

11-23-1998

HEETU.S. DEPARTMENT OF

Patent and Trademark Office

To the Honorable Commissioner of Pa
thereof. 11-16-98

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e attached original documents or copy

1. Name of conveying part(ies):

Herman M. Presby (1)
Dietrich Marcuse (2)Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Street Address: 600 Mountain Avenue
P.O. Box 636
Murray Hill, NJ 07974-0636Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: (1) 10/01/98; (2) 09/28/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: (1) 10/01/98; (2) 09/28/98

A. Patent application No.(s)
09/166,830

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Peter V. D. Wilde, Esq.
Thomas, Kayden, Horstemeyer & Risley
100 Galleria Parkway
Suite 1500
Atlanta, Georgia 30339

6. Total number of applications/patents involved: [1]

7. Total fee (37 CFR 3.41) \$ 40.00 E☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number:

12-2325

(Attach duplicate copy of this page if paying by Deposit Account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David P. Kelley, #17,420

Name of Person Signing

Signature

Date

Lucent #: 311201-1720 Total number of pages including cover sheet, attachments, and document: [4]

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

ASSIGNMENT AND AGREEMENT

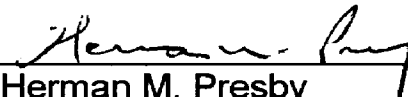
For value received, we Herman M. Presby of Highland Park in the County of Union and State of New Jersey, and , Dietrich Marcuse of Lincroft in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **POLYMER WAVEGUIDE SWITCH AND METHOD** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Herman M. Presby

Date: Oct 1, 1998

United States of America)

State of New Jersey) ss.:

City of Union)

On this 1 day of October, 1998, before me personally came Herman M. Presby, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 20, 2000

Dietrich Marcuse
Dietrich Marcuse

Date: 9.28.98

United States of America)

State of New Jersey) ss.:

County of Monmouth)

On this 28th day of September, 1998, before me personally came Dietrich Marcuse, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Nguyen Thi Nghiem
Notary Public

NGUYEN T. NGHIEM
NOTARY PUBLIC OF NEW JERSEY
Registered in Monmouth County
My Commission Expires Sept. 23, 2003

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636