

11-18-1998

et No. 010577-043400US-1

10-22-1998

FORM PTO-1595 U.S. Department of Commerce U.S. Patent & TMOfc/TM Mail Rcpt Dt. #54 100902573 Patent and Trademark Office (Rev. 6-93) To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): MRD 10-82-98 Name: TANDEM COMPUTERS INCORPORATED Johannes Klein Albert C. Gondi Internal Address: Sitaram V. Lanka William J. Carley Street Address: 10435 North Tantau Avenue, Loc. 200-16 Additional name(s) of conveying parties attached?
Yes X No. City: Cupertino State: California ZIP: 95014 3. Nature of conveyance: Χ Assignment Merger Additional names and addresses attached? Tyes x No Security Agreement Change of Name Other: П Execution Date: 9/30/98 4. Application Number(s) or Patent Numbers. 09/126,632 If this document is being filed together with a new application, the execution date of the application is: B. A. Patent Application No(s): Patent No(s): Additional numbers attached?

Yes

No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved 1 concerning document should be mailed: Robert J. Bennett, Esq. 7. Total fee (37 CFR 3.41): -----\$40.00 Name: TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor ☐ Enclosed □ Charge Fees to Deposit Account San Francisco, California 94111-3834 (415) 576-0200 x Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 11/17/1998 JSHABAZZ 00000126 201430 8. Deposit account number: 20-1430 FC:581 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document. Robert J. Bennett Name of Person Signing Signature Atty Reg. No. 27,533 Total number of pages including cover sheet, attachments and document 10. Change Correspondence Address to that of Part 5? x Yes \[\] No OMB No. 0651-0011 (exp. 4/94) Do not detach this portion Mail documents to be recorded with required cover to: Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231

> PATENT REEL: 9579 FRAME: 0117

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, JOHANNES KLEIN of 55 Coleridge, San Francisco, California 94110, ALBERT C. GONDI of 3387 Forest Avenue, Santa Clara, California 95050, SITARAM V. LANKA of 109 Hilary Avenue, Mountain View, California 94040 and WILLIAM J. CARLEY of 457 Maplewood Avenue, San Jose, California 95117, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: FAULT-TOLERANT TRANSACTION PROCESSING IN A DISTRIBUTED SYSTEM USING EXPLICIT RESOURCE INFORMATION FOR FAULT DETERMINATION

Date(s) of execution of Declaration :						
Filing date:	July 30, 1998	Application No.:	09/126,632	; and		

WHEREAS, TANDEM COMPUTERS INCORPORATED a Delaware corporation, located at 10435 North Tantau Avenue, Cupertino, California 95014, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

PATENT REEL: 9579 FRAME: 0118

Date: 9/30/98	165C
STATE OF California	JOHANNES KLEIN
COUNTY OF South low	
On 9/30/98 , beforeme, Sub-	when Son Troby Public (hereinsert name
and title of the officer), personally appeared Jo	hannes Klein, personally known to me (or proved
	e the person whose name is subscribed to the within ccuted the same in his authorized capacity, and that
	or the entity upon behalf of which the person acted,
execute the instrument.	Section and person actor,
WITNESS my hand and official seal.	SUSAN E MUNSON Commission #1 082589 Notary Public — California
	Santa Clara County My Comm. Expires Jan 8,2000
Signature Municipal Miner	(Seal)
Date: $\frac{9/30/98}{}$	Bribait
STATE OF California	ALBERT C. GONDI
COUNTY OF South Clora	I
on 9/30/98, beforeme, Sus	en Munger (hereinsertname
and title of the officer), personally appeared Alb	pert C. Gondi, personally known to me (or proved
to me on the basis of satisfactory evidence) to be	the person whose name is subscribed to the within
	cuted the same in his authorized capacity, and that
execute the instrument.	r the entity upon behalf of which the person acted,
WITNESS my hand and official seal.	SUSAN E MUNSON Commission #1082589
	Notary Public — California Z
Signature Sum E Myson	My Comm. Exp. red Jun 3,2000
Signature Sum a su	(Seal)

Date: 9/30/98	Letana Quany
STATE OF Collowia	SITARAM V. LANKA
proved to me on the basis of satisfactory evide the within instrument and acknowledged to me t and that by his signature on the instrument the person acted, execute the instrument. WITNESS my hand and official seal.	Sitaram V. Lanka, personally known to me (or nce) to be the person whose name is subscribed to hat he executed the same in his authorized capacity he person, or the entity upon behalf of which the SUSAN E MUNSON Commission #10 1569 Notary Public — Contornia Santa Claud Calanty My Commission # Daming John 8,2000
Date:	(Seal)
STATE OF California	William J. Carley WILLIAM J. CARLEY
COUNTY OF SwaClaa	
and title of the officer), personally appeared proved to me on the basis of satisfactory evidenthe within instrument and acknowledged to me the	William J. Carley, personally known to me (or nce) to be the person whose name is subscribed to hat he executed the same in his authorized capacity, he person, or the entity upon behalf of which the
WITNESS my hand and official seal. Signature	SUSAN E MUNSON Commission #1062589 Notary Public — California Santa Clara County My Comm. Expires Jan 8,2000
t\434\assign	



RECORDED: 10/22/1998

Attorney Docket No. 010577-043400US-1

FORM PTO-1595 10-22-1998	U.S. Department of Commerce				
(Rev. 6-93)	Patent and Trademark Office				
to the Honorable Asst. C Flease record the attached original documents or copy thereof					
Name of conveying party(ies):	Name and address of receiving party(ies)				
Johannes Klein Albert C. Gondi	Name: TANDEM COMPUTERS INCORPORATED				
Sitaram V. Lanka	Internal Address:				
William J. Carley	Street Address: 10435 North Tantau Avenue, Loc. 200-16				
Additional name(s) of conveying parties attached? Yes X No.					
3. Nature of conveyance:	City: Cupertino State: California ZIP: 95014				
Y Assistant D Marros					
X Assignment	Additional names and addresses attached? Yes x No				
Security Agreement Change of Name	_				
Other:					
Execution Date: 9/30/98					
4. Application Number(s) or Patent Numbers. 09/126,632					
If this document is being filed together with a new application, the execution date of the application is:					
A. Patent Application No(s):	B. Patent No(s):				
Additional numbers attached?	☐ Yes ☐ No				
5. Name and address of party to whom correspondence	Total number of applications and patents involved 1				
concerning document should be mailed: Name: Robert J. Bennett, Esq.	7. Total fee (37 CFR 3.41):\$40.00				
TOWNSEND AND TOWNSEND AND CREW LLP	7. Total lee (37 Cl N 3.41)				
Two Embarcadero Center, 8 th Floor	☐ Enclosed ☑ Charge Fees to Deposit Account				
San Francisco, California 94111-3834 (415) 576-0200	x Charge any additional fees associated with this paper or during				
(413) 370-0200	the pendency of this application, or credit any overpayment, to deposit account.				
	8. Deposit account number: 20-1430				
DO NOT USE	THIS SPACE				
9. Statement and signature.					
To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.					
Robert J. Bennett 10/19/98					
Name of Person Signing Signature Date Date					
Atty Reg. No. 27,533 Total number of pages including cover sheet, attachments and document 3					
10. Change Correspondence Address to that of Part 5? x Yes ☐ No					
OMB No. 0651-0011 (exp. 4/94)					
Do not detach this portion Mail documents to be recorded with required cover to:					
Asst. Commissioner for Patents					
Box: Assignments Washington, D.C. 20231					

PATENT REEL: 9579 FRAME: 0121