

10-30-1998

EXPRESS MAIL NO. EL070182565US



Docket No. 7020-0022

To the Assistant Commissioner for Patents.

100863647

ts or copy thereof.

542 U.S. PTO  
09/177138  
10/21/98

## 1. Name of conveying party(ies):

Kayo SUGIYAMA and Osamu TANITSU

Additional names of conveyed party(ies) attached?

☐ Yes ☒ No

## 3. Nature of Conveyance

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies):

Name: Nikon Corporation  
 Street Address: Fuji Bldg., 2-3, Marunouchi 3-chome  
 City: Chiyoda-ku  
 Prefecture: Tokyo Japan Zip: 140

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s): 09/177138

If this document is being filed together with a new application, the execution date of the application is 10/1/98

A. Patent Application No(s).

B. Patent No(s).

Serial No.

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Joseph E. Gortych  
 Downs Rachlin & Martin PLLC  
 199 Main Street  
 P.O. Box 190  
 Burlington, Vermont 05402-0190

## 6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): \$ 40.00 <sup>E</sup>

☒ Enclosed  
☐ Authorized to be charged to deposit account  
☒ Authorized to charge any deficiencies in the enclosed fees to deposit account

## 8. Deposit account number:

04-1588

(Attach duplicate copy of this page if paying by deposit)

10/27/1998 MGDORDM 00000042 09177138

04 FC:511

40.00 DP

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## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph E. Gortych

10/21/98  
 Date

Total number of pages, including cover sheet: 3

## ASSIGNMENT

Whereas, we, (1) Kayo SUGIYAMA, residing in Yokohama-shi, Kanagawa-ken, Japan, and (2) Osamu TANITSU, residing in Funabashi, Chiba, Japan, have invented certain improvements in

### ILLUMINATION OPTICAL APPARATUS AND SEMICONDUCTOR DEVICE MANUFACTURING METHOD

and have executed, respectively, a U.S. patent application therefor on (1) Oct 1st 1998, and (2) Oct 1st, 1998.

And whereas, Nikon Corporation, a Japanese corporation, having a place of business at Fuji Bldg., 2-3, Marunouchi 3-chome, Chiyoda-ku, Tokyo, Japan, (hereinafter called Assignee), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for good and valuable consideration, receipt whereof is hereby acknowledged, we the above-named hereby sell, assign, and transfer to Assignee, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to Assignee, its successors and assigns; and we hereby agree that Assignee may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by Assignee.

We the above-named hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

(1) Executed at Yokohama, Kanagawa, Japan this 1st day of Oct., 1998.  
[city] [Prefecture] [day] [month]

(1) Kayo Sugiyama  
Kayo SUGIYAMA

(2) Executed at Funabashi, Chiba, Japan this 1st day of Oct, 1998.  
[city] [Prefecture] [day] [month]

(2) Osamu Tanitsu  
Osamu TANITSU

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