

Attorneys Docket No. \_\_\_\_\_

U 011824-3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11-19-1998

Box Assignments  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231



100903019

NOTE: "Documents and cover sheets to be recorded should be addressed to Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 CFR 3.27.

ASSIGNMENT (DOCUMENT) COVER SHEET (37 CFR 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 CFR 3.31(b).  
Attached please find an assignment (document) for recordal.

NRD

11-10-98

CERTIFICATION 37 CFR 1.8(a) and 1.10

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

37 CFR 1.8(a)

☐ with sufficient postage as first class mail.

37 CFR 1.10

☐ as "Express Mail Post Office to Addressee"  
Mailing Label No. \_\_\_\_\_

TRANSMISSION

☒ transmitted by facsimile to the Patent and Trademark Office.

Signature

JANET I. CORD

(type or print name of person certifying)

Date: November 10, 1998

(Assignment (Document) Cover Sheet [10-6] - page 1 of 6)

509916605 JAWKINS 00000126 09166305  
NOV 11 1998

PATENT

REEL: 9584 FRAME: 0828

C. Payment of fee is made by:

☒ the attached check for \$ 40.00

☐ Please charge Account \_\_\_\_\_

the sum of \$ \_\_\_\_\_

A duplicate of this cover sheet is attached.

Please charge Account 12-0425 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTY(IES) CONVEYING INTEREST  
(37 CFR 3.31(a)(1) )**

*NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65).*

3. The party(ies) conveying interest is (are):

Name 1: MANU JAGGI  
Name 2: SUNDER RAMADOSS  
Name 3: PRAVEEN RAJENDRAN  
Name 4: MOHAMMAD JAMSHED AHMAD SIDDIQUI

**NAME AND ADDRESS OF PARTY(IES) RECEIVING  
INTEREST (37 CFR 3.31(a)(2) )**

4. The rights are being conveyed to:

Name: DABUR RESEARCH FOUNDATION  
Address: 22, SITE IV, SAHIBABAD 201 010  
GHAZIABAD, U.P. INDIA

(Assignment (Document) Cover Sheet [16-6]—page 3 of 6)



**IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)  
FOR ASSIGNMENT (DOCUMENT) RECORDAL  
(37 CFR 3.21 and 37 CFR 3.31(a)(4) )**

**NOTE:** "An assignment relating to a patent must identify the patent by the patent number. An assignment relating to a national patent application must identify the national patent application by the application number (consisting of the series code and the serial number, e.g., 07/123,456) or the serial number and the filing date. An assignment relating to an international patent application which designates the United States of America must identify the international application by the international application number (e.g., PCT/US90/01234)." 37 CFR 3.21.

**NOTE:** § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

1. This assignment is for the following patent application and/or issued patent:

National application: SN: 0 09 / 166,809 filed on October 6, 1998

Provisional application: / filed on

International application: PCT/ /

Patent No: Issued:

(complete if applicable) which was previously assigned and recorded

Date \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

(also complete the following, if applicable)

- ☐ and also for the applications and/or patents  
shown on the attached list of FURTHER  
APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added \_\_\_\_\_

**NOTE:** "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE (37 CFR 3.28(a)(6) )**

2. A. The total number of applications and/or patents identified in this cover sheet is

1

B. The total fee is (37 CFR 1.21(h)):

1 × \$40.00 = \$ 40.00  
Total number of applications  
and/or patents

(Assignment (Document) Cover Sheet [15-6]—page 2

**PATENT  
REEL: 9584 FRAME: 0830**

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED (37 CFR 3.31(a)(3) )**

5. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a merger.
- ☐ a license.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other:

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED (37 CFR 3.31(a)(5) )**

6. Please address correspondence to:

Name: JANET L. CORD  
Address: C/O LADAS & PARRY  
26 WEST 61 STREET  
Telephone No.: ( NEW YORK, N.Y. 10023 )  
Reg. No. 33,778 (212) 708-1935

**DATE ASSIGNMENT (DOCUMENT) EXECUTED  
(37 CFR 3.31(a)(7) )**

7. The attached assignment (document) was executed on October 28, 1998  
(date)

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

*NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.*

8. The attached document:

- ☒ is in the English language.
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

(Assignment (Document) Cover Sheet [16-6]—page 4 of 6)

# ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

9. Submitted herewith is:

- ☒ the original document.  
☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

## ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS

(check item, if applicable)

10. ☐ Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

## ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME

(check item, if applicable)

11. ☐ Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

## CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

(Assignment (Document) Cover Sheet [16-6]—page 5 of 6)

**STATEMENT (37 CFR 3.31(a)(9) ) AND  
SIGNATURE (37 CFR 3.31(a)(10) )**

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

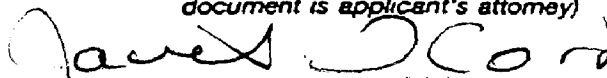
NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: November 4, 1998

\_\_\_\_\_  
Name of party submitting document

\_\_\_\_\_  
Signature of party submitting document

(complete the following, if the party submitting the  
document is applicant's attorney)



\_\_\_\_\_  
SIGNATURE OF ATTORNEY

Reg. No.

\_\_\_\_\_  
JANET I. CORD

Tel. No. (     )

\_\_\_\_\_  
(type or print name of Attorney)

JANET I. CORD  
c/o LADAS & PARRY  
26 WEST 61 STREET

\_\_\_\_\_  
P.O. Address

NEW YORK, N.Y. 10023

\_\_\_\_\_  
Reg. No. 33,778 (212) 708-1935

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

14. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

9 (NINE)

\_\_\_\_\_  
Total number of pages submitted

(Assignment (Document) Cover Sheet [16-6]—page 6 of 6)

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☐ PCT Application  
By: Multiple Inventors

## ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

## ASSIGNORS (Inventors):

(type or print name of inventors)	Address	Nationality
MANU JAGGI	W-5/40, DLF Qutab Enclave Phase III, Gurgaon, Haryana - 122 002	INDIA INDIAN
SUNDER RAMADOSS	52 A, DDA Flats, Vijay Mandal Enclave, Hauz Khas, New Delhi - 110 016	INDIA INDIAN
PRAVEEN RAJENDRAN	Flat No. 94 Sector V, Rachana Vaishali, Ghaziabad Uttar Pradesh - 201 010	INDIA INDIAN
MOHAMMAD JAMSHED AHMAD SIDDIQUI	31 - R.D.C. Raj Nagar Ghaziabad - 201 002 (U.P.)	INDIA INDIAN

hereby sells, assigns and transfers to  
ASSIGNEE:

DABUR RESEARCH FOUNDATION  
(type or print name of ASSIGNEE)

22, Site IV, Sahibabad 201 010

Address

INDIAN  
Nationality

Ghaziabad, U.P. INDIA

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_ %) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority  
in and to any and all improvements which are disclosed in the invention entitled:

ANTIANGIOGENIC ACTIVITY OF BETULINIC ACID AND ITS DERIVATIVES

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ Express mail label no.: \_\_\_\_\_

Mailed: \_\_\_\_\_

- ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. application no. 09/166,809 filed on Oct. 6, 1998

(e) ☐ International application no. PCT / \_\_\_\_\_ / \_\_\_\_\_  
filed on \_\_\_\_\_

(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

(Assignment of Invention—Multiple Inventors [16-3.2]—page 2 of 3)



and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 28.10.98  
Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Manu JAGGI  
(type name of Inventor)

Sunder RAMADOSS

Praveen RAJENDRAN

Mohammad Jamshed Ahmad SIDDIQUI

M Jaggi  
Signature of INVENTOR

R. Sunder

Praveen

M. A. S.

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors [16-3.2]—page 3 of 3)