

11-09-1998

FORM PTO-1595

1-31-92



100874010

U.S. DEPARTMENT OF COMMERCE  
ET Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Yugiao Liu  
Paisneng Shen  
Peter C. Cahng  
Zhong Ming MaoAdditional name(s) of conveying party(ies) attached? ( ) Yes ☒ No

2. Name and address of receiving party(ies):

Name: ALLIANCE FIBER OPTICS PRODUCTS, INC.

Internal Address:

Street Address:

1030 E. Duane Ave, Suite H

City Sunnyvale State CA ZIP 94086

Additional name(s) & address(es) attached? ( ) Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ( ) Merger

( ) Security Agreement ( ) Change of Name

( ) Other \_\_\_\_\_

Execution Date: July 10 &  
July 27, 1998

4. Application number(s) or patent numbere(s):

If this document is being filed together with a new application, the execution date of the application is: July 10 &amp; July 27, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ( ) Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ALLIANCE FIBER OPTICS PRODUCTS, INC.

Internal Address: Benson Shen

Street Address: 1030 E. Duane Ave.

Suite H

City: Sunnyvale State: CA ZIP 94086

6. Total number of applications and patents involved: (1)

7. Total fee (37 CFR 3.41): .....\$ 40

( ) Enclosed

( ) Authorized to be changed to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

11/05/1998 JSHADAZZ 00000003 09182099

01 FC:581

40.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Yugiao Liu

Name of Person Signing

Yugiao Liu

Signature

July 10, 1998

Date

Total number of pages comprising cover sheet: ( 2 )

OMB No. 0651-0011 (exp. 4/94)

jc525 U.S. PTO  
09/182099  
10/27/98PATENT  
REEL: 9584 FRAME: 0561

# ASSIGNMENT

In consideration of value received, the receipt and sufficiency of which are hereby acknowledged, the undersigned ASSIGNOR

1. Yuqiao Liu, residing at Sunnyvale, CA 94086
2. Paisheng Shen, residing at Fremont, CA 95439
3. Peter C. Chang, residing at Mountain, CA 94040
4. Zhong Ming Mao, residing at Santa Clara, CA 95054

hereby sell(s), assign(s) and transfer(s) unto: Alliance Fiber Optics Products, Inc., having a principle place of business at 1030 E. Duane Ave., Suite H, Sunnyvale, CA 94086

hereinafter designated "ASSIGNEE" the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all patent applications including any and all divisions, continuations, substitutes, and reissues thereof, and all resulting patents, known as FILTER DEVICE FOR USE WITH LIGHT AND METHOD MAKING THE SAME

for which the undersigned

☐ previously executed ---- Ser. No. \_\_\_\_\_ and filing date of \_\_\_\_\_  
☒ is executing concurrently herewith

an application for Letters Patent of the United States of America

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorneys of record in said application, if any, shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

<u>Yuqiao Liu</u> Yuqiao Liu, inventor	<u>July 10, 1998</u> date	_____ witness
<u>Paisheng Shen</u> Paisheng Shen, inventor	<u>7/27/98</u> date	_____ witness
<u>Peter C. Chang</u> Peter C. Chang, inventor	<u>7/10/98</u> date	_____ witness
<u>Zhong Ming Mao</u> Zhong Ming Mao, inventor	<u>7/10/98</u> date	_____ witness