11-17-1998 100900240



Docket No. <u>3359-4005 US1</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Gerald R. Martin et al.

Serial No.

08/896,805

Group Art Unit: 3738

Filed

July 18, 1997

Examiner: T. Nguyen

For

: F

KINK-RESISTANT STENT-GRAFT

# RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner Of Patents and Trademarks

BOX ASSIGNMENTS Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

- 1. Name of conveying party/parties:
  - (1) Gerald R. MARTIN;
  - (2) Lilip LAU;
  - (3) Scott N. STONEBROOK;

Street Address: 551 Paper Mill Road, P.O. Box 9206,

- (4) Sharon LAM;
- (5) Troy THORNTON.
- 2. Name and address of receiving party/parties:

Name: Gore Enterprise Holdings, Inc.

3.	Nature of Conveyance: [X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other				
	Execution Date: June 22, 1998, July 6, 1998; July 13, 1998; <u>August 25, 1998; October 27, 1998</u>				
4.	Application Number(s) or Patent Number(s): 1				
	[ ] This document is being filed together with a new application which was executed on				
	[X] Patent Application No.(s) 08/896,805				

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5.	Addassa	~11	fister	communications	4
J.	Address	au	Tuture	communications	w:

Michael S. Marcus, Esq. MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154

6.	Total r	number of applications and patents involved: 1
7.	Total f	ee (37 CFR § 3.41): \$40.00 per property x <u>1</u> property(ies) = \$40.00
	[ ]	A check in the amount of \$ to cover the recordation fee is enclosed.
	[ X ]	Charge fee to Deposit Account No. 13-4500. Order No. 3359-4005US1.
	[ X ]	The Commissioner is hereby authorized to charged any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 3359-4005US1.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: 100. 12, 1997

By:

Michael S. Marcus

Registration No. 31,727 (202) 857-7887 Telephone

(202) 857-7929 Facsimile

Total number of pages comprising this cover sheet 2

**CORRESPONDENCE ADDRESS:** 

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Facsimile

FORM: REC-PAT.NY

Rev. 11/13/97

- 2 -

Docket No. 3359-4005US1

## ASSIGNMENT OF APPLICATION FOR PATENT

# WHEREAS: GERALD R. MARTIN of 3770 N. Stevel Blvd., Flagstaff, ARIZONA 86004 (USA) LILIP LAU of 1332 S. Sage Court, Sunnyvale, CA 94087 (USA) SCOTT N. STONEBROOK of 985 Fort Valley Road, Flagstaff, ARIZONA (USA) SHARON LAM of 108 Carlos Avenue, Redwood City, CALIFORNIA 94061 (USA) TROY THORNTON of 675 Tennessee #3, San Francisco, CALIFORNIA 94107 (USA) (full name(s) and post office address(s) of inventor(s) (including country)) (hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled: KINK RESISTANT STENT-GRAFT (title of (discovery or invention) for which application for Letters Patent of the United States has been executed on even date herewith, [X]for which application for Letters Patent of the United States has been filed on July 18, 1997, under Serial No. 08/896,805, and WHEREAS: Gore Enterprise Holdings, Inc., 551 Paper Mill Road, P.O. Box 9206, Newark, Delaware 19714-9206, U.S. (name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

#### NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that for good and valuable consideration by ASSIGNEE to ASSIGNOR, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

WE, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

PATENT REEL: 9576 FRAME: 0104

Docket No. <u>335</u>9-4005US1

WE, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND WE, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, WE will, at any time, when called upon to do so by the ASSIGNEE its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature: Gerald	Mat. R. MARTIN
Citizenship: U.S.A.	
IN WITNESS WHEREOF, I have hereunto set my har 19 <u>98</u> .	nd and affixed my seal this 6th day of Juy
STATE OF Arizona ) COUNTY OF COCONINO )	OFFICIAL SEAL VERONICA M. SNYDER NOTARY PUBLIC - STATE OF ARIZONA COCONINO COUNTY My commission expires July 14, 2000.

On this 6th day of JWY, 1998, before me, the undersigned authority, personally appeared

to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

<u>Urronica m Snyder</u>

			Docket No. <u>3359-4005US1</u>
Assignor's signature:			
	Lilip	LAU	
Citizenship: U.S.A.			
IN WITNESS WHERE 19	EOF, I have hereunto se	et my hand and affixed my s	eal this day of,
STATE OF	)		
COUNTY OF	ss.:		
	,		
On this day of	, 19	, before me, the undersi	gned authority, personally appeared
to me known and know Assignment, and who of the uses and purposed	duly acknowledged to m	ridual who is described in an ne that he executed the same	nd who executed the foregoing as his own voluntary act and deed for
Notary Public	_		
Assignor's signature: _	Scott Scott	χ./. N.	STONEBROOK
Citizenship: U.S.A.			
IN WITNESS WHERE 19	SOF, I have hereunto se	t my hand and affixed my s	eal this <u>AS</u> day of <u>AUXUS</u> ,
STATE OF $MM$ COUNTY OF $MM$	\(\(\alpha\) ss.:		
COUNTY OF TITE	ercoller)		
to me known and know	n to me to be the indiv	idual who is described in ar	gned authority, personally appeared  and who executed the foregoing  as his own voluntary act and deed for
the uses and purposed t		to that he executed the same	so me own voluntary act and uccd for

Marthe M Alway

"OFFICIAL SEAL"

MARTHA M. RUSSO

Notary Public State of Arizona

Maricopa County

My Comm. Expires 12-31-99

Assignor's signature: _	01	
	Sharon	LAM
Citizenship: U.S.A.		
IN WITNESS WHERE 19	EOF, I have hereunto se	et my hand and affixed my seal this day of,
STATE OF	)	
COUNTY OF	ss.: )	
to me known and know	vn to me to be the individuly acknowledged to n	, before me, the undersigned authority, personally appeared vidual who is described in and who executed the foregoing ne that he executed the same as his own voluntary act and deed for
Notary Public		
Assignor's signature: _	Troy	THORNTON
Citizenship: U.S.A.		
IN WITNESS WHERE 19	EOF, I have hereunto se	et my hand and affixed my seal this day of,
STATE OF	) ss.:	
COUNTY OF	)	
On this day of	, 19	, before me, the undersigned authority, personally appeared
	duly acknowledged to n	ridual who is described in and who executed the foregoing ne that he executed the same as his own voluntary act and deed for
Notary Public	_	

- 4 -

Docket No. 3359-4005US1

## ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:				
GERALD R. MARTIN of 3770 N. Stevel Blvd., Flagstaff, ARIZONA 86004 (USA)				
LILIP LAU of 1332 S. Sage Court, Sunnyvale, CA 94087 (USA)				
SCOTT N. STONEBROOK of 985 Fort Valley Road, Flagstaff, ARIZONA (USA)				
SHARON LAM of 108 Carlos Avenue, Redwood City, CALIFORNIA 94061 (USA)				
TROY THORNTON of 675 Tennessee #3, San Francisco, CALIFORNIA 94107 (USA)				
(full name(s) and post office address(s) of inventor(s) (including country))				
(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:				
KINK RESISTANT STENT-GRAFT				
(title of (discovery or invention)				
[] for which application for Letters Patent of the United States has been executed on even date herewith,				
[X] for which application for Letters Patent of the United States has been filed on <u>July 18, 1997</u> , under Serial No. <u>08/896,805</u> , and				
WHEREAS:				
Gore Enterprise Holdings, Inc., 551 Paper Mill Road, P.O. Box 9206, Newark, Delaware 19714-9206, U.S.				

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

#### NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that for good and valuable consideration by ASSIGNEE to ASSIGNOR, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

WE, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

> PATENT REEL: 9576 FRAME: 0108

WE, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND WE, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, WE will, at any time, when called upon to do so by the ASSIGNEE its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature:			
	Gerald	R.	MARTIN
Citizenship: U.S.A.			
IN WITNESS WHEREO	OF, I have hereunto set m	y hand and affixed my seal this _	day of,
STATE OF	)		
COUNTY OF	ss.: )		
On this day of _	, 19, befo	ore me, the undersigned authority,	personally appeared
	uly acknowledged to me tl	al who is described in and who exhat he executed the same as his ow	
Notary Public			

- 2 -

	Ni.	11/4-	dan		Docket No.	3359-4005US1
Assignor's signature: _	Lilip	- John J	<del> </del>	AU		
Citizenship: U.S.A.						
IN WITNESS WHERE $19\underline{98}$	OF, I have hereu	ınto set my h	and and affixe	d my seal this	Jan day of	June,
STATE OF CALIFO	RNIA)			M.	JOHN A. SIBILU Commission ≠ 106 Notary Public — Cal BANTA CLARA COL y Comm. Expires JUL	INTY
On this 22 day of to me known and known Assignment, and who define uses and purposed the uses and purp	n to me to be the luly acknowledge	e individual v	who is describe	ed in and who	executed the fo	oregoing
Assignor's signature:	Scott		N.	ST	ONEBROOK	
Citizenship: U.S.A.						
IN WITNESS WHERE	OF, I have hereu	into set my h	and and affixe	d my seal this	day of _	, , , , , , , , , , , , , , , , , , ,
STATE OF	)					
COUNTY OF	ss.: )					
On this day of _	,	. 19, ь	efore me, the	undersigned au	thority, person	ally appeared
to me known and known Assignment, and who d the uses and purposed the	uly acknowledge					
Notary Public	_					

Assignor's signature:	
	Sharon LAM
Citizenship: U.S.A.	
IN WITNESS WHERE 19	EOF, I have hereunto set my hand and affixed my seal this day of,
STATE OF	)
COUNTY OF	ss.: )
	, 19, before me, the undersigned authority, personally appeared
	on to me to be the individual who is described in and who executed the foregoing duly acknowledged to me that he executed the same as his own voluntary act and deed for therein specified.
Notary Public	
Assignor's signature: _	Troy THORNTON
Citizenship: U.S.A.	
IN WITNESS WHERE 19 <u>96</u> .	EOF, I have hereunto set my hand and affixed my seal this 13th day of,
STATE OF Ariza	SS.: NOTARY PUBLIC - STATE OF ADVIOUR
to me known and know	n to me to be the individual who is described in and who executed the foregoing duly acknowledged to me that he executed the same as his own voluntary act and deed for therein specified.
Claire D. Notary Public	lashki

- 4 -

Docket No. 3359-4005US1

#### ASSIGNMENT OF APPLICATION FOR PATENT

# WHEREAS: GERALD R. MARTIN of 3770 N. Stevel Blvd., Flagstaff, ARIZONA 86004 (USA) LILIP LAU of 1332 S. Sage Court, Sunnyvale, CA 94087 (USA) SCOTT N. STONEBROOK of 985 Fort Valley Road, Flagstaff, ARIZONA (USA) SHARON LAM of 108 Carlos Avenue, Unit B, Redwood City, CALIFORNIA 94061 (USA) TROY THORNTON of 675 Tennessee #3, San Francisco, CALIFORNIA 94107 (USA) (full name(s) and post office address(s) of inventor(s) (including country)) (hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled: KINK RESISTANT STENT-GRAFT (title of (discovery or invention) [] for which application for Letters Patent of the United States has been executed on even date herewith, [X] for which application for Letters Patent of the United States has been filed on July 18, 1997, under Serial No. 08/896,805, and WHEREAS: Gore Enterprise Holdings, Inc., 551 Paper Mill Road, P.O. Box 9206, Newark, Delaware 19714-9206, U.S.

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

#### NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that for good and valuable consideration by ASSIGNEE to ASSIGNOR, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

WE, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEE, its successors, legal representatives and assigns. in accordance with the terms of this instrument.

> PATENT REEL: 9576 FRAME: 0112

WE, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND WE, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, WE will, at any time, when called upon to do so by the ASSIGNEE its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Accionar'e cionature

Assignor's signature.	Gerald	R.	MARTIN
Citizenship: U.S.A.			
IN WITNESS WHER	EOF, I have hereunto set m	y hand and affixed my seal th	is, day of,
STATE OF	)		
COUNTY OF	ss.: )		
On this day of	, 19, befo	ore me, the undersigned autho	rity, personally appeared
	duly acknowledged to me th	al who is described in and what he executed the same as hi	to executed the foregoing is own voluntary act and deed for
Notary Public			

signor's signature:	Lilip	LAU
izenship: U.S.A.		
•		
WITNESS WHER	EOF, I have hereunto s	set my hand and affixed my seal this day of
ATE OF	)	
TIN YEAR O. C.	ss.:	
UNTY OF	)	
this day of	, 19	, before me, the undersigned authority, personally appeared
uses and purposed	therein specified.	
tary Public		
,	Scott	N. STONEBROOK
signor's signature:	Scott	N. STONEBROOK
signor's signature: _izenship:_U.S.A.	Scott	N. STONEBROOK
signor's signature: izenship:_U.S.A WITNESS WHER)	Scott	N. STONEBROOK  set my hand and affixed my seal this day of
signor's signature: izenship:_U.S.A WITNESS WHER)	Scott EOF, I have hereunto s	
signor's signature: _izenship: <u>U.S.A.</u> WITNESS WHERI	Scott	
signor's signature:	Scott  EOF, I have hereunto s  ) ss.: )	

- 3 -

Notary Public

Docket No. <u>3359-4005US1</u>

Assignor's signature:	Sharon LAM		
-	Sharon	LAM	
Citizenship: <u>U.S.A.</u>			
IN WITNESS WHERE 19 <u><i>9</i>8</u> .	EOF, I have hereunto	set my hand and affixed my seal this 27th day of Actober,	
STATE OF Califor	· nu )		
COUNTY OF Santa	Clara) ss.:		
On this <u>27 H</u> day of	October, 19	98, before me, the undersigned authority, personally appeared	
	duly acknowledged to	lividual who is described in and who executed the foregoing me that he executed the same as his own voluntary act and deed for	
Saturcia & Notary Public	Jalans	Commission #1072461 Notary Public — California Santa Clara County My Comm. Expires Sep 22, 1999	
Assignor's signature: _	Troy	THORNTON	
Citizenship: U.S.A.			
IN WITNESS WHERE 19	OF, I have hereunto	set my hand and affixed my seal this day of,	
STATE OF	)		
COUNTY OF	ss.: )		
On this day of _	, 19_	, before me, the undersigned authority, personally appeared	
	luly acknowledged to	lividual who is described in and who executed the foregoing me that he executed the same as his own voluntary act and deed for	
Notary Public			

- 4 -