



11-19-1998



100903306

U.S. Department of Commerce
Patent and Trademark Office
PATENT

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

☐ Assignment ☒ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Swank, Inc.

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) ~~IBJ SCHRODER BUSINESS CREDIT CORPORATION (as successor in interest to IBJ SCHRODER BANK & TRUST COMPANY) as ACM Agent~~

Name (line 2)

Address (line 1) 1 State Street Plaza

Address (line 2) 8th Floor

Address (line 3) New York

New York

10004

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 9580 FRAME: 0525

Correspondent Name and Address

Area Code and Telephone Number 1-800-833-9849

Name CSC

Address (line 1) 80 STATE STREET, 6TH FLOOR, ALBANY, NEW YORK 12207

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

| | | |
|--|--|--|
| | | |
| | | |
| | | |

| | | |
|----------|--|--|
| D 316775 | | |
| | | |
| | | |

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

| | | | | | |
|-----|--|-----|--|-----|--|
| PCT | | PCT | | PCT | |
| PCT | | PCT | | PCT | |

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Amy Lee Brady
Name of Person Signing

Amy Lee Brady
Signature

8/28/98
Date

PATENT RE-ASSIGNMENT AND RELEASE OF SECURITY AGREEMENT

WHEREAS SWANK, INC., a Delaware corporation ("Assignor") did execute and deliver to IBJ SCHRODER BUSINESS CREDIT CORPORATION (AS SUCCESSOR IN INTEREST TO IBJ SCHRODER BANK & TRUST COMPANY), AS ACM AGENT ("Assignee") for itself and various other financial institutions (collectively, "Lenders") a certain Patent Assignment of Security (the "Agreement"); and

WHEREAS the Agreement was recorded in the United States Patent and Trademark Office at Reel 7978, Frame 0931 on May 30, 1996 with respect to certain patents and the U.S. applications thereof, including those set forth on Exhibit A attached hereto and thereto (the "Patents"); and

WHEREAS the Agreement provided a collateral security interest in the Patents and for certain loans made by Lenders to Assignor and such loans have been fully paid and satisfied;

NOW THEREFORE, for and in consideration of the premises and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignee does hereby terminate its security interest and assigns, sells and transfers unto Assignor its entire right, title and interest in and to each of the Patents, together with that part of the goodwill of its business connected with the use of and symbolized by the Patents, and does hereby further cancel and terminate the Agreement, declare the same null and void, of no further force and effect, and further states that Assignee has no further or continuing right, title or interest in or to any of the Patents.

SIGNED and EFFECTIVE this 24 day of July, 1998.

IBJ SCHRODER BUSINESS CREDIT CORPORATION,
AS ACM AGENT

By: Wing Louie
Name: WING C. LOUIE
Title: VICE PRESIDENT

STATE OF NEW YORK)
)
COUNTY OF NEW YORK) ss:

This instrument was acknowledged before me on July 24, 1998 by
Wing Louie, Vice President of IBJ Schroder Business Credit Corporation, a
corporation on behalf of said corporation.

Aileen V. Burnes
Notary Public
AILEEN V. BURNES
Notary Public, State of New York
No. 60-4969531
Qualified in Westchester County
Commission Expires July 18, 1999

1025036.1/DZS/25254/061 7/21/98

EXHIBIT A

Exhibit A to a PATENT RE-ASSIGNMENT AND RELEASE OF SECURITY AGREEMENT dated July 24, 1998, by and between IBJ Schroder Business Credit Corporation (as successor in interest to IBJ Schroder Bank & Trust Company), as ACM Agent and Swank, Inc.

| <u>Application or Patent No.</u> | <u>Country</u> | <u>Issue Date</u> | <u>Filing Date</u> |
|--------------------------------------|----------------|-------------------|--------------------|
| D316,775 | U.S. | 05/14/91 | 08/31/87 |