

FORM PTO-1595
1-31-92

11-19-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100903120

To the Honorable Commissioner of
documents or copy thereof.

the attached original

1. Name of conveying party(ies):

Jerry F. Smith
David S. SuckowAdditional Names attached: ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.Internal Address:
Intellectual Property DepartmentStreet Address:
100 N.E. Adams StreetCity: Peoria State: IllinoisZip: 61629-6490

3. Nature of Conveyance:

☒ Assignment
☐ Security Agreement
☐ Other

Execution Date(s): 11/10/98

Additional name(s) & addresses
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the
application is 11/10/98.

A. Patent Application No.(s)

B. Patent No.(s)

09191077Additional numbers attached? ☐ Yes ☒ NoTitle of Invention: DIFFERENTIAL LOCK CONTROL SYSTEMAttorney Docket No.: 97-31740E

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: R. Carl Wilbur
CATERPILLAR INC.Internal Address: Intellectual Property
DepartmentStreet Address: 100 N. E. Adams StreetCity: Peoria State: ILZip: 61629-6490

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit
account8. Deposit account number: 03-1129
(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.R. Carl Wilbur
Name of Person SigningR. Carl Wilbur
Signature11/11/98
Date

Total number of pages comprising cover sheet, attachments, and document: [3]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231JC523 U.S. PTO
09/191077
11/12/98

11/19/1998 SDB:MS 00000062 031129 09191077

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40.00 CH

ASSIGNMENT

WHEREAS, JERRY F. SMITH; and DAVID S. SUCKOW, residing respectively at Clinton, Illinois; and Decatur, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

DIFFERENTIAL LOCK CONTROL SYSTEM

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on

November 10, 1998, and November 10, 1998; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

Jerry F. Smith
JERRY F. SMITH

10 Nov 98
Date

IN WITNESS OF the execution hereof by JERRY F. SMITH we have hereunto set our hands.

A. G. Johnson 10/11/98
Witness (1) (Date)

Address: 5532 Brooks Dr
Decatur, GA
62521

Nathan L. Repulcom 10/11/98
Witness (2) (Date)

Address: 460 E Townhall Rd
Metamora IL 61548

David S. Suckow
DAVID S. SUCKOW

10 Nov 98
Date

IN WITNESS OF the execution hereof by DAVID S. SUCKOW we have hereunto set our hands.

A. G. Johnson 10/11/98
Witness (1) (Date)

Address: 5532 Brooks Dr
Decatur, GA
62521

Nathan L. Repulcom 10/11/98
Witness (2) (Date)

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