

11-18-1998

581-4000



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FUNCTION COVER SHEET

JCS64 U.S. PTO
09/017182
02/02/98

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document.

1. Names of conveying parties
a) Ronald T. Logan, Jr., Ruo Ding Li

MRS
2-2-98

2. Name and address of receiving party:
a) Name: **Uniphase Corporation**
Address: **163 Baypointe Parkway**
San Jose, California 95134

3. Nature of conveyance
X Assignment

4. This document is being filed together with a new application, the execution date of the application is: **February 2, 1998**. The title of the new application is:

METHOD AND APPARATUS FOR OPTIMIZING SBS PERFORMANCE IN AN OPTICAL COMMUNICATION SYSTEM USING AT LEAST TWO PHASE MODULATION TONES

5. Please send all correspondence concerning this document to:

Stephen C. Shear
Law Offices of Stephen C. Shear
2475 Blue Heron Circle
Lafayette, Colorado 80026
Tel. No.: (303) 661-0901
Fax No.: (303) 661-0902

09017182

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40.00 (Enclosed)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: **February 2, 1998**

Stephen C. Shear
Reg. No. 25,764
Att. Docket No. UNI-P007

02/24/1998 DKING1 00000071 09017182
04 FC:581 40.00 DP

ASSIGNMENT

(After the Application is Filed)


In consideration of good and valuable consideration, the receipt of which is hereby acknowledged the undersigned, Ronald T. Logan, Jr. and Ruo Ding Li:


(1) hereby sell, assign, and transfer to Uniphase Corporation, a corporation of Delaware, having a principal place of business at 456 Red Street, Boulder, Colorado, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that was filed February 2, 1998 and assigned Application No 09/017,182 and is **Method and Apparatus For Optimizing SBS Performance In An Optical Communication System Using At Least two Phase Modulation Tones**, and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

(2) agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

(3) covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Each Inventor: Please Sign and Date Below:

3/27 1998 
 Date Name: Ronald T. Logan, Jr.

3/27 1998 
 Date Name: Ruo Ding Li

Each Inventor: Please also list the date that you signed the accompanying DECLARATION AND POWER OF ATTORNEY:

3/27 1998
 Date

3/27 1998
 Date

State of: _____ }
 County of: _____ }

Assignment Document Return Address:
 Law Offices Of Stephen C. Shear
 2475 Blue Heron Circle
 Lafayette, Colorado 80026
 (303)661-0901

On this _____ day of _____, 19____, before me, _____, the undersigned Notary Public, personally appeared Ronald T. Logan, Jr. [] personally known to me [] proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) _____ is _____ subscribed to the within instrument, and acknowledged that he executed it.
 WITNESS my hand and official seal.

Notary's Signature

State of: _____ }
 County of: _____ }

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 Law Offices Of Stephen C. Shear
 2475 Blue Heron Circle
 Lafayette, Colorado 80026
 (303)661-0901

On this _____ day of _____, 19____, before me, _____, the undersigned Notary Public, personally appeared Ruo Dong Li [] personally known to me [] proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) _____ is _____ subscribed to the within instrument, and acknowledged that he executed it.
 WITNESS my hand and official seal.

Notary's Signature