

11-18-1998

EET



To the Honorable commissioner of Patents
Please record the attached original

100901848

1. Name of conveying party(ies):

Tat-Jen Cham
James M. Rehg

Additional name(s) of conveying
party(ies) attached? _____ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Digital Equipment Corporation
Street Address: 20555 S.H. #249
City: Houston,
State: Texas 77070

10523 U.S. PTO
09/185279
11/03/98

3. Nature of conveyance:

☒ Assignment
____ Merger
____ Security Agreement
____ Change of Name
____ Other

MRD 11-3-98

Additional name(s) & address(es)
attached? _____ Yes _____ No

Execution Date: November 3, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution
date of the application is: November 3, 1998

A. Patent Application No.(s)

09/185279

B. Patent No. (s)

Additional numbers attached? _____ Yes _____ No

5. Name and address of party to whom
correspondence concerning document should be
mailed:

Name: A. Sidney Johnston

Street Address: 3 Rowes Wharf

City: Boston State: MA Zip: 02110

6. Total number of applications and
patents involved:..... 1

7. Total fee (37 CFR 3.41) \$40.00

____ Enclosed

____ Authorized to be charged to deposit
account

8. Deposit account no. 03-1237 (Attach duplicate
copy of this page if paying by deposit account)

11/10/1998 PALLER 00000052 09185279

DE FC:501

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
copy of the original document.

A. Sidney Johnston

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

ASSIGNMENT

WHEREAS, We, *Tat-Jen Cham and James M. Rehg*, are joint inventors of "*Multiple Mode Probability Density Estimation with Application to Sequential Markovian Decision Processes*", application for United States Letters Patent which is executed of even date herewith; and

WHEREAS, DIGITAL EQUIPMENT CORPORATION, a corporation created and existing under and by virtue of the laws of the Commonwealth of Massachusetts, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention throughout the world, and all right, title and interest in, to and under any and all Letters Patent of the United States and all other countries throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over to DIGITAL EQUIPMENT CORPORATION, all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by DIGITAL EQUIPMENT CORPORATION for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, and reissues thereof, to DIGITAL EQUIPMENT CORPORATION, as assignee of our entire interest, and hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

And we further hereby covenant and agree that we will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted therefor, to DIGITAL EQUIPMENT CORPORATION, its successors, assigns, or other legal representatives and that if DIGITAL EQUIPMENT CORPORATION, its successors, assigns or other legal representatives shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

And we do further covenant and agree that we will, at any time upon request, communicate to DIGITAL EQUIPMENT CORPORATION, its successors, assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when

requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

EXECUTED THIS 3 day of Nov, 1998.



Tat-Jen Cham

COMMONWEALTH OF MASSACHUSETTS
COUNTY OF Middlesex

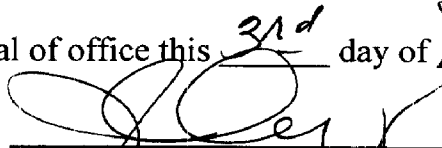
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BEFORE ME, the undersigned authority, on this day personally appeared **Tat-Jen Cham**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 31st day of November, 1998

JEANNINE K. MYERS
Notary Public
Commonwealth Of Massachusetts
My Commission Expires Sept 22, 2000



Notary Public in and for the
Commonwealth of Massachusetts

EXECUTED THIS 3 day of Nov., 1998.



James M. Rehg

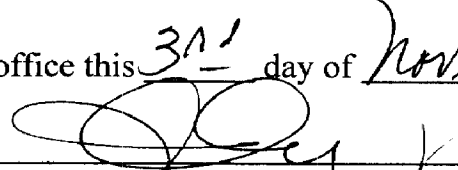
COMMONWEALTH OF MASSACHUSETTS
COUNTY OF Middlesex

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BEFORE ME, the undersigned authority, on this day personally appeared **James M. Rehg**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 31st day of November, 1998



Notary Public in and for the
Commonwealth of Massachusetts

JEANNINE K. MYERS
Notary Public
Commonwealth Of Massachusetts
My Commission Expires Sept 22, 2000