary Seal Above



OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment

Document Date: 10/14/98 Number of Pages: 2

Signer(s) Other Than Named Above: Mark Shepley, Wayne Johnson

Capacity(ies) Claimed by Signer

Signer's Name: Michael J. Crocetta, Jr.

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☒ Other: Surgical Product and Comm. Manager

Signer Is Representing: Mentor Corporation

